

# ECE 3317

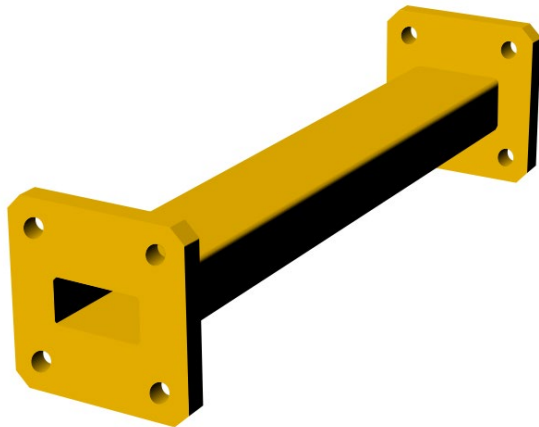
## Applied Electromagnetic Waves

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Fall 2023

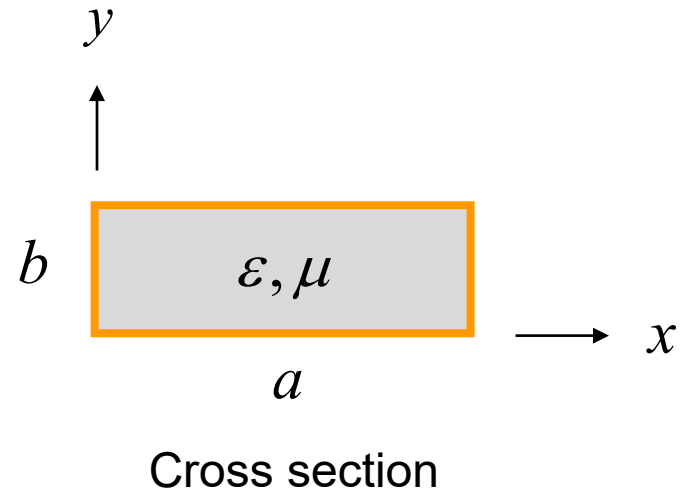
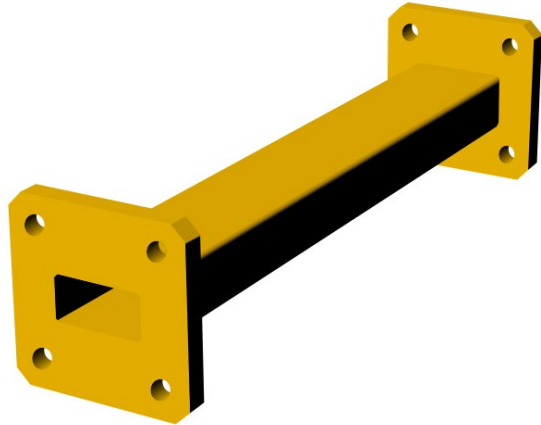
### Notes 20

## Rectangular Waveguides



# Rectangular Waveguide

## Rectangular Waveguide

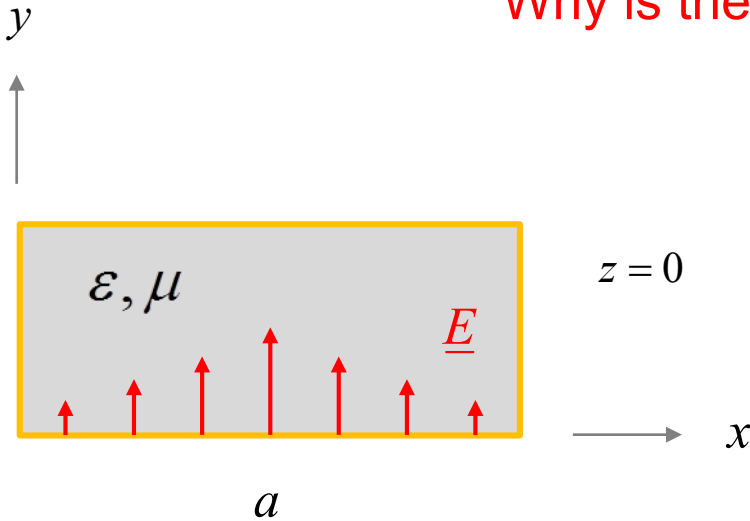


- We assume that the boundary is a perfect electric conductor (PEC).

No  $TEM_z$  mode can exist!

# Rectangular Waveguide (cont.)

Why is there no  $\text{TEM}_z$  mode?



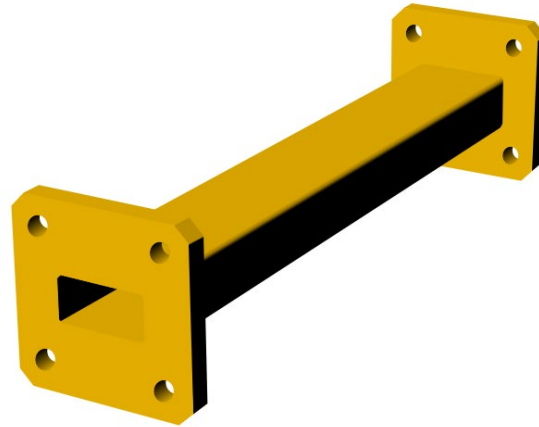
$\text{TEM}_z$  mode:  $k_z = k = \omega\sqrt{\mu\epsilon}$

Rectangular waveguide mode  $(m, n)$ :  $k_z^{(m,n)} = \sqrt{k^2 - \left(\frac{m\pi}{a}\right)^2 - \left(\frac{n\pi}{b}\right)^2}$   
 $(m, n) \neq (0, 0)$

$\Rightarrow k_z^{(m,n)} \neq k$

# Rectangular Waveguide (cont.)

## Rectangular Waveguide

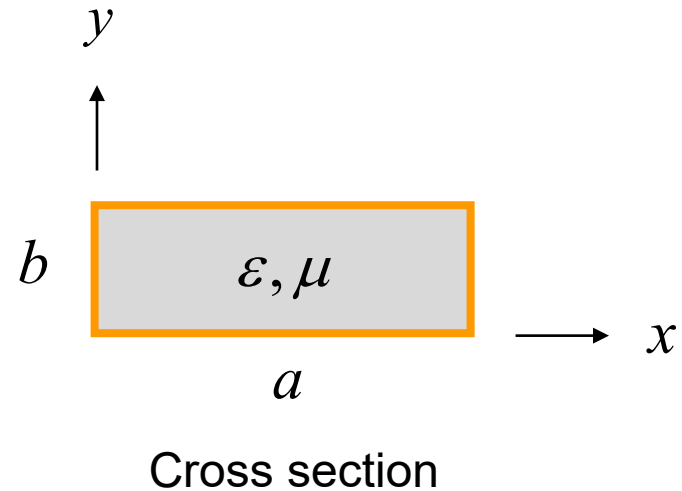
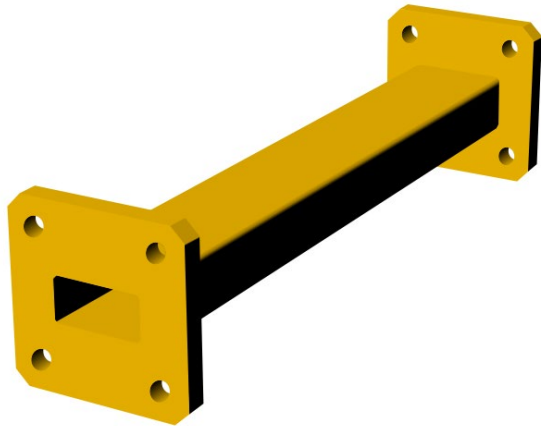


- ❖ Two types of modes can exist independently:

$TM_z$ : $E_z$ only
$TE_z$ : $H_z$ only

# Rectangular Waveguide (cont.)

## Rectangular Waveguide



- ❖ We analyze the problem to solve for  $E_z$  or  $H_z$  (all other fields come from these).

TM<sub>z</sub>:  $E_z$  only  
TE<sub>z</sub>:  $H_z$  only

# TM<sub>z</sub> Modes


$$H_z = 0, \quad E_z \neq 0$$

$$\nabla^2 E_z + k^2 E_z = 0 \quad (\text{Helmholtz equation})$$

$$E_z = 0 \quad \text{on boundary} \quad (\text{PEC walls})$$

Guided-wave assumption:  $E_z(x, y, z) = E_{z0}(x, y) e^{-jk_z z}$

$$\left( \frac{\partial^2 E_z}{\partial x^2} + \frac{\partial^2 E_z}{\partial y^2} + \frac{\partial^2 E_z}{\partial z^2} \right) + k^2 E_z = 0$$

  $\left( \frac{\partial^2 E_z}{\partial x^2} + \frac{\partial^2 E_z}{\partial y^2} - k_z^2 E_z \right) + k^2 E_z = 0$

## TM<sub>z</sub> Modes (cont.)

$$\frac{\partial^2 E_z}{\partial x^2} + \frac{\partial^2 E_z}{\partial y^2} + (k^2 - k_z^2) E_z = 0$$

Define:  $k_c^2 \equiv k^2 - k_z^2$

We then have:  $\frac{\partial^2 E_z}{\partial x^2} + \frac{\partial^2 E_z}{\partial y^2} + k_c^2 E_z = 0$

Note that  $k_c$  is an unknown at this point.

Dividing by the  $\exp(-j k_z z)$  term, we have:

$$\frac{\partial^2 E_{z0}}{\partial x^2} + \frac{\partial^2 E_{z0}}{\partial y^2} + k_c^2 E_{z0} = 0$$

We solve the above equation by using the method of separation of variables.

Please see Appendix A for the solution.

# TM<sub>z</sub> Modes (cont.)

Solution from separation of variables method: TM<sub>mn</sub> mode

$$E_z(x, y, z) = A_{mn} \sin\left(\frac{m\pi x}{a}\right) \sin\left(\frac{n\pi y}{b}\right) e^{-jk_z^{(m,n)}z}$$

$$k_z^{(m,n)} = \sqrt{k^2 - k_c^2} = \sqrt{k^2 - \left(\frac{m\pi}{a}\right)^2 - \left(\frac{n\pi}{b}\right)^2}$$

$$k_c^2 = \left(\frac{m\pi}{a}\right)^2 + \left(\frac{n\pi}{b}\right)^2$$

$$m = 1, 2, \dots$$

$$n = 1, 2, \dots$$

$$k = \omega\sqrt{\mu\varepsilon} = k_0\sqrt{\mu_r\varepsilon_r}$$

$$k_0 = \omega\sqrt{\mu_0\varepsilon_0} = \frac{\omega}{c} = \frac{2\pi}{\lambda_0}$$

**Note:** If either  $m$  or  $n$  is zero, the entire field is zero.



# TM<sub>z</sub> Modes (cont.)

## Cutoff Frequency for Lossless Waveguide

We start with  $k_z^{(m,n)} = \sqrt{k^2 - \left(\frac{m\pi}{a}\right)^2 - \left(\frac{n\pi}{b}\right)^2}$

**Note:**  
Cutoff frequency only has a clear meaning in the lossless case ( $k$  is real).

Set  $k_z^{(m,n)} = 0$  This defines the cutoff frequency.

→  $k|_{f=f_c} = 2\pi f_c^{\text{TM}_{m,n}} \sqrt{\mu\epsilon} = \sqrt{\left(\frac{m\pi}{a}\right)^2 + \left(\frac{n\pi}{b}\right)^2}$

→  $f_c^{\text{TM}_{m,n}} = \frac{c_d}{2\pi} \sqrt{\left(\frac{m\pi}{a}\right)^2 + \left(\frac{n\pi}{b}\right)^2}$        $c_d = \frac{c}{\sqrt{\epsilon_r}}$  (nonmagnetic material)

# TM<sub>z</sub> Modes (cont.)

## Summary of TM<sub>z</sub> Solution: TM<sub>mn</sub> mode

$$E_z(x, y, z) = A_{mn} \sin\left(\frac{m\pi x}{a}\right) \sin\left(\frac{n\pi y}{b}\right) e^{-jk_z^{(m,n)}z}$$

$$k_z^{(m,n)} = \sqrt{k^2 - k_c^2} = \sqrt{k^2 - \left(\frac{m\pi}{a}\right)^2 - \left(\frac{n\pi}{b}\right)^2}$$

$$m = 1, 2, \dots$$

$$n = 1, 2, \dots$$

**Note:** If either  $m$  or  $n$  is zero, the entire field is zero.

$$f_c^{\text{TM}_{m,n}} = \frac{c_d}{2\pi} \sqrt{\left(\frac{m\pi}{a}\right)^2 + \left(\frac{n\pi}{b}\right)^2}$$

(lossless waveguide)

# TE<sub>z</sub> Modes

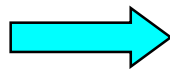
$$E_z = 0, \quad H_z \neq 0$$

We now start with

$$\frac{\partial^2 H_z}{\partial x^2} + \frac{\partial^2 H_z}{\partial y^2} + (k^2 - k_z^2) H_z = 0$$

Guided-wave assumption:  $H_z(x, y, z) = H_{z0}(x, y) e^{-jk_z z}$

Define:  $k_c^2 \equiv k^2 - k_z^2$



$$\frac{\partial^2 H_{z0}}{\partial x^2} + \frac{\partial^2 H_{z0}}{\partial y^2} + k_c^2 H_{z0} = 0$$

Please see Appendix B for the solution.

# TE<sub>z</sub> Modes (cont.)

## Summary of TE<sub>z</sub> Solution: TE<sub>mn</sub> mode

$$H_z(x, y, z) = A_{mn} \cos\left(\frac{m\pi x}{a}\right) \cos\left(\frac{n\pi y}{b}\right) e^{-jk_z^{(m,n)}z}$$

$$k_z^{(m,n)} = \sqrt{k^2 - \left(\frac{m\pi}{a}\right)^2 - \left(\frac{n\pi}{b}\right)^2}$$

$$m = 0, 1, 2, \dots$$

$$n = 0, 1, 2, \dots$$

$$(m, n) \neq (0, 0)$$

$$f_c^{\text{TE}_{m,n}} = \frac{c_d}{2\pi} \sqrt{\left(\frac{m\pi}{a}\right)^2 + \left(\frac{n\pi}{b}\right)^2}$$

(lossless waveguide)

**Note:** Same formula for cutoff frequency as the TM<sub>z</sub> case!

# Summary for Both Modes

TM<sub>mn</sub>

$$E_z(x, y, z) = A_{mn} \sin\left(\frac{m\pi x}{a}\right) \sin\left(\frac{n\pi y}{b}\right) e^{-jk_z^{(m,n)}z}$$

TE<sub>mn</sub>

$$H_z(x, y, z) = A_{mn} \cos\left(\frac{m\pi x}{a}\right) \cos\left(\frac{n\pi y}{b}\right) e^{-jk_z^{(m,n)}z}$$

$$k_z^{(m,n)} = \sqrt{k^2 - \left(\frac{m\pi}{a}\right)^2 - \left(\frac{n\pi}{b}\right)^2}$$

same formula for both modes

$$f_c^{(m,n)} = \frac{c_d}{2\pi} \sqrt{\left(\frac{m\pi}{a}\right)^2 + \left(\frac{n\pi}{b}\right)^2}$$

$$c_d = \frac{c}{\sqrt{\epsilon_r}}$$

same formula for both modes

(lossless waveguide)

TM<sub>z</sub>

$$m = 1, 2, \dots$$

$$n = 1, 2, \dots$$

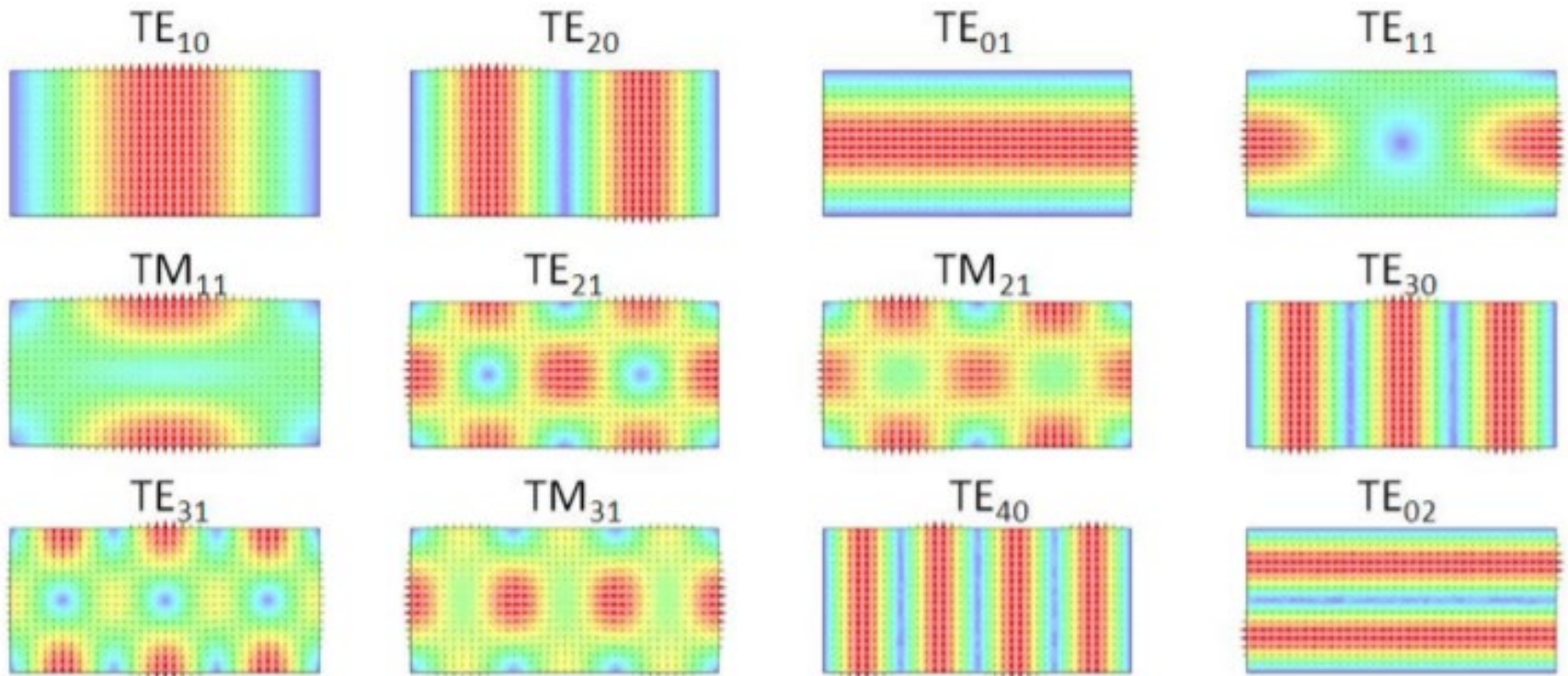
TE<sub>z</sub>

$$m = 0, 1, 2, \dots$$

$$n = 0, 1, 2, \dots$$

$$(m, n) \neq (0, 0)$$

# Field Plots



Color denotes magnitude, arrows show direction of electric field.

# Wavenumber

$$\text{TM}_z \text{ or TE}_z \text{ mode: } k_z = \sqrt{k^2 - k_c^2} \quad \text{with} \quad k_c = \sqrt{\left(\frac{m\pi}{a}\right)^2 + \left(\frac{n\pi}{b}\right)^2}$$

**Note:** The  $(m,n)$  notation is suppressed here on  $k_z$ .

## Lossless waveguide:

**Above cutoff:**  $k_z = \beta$

$$\beta = \sqrt{k^2 - \left(\frac{m\pi}{a}\right)^2 - \left(\frac{n\pi}{b}\right)^2}$$

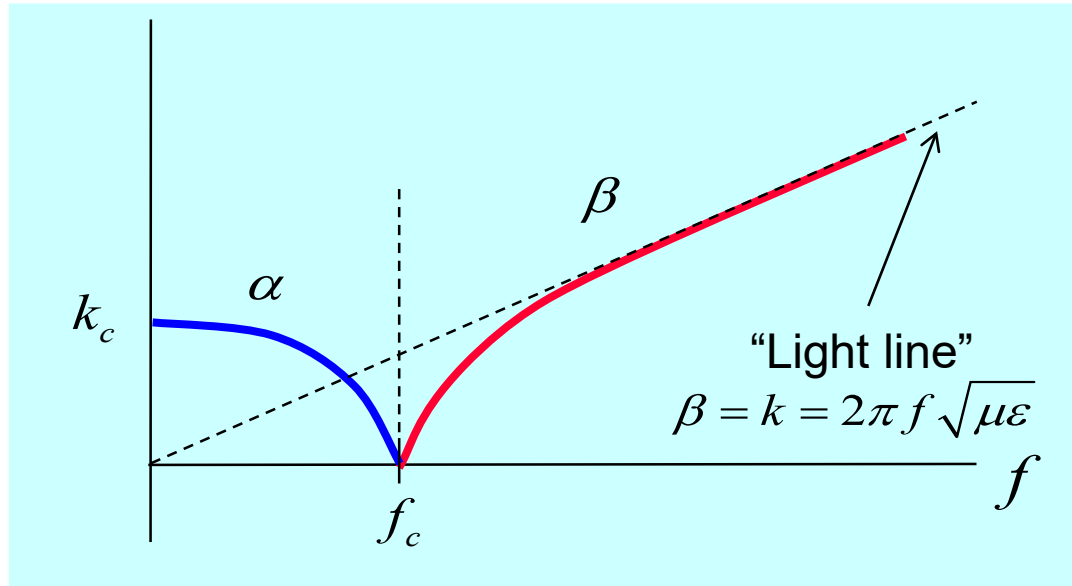
**Below cutoff:**  $k_z = -j\alpha$

$$\alpha = \sqrt{\left(\frac{m\pi}{a}\right)^2 + \left(\frac{n\pi}{b}\right)^2 - k^2}$$

(Recall the general formula for  $k_z$ :  $k_z = \beta - j\alpha$ )

# Wavenumber Plot

$$k_c = \sqrt{\left(\frac{m\pi}{a}\right)^2 + \left(\frac{n\pi}{b}\right)^2}$$



$$\beta = \sqrt{k^2 - \left(\frac{m\pi}{a}\right)^2 - \left(\frac{n\pi}{b}\right)^2}, \quad f > f_c$$

$$\alpha = \sqrt{\left(\frac{m\pi}{a}\right)^2 + \left(\frac{n\pi}{b}\right)^2 - k^2}, \quad f < f_c$$

$$f_c = f_c^{(m,n)} = \frac{c_d}{2\pi} \sqrt{\left(\frac{m\pi}{a}\right)^2 + \left(\frac{n\pi}{b}\right)^2}$$

$$c_d = \frac{c}{\sqrt{\epsilon_r}}$$



# Guided Wavelength

**Recall:** The guided wavelength  $\lambda_g$  is the distance  $z$  that it takes for the wave to repeat itself.

$$\lambda_g = \frac{2\pi}{\beta}$$

**(This assumes that we are above the cutoff frequency – otherwise guided wavelength makes no sense.)**

After some algebra (see next slide):

$$\lambda_g = \frac{\lambda_d}{\sqrt{1 - (f_c / f)^2}} \quad \left( \lambda_d = \frac{\lambda_0}{\sqrt{\epsilon_r}} \right) \quad \text{(lossless waveguide)}$$

(Note:  $\lambda_g > \lambda_d$ )

# Guided Wavelength (cont.)

Derivation of wavelength formula (lossless waveguide):

$$\lambda_g = \frac{2\pi}{\beta} = \frac{2\pi}{\sqrt{k^2 - \left(\frac{m\pi}{a}\right)^2 - \left(\frac{n\pi}{b}\right)^2}} = \frac{2\pi}{\sqrt{k^2 - k_c^2}}$$

→ 
$$\lambda_g = \frac{2\pi}{\sqrt{k^2 - k_c^2}} = \frac{2\pi}{k\sqrt{1 - (k_c/k)^2}} = \frac{2\pi}{\frac{2\pi}{\lambda_d}\sqrt{1 - (k_c/k)^2}} = \frac{\lambda_d}{\sqrt{1 - (k_c/k)^2}}$$

$$\left. \begin{aligned} k &= \omega\sqrt{\mu\varepsilon} = 2\pi f\sqrt{\mu\varepsilon} \\ k_c &= \omega_c\sqrt{\mu\varepsilon} = 2\pi f_c\sqrt{\mu\varepsilon} \end{aligned} \right\} k_c/k = f_c/f$$

→ 
$$\lambda_g = \frac{\lambda_d}{\sqrt{1 - (f_c/f)^2}}$$

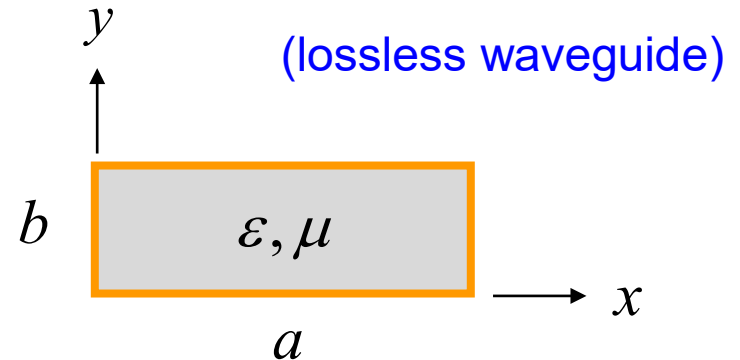
# Dominant Mode

The "dominant" mode is the one with the lowest cutoff frequency.

Assume  $b < a$

$$f_c = \frac{c_d}{2\pi} \sqrt{\left(\frac{m\pi}{a}\right)^2 + \left(\frac{n\pi}{b}\right)^2}$$

$$c_d = \frac{c}{\sqrt{\epsilon_r}}$$



Lowest  $TM_z$  mode:  $TM_{11}$

Lowest  $TE_z$  mode:  $TE_{10}$



The dominant mode is the  $TE_{10}$  mode.

$TM_z$

$m = 1, 2, \dots$   
 $n = 1, 2, \dots$

$TE_z$

$m = 0, 1, 2, \dots$   
 $n = 0, 1, 2, \dots$   
 $(m, n) \neq (0, 0)$

# Dominant Mode (cont.)

## Summary (TE<sub>10</sub> Mode)

$$H_z(x, y, z) = A_{10} \cos\left(\frac{\pi x}{a}\right) e^{-jk_z z}$$

$$k_z = \sqrt{k^2 - \left(\frac{\pi}{a}\right)^2} \quad k = k_0 \sqrt{\epsilon_r}$$

$$f_c = \frac{c_d}{2a} \quad c_d = \frac{c}{\sqrt{\epsilon_r}}$$

$$\beta = \sqrt{k^2 - \left(\frac{\pi}{a}\right)^2}, \quad f > f_c$$

$$\alpha = \sqrt{\left(\frac{\pi}{a}\right)^2 - k^2}, \quad f < f_c$$

# Dominant Mode (cont.)

## Fields of the Dominant TE<sub>10</sub> Mode

$$H_z(x, y, z) = A_{10} \cos\left(\frac{\pi x}{a}\right) e^{-jk_z z}$$

Find the other fields from these equations (Appendix A of Notes 19):

$$E_x = \left( \frac{-j\omega\mu}{k^2 - k_z^2} \right) \frac{\partial H_z}{\partial y} - \left( \frac{jk_z}{k^2 - k_z^2} \right) \frac{\partial E_z}{\partial x}$$

$$E_y = \left( \frac{j\omega\mu}{k^2 - k_z^2} \right) \frac{\partial H_z}{\partial x} - \left( \frac{jk_z}{k^2 - k_z^2} \right) \frac{\partial E_z}{\partial y}$$

$$H_x = \left( \frac{j\omega\varepsilon}{k^2 - k_z^2} \right) \frac{\partial E_z}{\partial y} - \left( \frac{jk_z}{k^2 - k_z^2} \right) \frac{\partial H_z}{\partial x}$$

$$H_y = \left( \frac{-j\omega\varepsilon}{k^2 - k_z^2} \right) \frac{\partial E_z}{\partial x} - \left( \frac{jk_z}{k^2 - k_z^2} \right) \frac{\partial H_z}{\partial y}$$

# Dominant Mode (cont.)

Summary of fields for TE<sub>10</sub> mode:

$$H_z(x, y, z) = A_{10} \cos\left(\frac{\pi x}{a}\right) e^{-jk_z z}$$

$$E_y(x, y, z) = E_{10} \sin\left(\frac{\pi x}{a}\right) e^{-jk_z z}$$

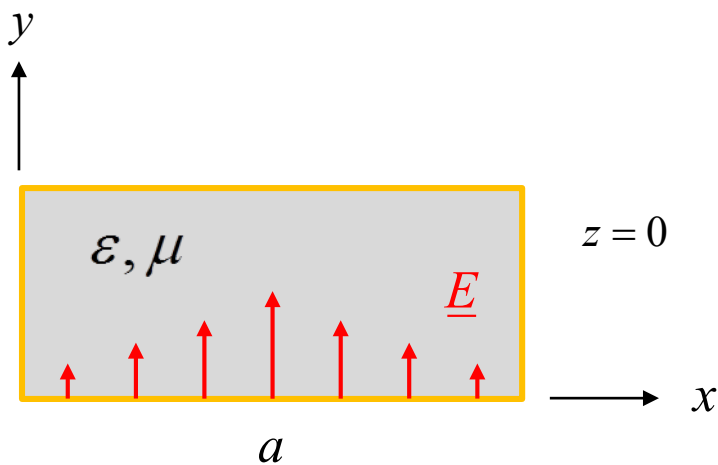
$$H_x(x, y, z) = -\left(\frac{k_z}{\omega\mu}\right) E_{10} \sin\left(\frac{\pi x}{a}\right) e^{-jk_z z}$$

where

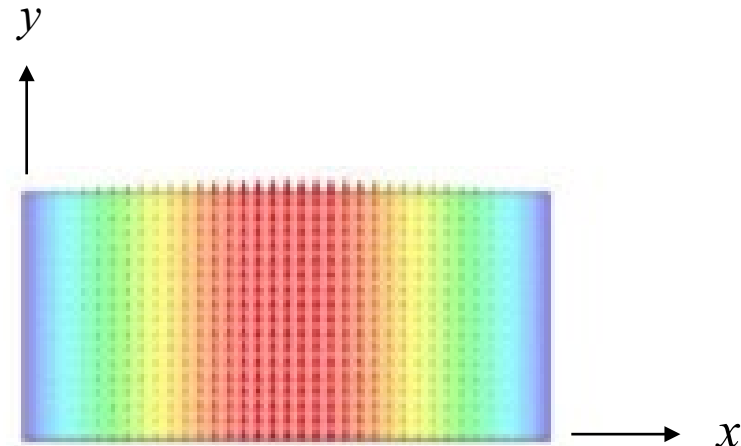
$$E_{10} = \left(\frac{j\omega\mu}{k^2 - k_z^2}\right) \left(-\frac{\pi}{a}\right) A_{10}$$

# Dominant Mode (cont.)

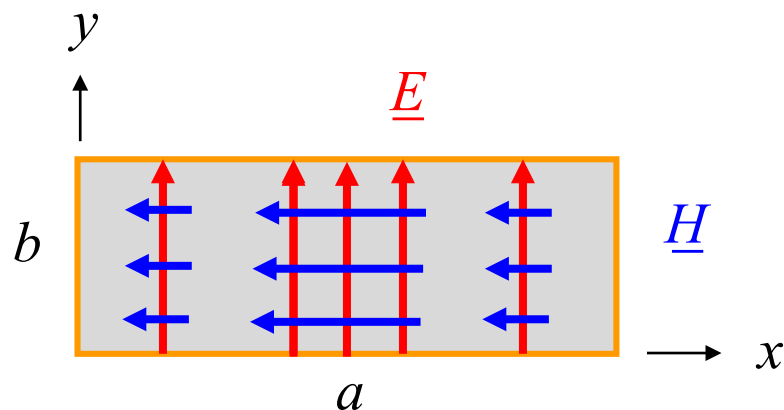
## TE<sub>10</sub> Mode



Length of arrows denotes magnitude of field



Color denotes magnitude of field

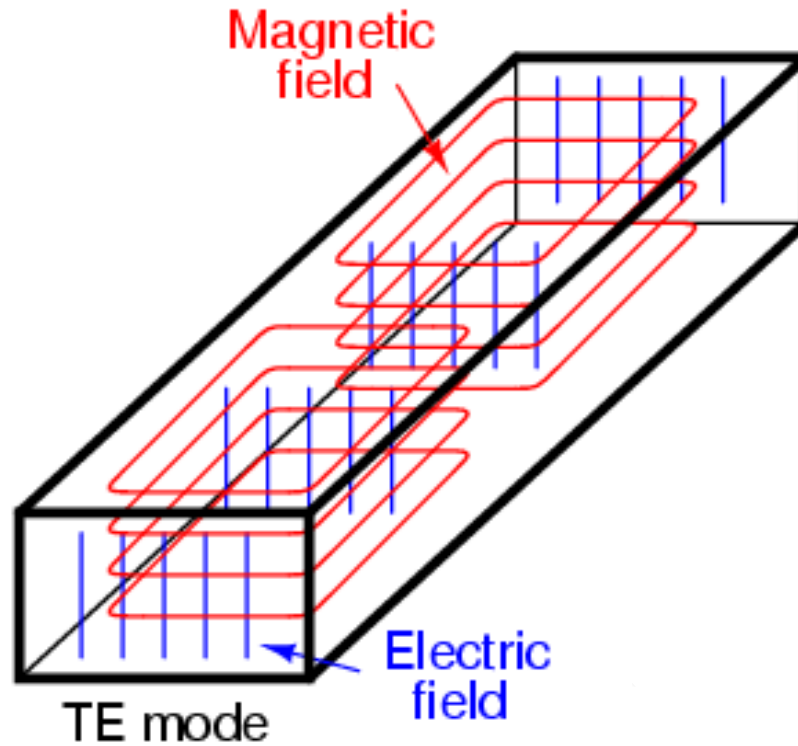


Spacing between arrows denotes magnitude of field

# Dominant Mode (cont.)

$TE_{10}$  Mode

3D View





# Dominant Mode (cont.)

What is the mode with the next highest cutoff frequency?

$$f_c = \frac{c_d}{2\pi} \sqrt{\left(\frac{m\pi}{a}\right)^2 + \left(\frac{n\pi}{b}\right)^2}$$

$$f_c^{(1,0)} = \frac{c_d}{2a}$$

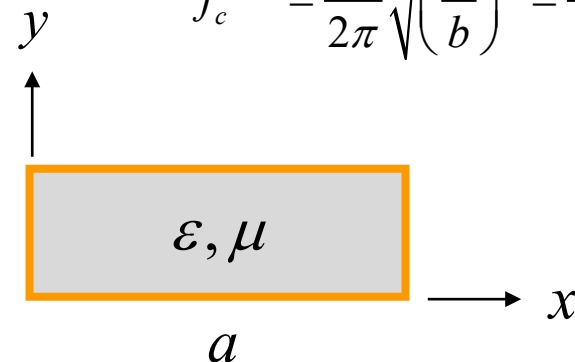
$$f_c^{(2,0)} = \frac{c_d}{2\pi} \sqrt{\left(\frac{2\pi}{a}\right)^2} = \frac{c_d}{2} \left(\frac{1}{a/2}\right)$$

Assume  $b < a/2$

Then the next highest is the TE<sub>20</sub> mode.

$$f_c^{(2,0)} = 2f_c^{(1,0)}$$

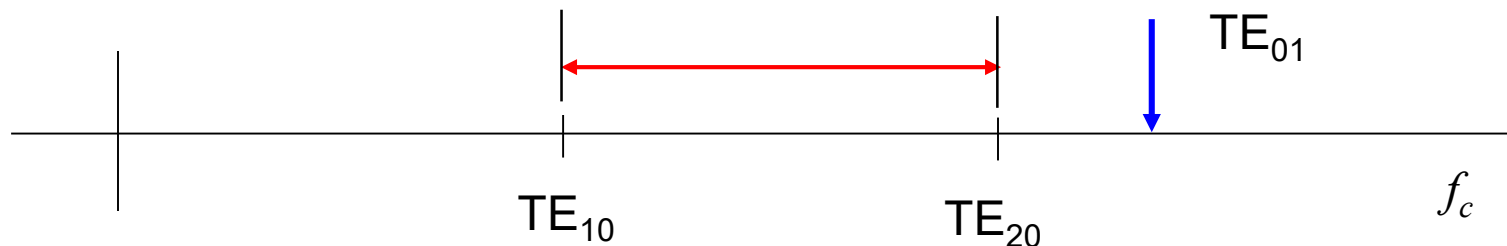
A 2:1 operating band!



$$c_d = \frac{c}{\sqrt{\epsilon_r}}$$

(lossless waveguide)

Useful operating region



# Dominant Mode (cont.)

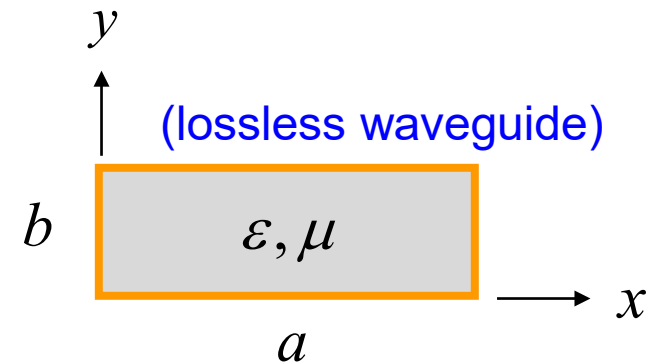
What is the mode with the next highest cutoff frequency?

$$f_c^{(2,0)} = \frac{c_d}{2\pi} \sqrt{\left(\frac{2\pi}{a}\right)^2} = \frac{c_d}{2} \left(\frac{1}{a/2}\right)$$

$$f_c^{(0,1)} = \frac{c_d}{2\pi} \sqrt{\left(\frac{\pi}{b}\right)^2} = \frac{c_d}{2} \left(\frac{1}{b}\right)$$

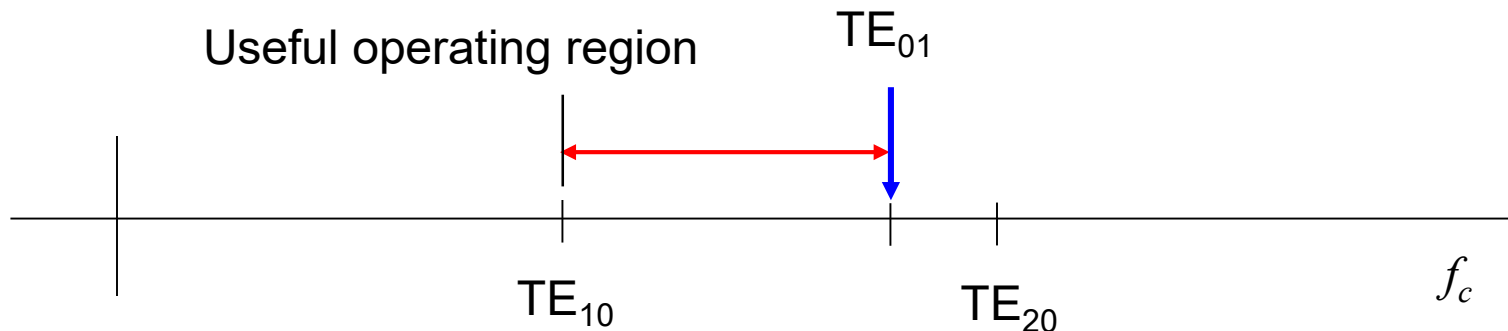
Assume  $b > a/2$

$$c_d = \frac{c}{\sqrt{\epsilon_r}}$$



Then the next highest is the  $TE_{01}$  mode.

The useable bandwidth is now lower than before.



# Dominant Mode (cont.)

Power flow in lossless waveguide ( $f > f_c$ ):

$$E_y(x, y, z) = E_{10} \sin\left(\frac{\pi x}{a}\right) e^{-jk_z z}$$

$$P_z = \left(\frac{ab}{4\omega\mu}\right) \beta |E_{10}|^2 \quad [\text{W}] \quad (\text{watts flowing down the waveguide})$$

(The derivation is omitted, but please see the formula box above.)

**Note:** Above cutoff, there is only watts flowing (no vars). Below cutoff there is no watts flowing (only vars).

- ❖ Make  $b$  larger to get more power flow for a given value of  $a$ .
- ❖ Keep  $b$  smaller than  $a/2$  to get maximum bandwidth.



The optimum dimension for  $b$  is  $a/2$   
(gives maximum power flow without sacrificing bandwidth).

$$\begin{aligned} P_z &= \text{Re} \int_0^b \int_0^a \frac{1}{2} (\underline{E} \times \underline{H}^*) \cdot \hat{z} \, dx dy \\ &= \text{Re} \int_0^b \int_0^a -\frac{1}{2} (E_y H_x^*) \, dx dy \\ &= -\frac{1}{2} b \text{Re} \int_0^a E_y H_x^* \, dx \end{aligned}$$

# Dominant Mode (cont.)

## Plane wave interpretation of TE<sub>10</sub> mode

$$E_y(x, y, z) = E_{10} \sin\left(\frac{\pi x}{a}\right) e^{-jk_z z} = E_{10} \sin(k_x x) e^{-jk_z z} \quad \left(k_x \equiv \frac{\pi}{a}\right)$$

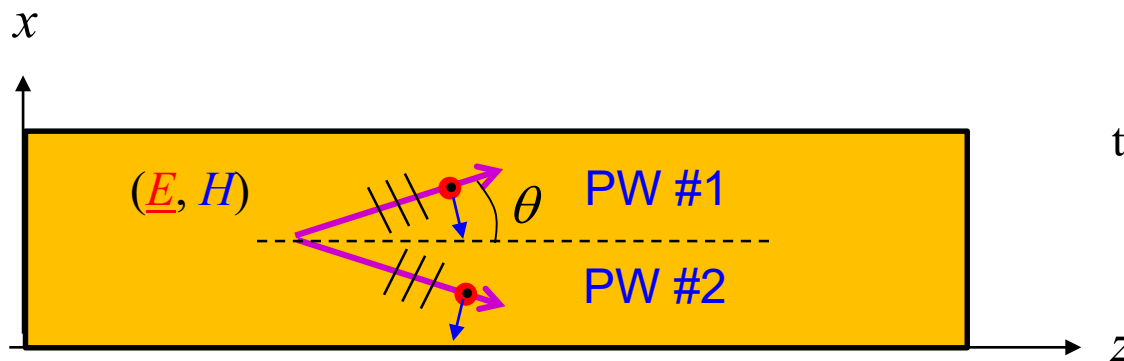
$$= E_{10} \left( \frac{e^{jk_x x} - e^{-jk_x x}}{2j} \right) e^{-jk_z z}$$

**Note:**  $\sin z = \left( \frac{e^{jz} - e^{-jz}}{2j} \right)$

$$E_y(x, y, z) = E'_{10} e^{-jk_x x} e^{-jk_z z} + E''_{10} e^{jk_x x} e^{-jk_z z}$$

PW #1
PW #2

$$\begin{cases} E'_{10} \equiv -E_{10} / (2j) \\ E''_{10} \equiv +E_{10} / (2j) \end{cases}$$



$$\tan \theta = \frac{k_x}{k_z} = \frac{\pi / a}{\sqrt{k^2 - \left(\frac{\pi}{a}\right)^2}}$$

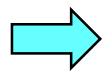
# Dominant Mode (cont.)

## Losses in Waveguide ( $f > f_c$ )

$$\alpha \approx \alpha_d + \alpha_c$$

Dielectric loss:

$$k_z = \sqrt{k_0^2 \epsilon_r (1 - j \tan \delta_d) - \left(\frac{\pi}{a}\right)^2} = \beta - j\alpha_d \quad \text{Recall: } \epsilon_{rc} = \epsilon_r (1 - j \tan \delta)$$


$$\alpha_d = -\text{Im} \sqrt{k_0^2 \epsilon_r (1 - j \tan \delta_d) - \left(\frac{\pi}{a}\right)^2}$$

**Note:**

If we are below cutoff, attenuation is mainly due to evanescence, so we don't worry about conductor and dielectric loss then.

Conductor loss:

$$\alpha_c = \frac{R_s}{b\eta_0} \frac{\sqrt{\epsilon_r}}{\sqrt{1 - (f_c/f)^2}} \left( 1 + \frac{2b}{a} \left(\frac{f_c}{f}\right)^2 \right) \quad [\text{np/m}]$$

(This is derived in ECE 5317.)

# Example

Find the single-mode operating frequency region for air-filled X-band waveguide.

Standard X-band\* waveguide:

$$a = 0.900 \text{ inches (2.286 cm)}$$

$$b = 0.400 \text{ inches (1.016 cm)}$$

**Note:**  $b < a / 2$

Use

$$f_c^{(1,0)} = \frac{c}{2a}$$

Hence, we have:

$$f_c^{(1,0)} = 6.56 \text{ [GHz]}$$

$$f_c^{(2,0)} = 13.11 \text{ [GHz]}$$

$$6.56 < f < 13.11 \text{ [GHz]}$$



X-band waveguide

\* X-band: from 8.0 to 12 GHz.

## Example (cont.)

- Find the phase constant of the TE<sub>10</sub> mode at 9.00 GHz.
- Find the attenuation in dB/m at 5.00 GHz

Recall:  $f_c^{(1,0)} = 6.56$  [GHz]



X-band waveguide

$$\beta = \sqrt{k^2 - \left(\frac{\pi}{a}\right)^2}, \quad f > f_c$$

$$\alpha = \sqrt{\left(\frac{\pi}{a}\right)^2 - k^2}, \quad f < f_c$$

$$k = k_0 = \omega \sqrt{\mu_0 \epsilon_0} = 2\pi f / c = 2\pi / \lambda_0$$

At 9.0 GHz:  $k = 188.62$  [rad/m]

At 5.0 GHz:  $k = 104.79$  [rad/m]

$$k_c = \pi / a = 137.43$$
 [rad/m]

At 9.00 GHz:  $\beta = 129.13$  [rad/m]

At 5.00 GHz:  $\alpha = 88.91$  [nepers/m]

## Example (cont.)

At 5.0 GHz:

$$\alpha = 88.91 \text{ [nepers/m]}$$

Recall:

$$\text{dB/m} = 8.68589 \alpha$$

$$\text{Attenuation} = 772 \text{ dB/m}$$

This is a very rapid attenuation!



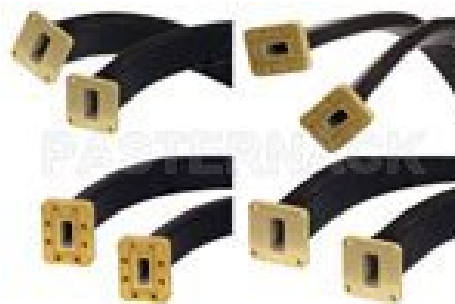
X-band waveguide



# Waveguide Components



Straight sections



Flexible waveguides



Waveguide bends



Waveguide adapters



Waveguide couplers



Waveguide terminations

<https://www.pasternack.com>

# Waveguide Modes in Transmission Lines

- ❖ A transmission line normally operates in the  $TEM_z$  mode, where the two conductors have equal and opposite currents.
- ❖ At high frequencies, waveguide modes can also propagate on transmission lines.
- ❖ This is undesirable, and it limits the high-frequency range of operation for the transmission line.

# Waveguide Modes in Coax

**Dominant waveguide mode in coax (derivation omitted):**

**TE<sub>11</sub> mode:**  $f_c^{\text{TE}_{11}} \approx \frac{c}{a\sqrt{\epsilon_r}} \left( \frac{1}{\pi} \right) \left( \frac{1}{1+b/a} \right)$

**Note:**  
In this notation, the “11”  
subscript refers to the  
angular and radial variation.

**Example: RG 142 coax**

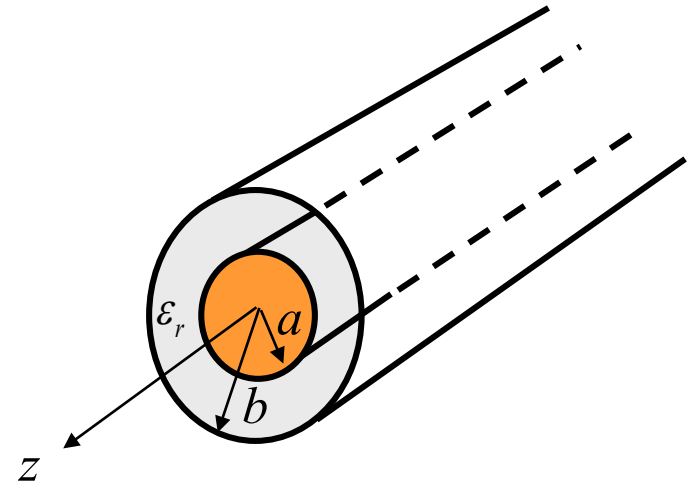
$$a = 0.035 \text{ inches} = 8.89 \times 10^{-4} \text{ [m]}$$

$$b = 0.116 \text{ inches} = 29.46 \times 10^{-4} \text{ [m]}$$

$$\epsilon_r = 2.2$$

$$b/a = 3.31 \Rightarrow Z_0 = 48.4 \text{ [\Omega]}$$

$$f_c^{\text{TE}_{11}} \approx 16.8 \text{ [GHz]}$$



$$Z_0 = \sqrt{\frac{L}{C}} = \frac{\eta_0}{2\pi\sqrt{\epsilon_r}} \ln\left(\frac{b}{a}\right)$$

**This coax cannot be used above 16.8 [GHz]**

# Appendix A: TM<sub>z</sub> Modes

We want to solve: 
$$\frac{\partial^2 E_z}{\partial x^2} + \frac{\partial^2 E_z}{\partial y^2} + (k^2 - k_z^2) E_z = 0$$

Define: 
$$k_c^2 \equiv k^2 - k_z^2$$

We then have: 
$$\frac{\partial^2 E_z}{\partial x^2} + \frac{\partial^2 E_z}{\partial y^2} + k_c^2 E_z = 0$$

Note that  $k_c$  is an unknown at this point.

Dividing by the  $\exp(-jk_z z)$  term, we have:

$$\frac{\partial^2 E_{z0}}{\partial x^2} + \frac{\partial^2 E_{z0}}{\partial y^2} + k_c^2 E_{z0} = 0$$

We solve the above equation by using the method of separation of variables.

We assume: 
$$E_{z0}(x, y) = X(x) Y(y)$$

## Appendix A (cont.)

$$\frac{\partial^2 E_{z0}}{\partial x^2} + \frac{\partial^2 E_{z0}}{\partial y^2} + k_c^2 E_{z0} = 0$$

$$E_{z0}(x, y) = X(x) Y(y)$$

Hence  $X''Y + XY'' = -k_c^2 XY$

Divide by  $XY$ :  $\frac{X''}{X} + \frac{Y''}{Y} = -k_c^2$

Hence  $\frac{X''}{X} = -k_c^2 - \frac{Y''}{Y}$

This has the form  $F(x) = G(y)$

Both sides of the equation must be a constant!

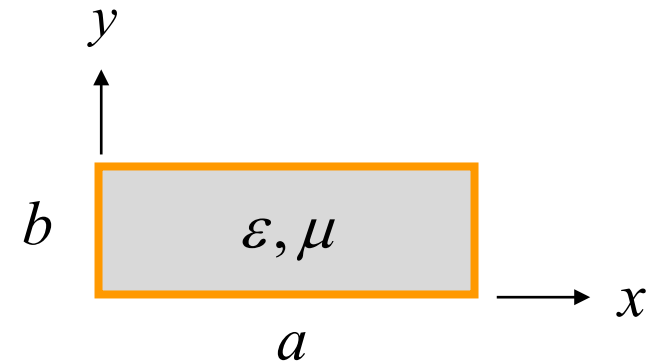
## Appendix A (cont.)

$$\frac{X''}{X} = -k_c^2 - \frac{Y''}{Y} = \text{constant}$$

Denote  $\frac{X''}{X} = -k_x^2 = \text{constant}$

General solution:  $X(x) = A \sin(k_x x) + B \cos(k_x x)$

Boundary conditions:  $\begin{cases} X(0) = 0 & (1) \\ X(a) = 0 & (2) \end{cases}$



(1)  $\Rightarrow B = 0 \Rightarrow X(x) = A \sin(k_x x)$

(2)  $\Rightarrow \sin(k_x a) = 0$

## Appendix A (cont.)

From the last slide:

$$\sin(k_x a) = 0$$

This gives us the following result:

$$k_x a = m\pi, \quad m = 1, 2, \dots$$

$$\Rightarrow k_x = \frac{m\pi}{a}$$

Hence  $X(x) = A \sin\left(\frac{m\pi x}{a}\right)$

Now we turn our attention to the  $Y(y)$  function.

# Appendix A (cont.)

We have

$$\frac{X''}{X} = -k_c^2 - \frac{Y''}{Y} = -k_x^2$$

Hence  $\frac{Y''}{Y} = k_x^2 - k_c^2$

Denote  $k_y^2 = k_c^2 - k_x^2$

Then we have  $\frac{Y''}{Y} = -k_y^2$

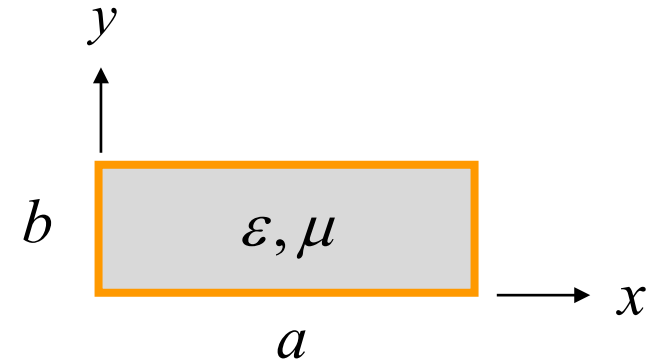
General solution:  $Y(y) = C \sin(k_y y) + D \cos(k_y y)$



# Appendix A (cont.)

$$Y(y) = C \sin(k_y y) + D \cos(k_y y)$$

Boundary conditions:  $\begin{cases} Y(0) = 0 & (3) \\ Y(b) = 0 & (4) \end{cases}$



(3)  $\Rightarrow D = 0 \Rightarrow Y(y) = C \sin(k_y y)$

(4)  $\Rightarrow \sin(k_y b) = 0$

Equation (4) gives us the following result:  $k_y b = n\pi, n = 1, 2, \dots$

$\Rightarrow k_y = \frac{n\pi}{b}$

## Appendix A (cont.)

The  $Y(y)$  function is then  $Y(y) = C \sin\left(\frac{n\pi y}{b}\right)$

Therefore, we have

$$E_{z0}(x, y) = X(x)Y(y) = AC \sin\left(\frac{m\pi x}{a}\right) \sin\left(\frac{n\pi y}{b}\right)$$

New notation:

$$E_{z0}(x, y) = A_{mn} \sin\left(\frac{m\pi x}{a}\right) \sin\left(\frac{n\pi y}{b}\right)$$

The  $E_z$  field inside the waveguide thus has the following form:

$$E_z(x, y, z) = A_{mn} \sin\left(\frac{m\pi x}{a}\right) \sin\left(\frac{n\pi y}{b}\right) e^{-jk_z^{(m,n)}z}$$

## Appendix A (cont.)

Recall that  $k_y^2 = k_c^2 - k_x^2$

Hence,  $k_c^2 = k_x^2 + k_y^2$

Therefore, the solution for  $k_c$  is given by

$$k_c^2 = \left(\frac{m\pi}{a}\right)^2 + \left(\frac{n\pi}{b}\right)^2$$

Next, recall that  $k_c^2 = k^2 - k_z^2$

Hence  $k_z^2 = k^2 - k_c^2$



$$k_z = k_z^{(m,n)} = \sqrt{k^2 - \left(\frac{m\pi}{a}\right)^2 - \left(\frac{n\pi}{b}\right)^2}$$

## Appendix B: TE<sub>z</sub> Modes

$$E_z = 0, \quad H_z \neq 0$$

We now start with

$$\frac{\partial^2 H_z}{\partial x^2} + \frac{\partial^2 H_z}{\partial y^2} + (k^2 - k_z^2) H_z = 0$$

Using the separation of variables method again, we have

$$H_{z0}(x, y) = X(x) Y(y)$$

where

$$X(x) = A \sin(k_x x) + B \cos(k_x x)$$

$$Y(y) = C \sin(k_y y) + D \cos(k_y y)$$

and

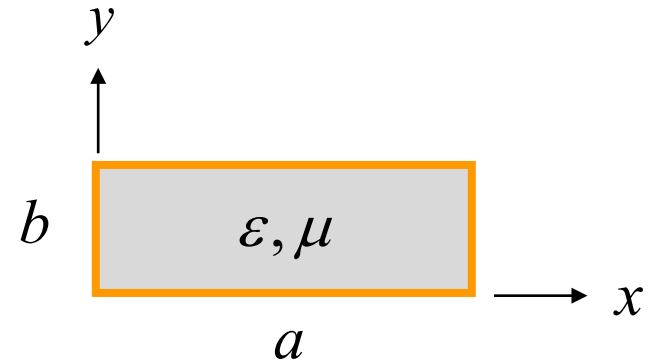
$$k_c^2 = k_x^2 + k_y^2 \quad k_z^2 = k^2 - k_c^2$$

# Appendix B (cont.)

Boundary conditions:

$$E_x(x, 0) = 0 \quad E_y(0, y) = 0$$

$$E_x(x, b) = 0 \quad E_y(a, y) = 0$$



The result is

$$H_{z0}(x, y) = A_{mn} \cos\left(\frac{m\pi x}{a}\right) \cos\left(\frac{n\pi y}{b}\right)$$

This can be shown by using the following equations:

$$E_x = \left(\frac{-j\omega\mu}{k^2 - k_z^2}\right) \frac{\partial H_z}{\partial y} - \left(\frac{jk_z}{k^2 - k_z^2}\right) \frac{\partial E_z}{\partial x} \quad \Rightarrow \quad \frac{\partial H_z}{\partial y} = 0, \quad y = 0, b$$

$$E_y = \left(\frac{j\omega\mu}{k^2 - k_z^2}\right) \frac{\partial H_z}{\partial x} - \left(\frac{jk_z}{k^2 - k_z^2}\right) \frac{\partial E_z}{\partial y} \quad \Rightarrow \quad \frac{\partial H_z}{\partial x} = 0, \quad x = 0, a$$

## Appendix B (cont.)

The  $H_z$  field inside the waveguide thus has the following form:

$$H_z(x, y, z) = A_{mn} \cos\left(\frac{m\pi x}{a}\right) \cos\left(\frac{n\pi y}{b}\right) e^{-jk_z^{(m,n)}z}$$

$$k_z^{(m,n)} = \sqrt{k^2 - \left(\frac{m\pi}{a}\right)^2 - \left(\frac{n\pi}{b}\right)^2}$$

Same formula for cutoff frequency as the TE<sub>z</sub> case!

$$\begin{aligned} m &= 0, 1, 2, \dots \\ n &= 0, 1, 2, \dots \end{aligned} \quad (m, n) \neq (0, 0)$$

**Note:** The (0,0) TE<sub>z</sub> mode is not valid, since it violates the magnetic Gauss law:

$$\underline{H}(x, y, z) = \hat{z} A_{00} e^{-jkz} \implies \nabla \cdot \underline{H}(x, y, z) \neq 0$$