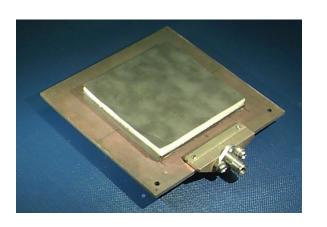
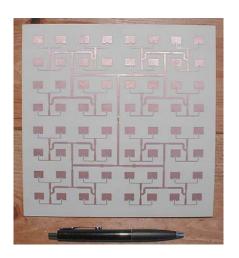


# Introduction to Microstrip Antennas

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### **Contact Information**

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### Purpose of Short Course

- Provide an introduction to microstrip antennas.
- Provide a physical and mathematical basis for understanding how microstrip antennas work.
- Provide a physical understanding of the basic physical properties of microstrip antennas.
- Provide an overview of some of the recent advances and trends in the area (but not an exhaustive survey – directed towards understanding the fundamental principles).

### Additional Resources

- Some basic references are provided at the end of these viewgraphs.
- You are welcome to visit a website that goes along with a course at the University of Houston on microstrip antennas (PowerPoint viewgraphs from the course may be found there, along with the viewgraphs from this short course).

ECE 6345: Microstrip Antennas

http://courses.egr.uh.edu/ECE/ECE6345/

#### Note:

You are welcome to use anything that you find on this website, as long as you please acknowledge the source.

### **Outline**

- Overview of microstrip antennas
- Feeding methods
- Basic principles of operation
- General characteristics
- CAD Formulas
- Input Impedance
- Radiation pattern
- Circular polarization
- Circular patch
- Improving bandwidth
- Miniaturization
- Reducing surface waves and lateral radiation

### **Notation**

c = speed of light in free space

 $\lambda_0$  = wavelength of free space

 $k_0$  = wavenumber of free space

 $k_1$  = wavenumber of substrate

 $\eta_0=$  intrinsic impedance of free space

 $\eta_1$  =intrinsic impedance of substrate

 $\mathcal{E}_r$  = relative permtitivity (dielectric constant) of substrate

 $\mathcal{E}_r^{\it eff} =$  effective relative permtitivity (accouting for fringing of flux lines at edges)

 $\varepsilon_{rc}^{\it eff} =$  complex effective relative permtitivity (used in the cavity model to account for all losses)

$$c = 2.99792458 \times 10^{8} \text{ [m/s]}$$

$$\lambda_{0} = c / f$$

$$k_{0} = \omega \sqrt{\mu_{0} \varepsilon_{0}} = 2\pi / \lambda_{0}$$

$$k_{1} = k_{0} \sqrt{\varepsilon_{r}}$$

$$\eta_{0} = \sqrt{\frac{\mu_{0}}{\varepsilon_{0}}} \approx 376.7303 \text{ [}\Omega\text{]}$$

$$\eta_{1} = \eta_{0} / \sqrt{\varepsilon_{r}}$$

$$c = \frac{1}{\sqrt{\varepsilon_0 \mu_0}}$$

$$\mu_0 = 4\pi \times 10^7 \text{ [H/m]}$$

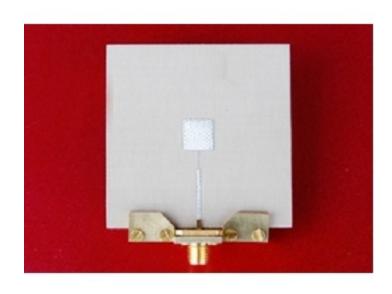
$$\varepsilon_0 = \frac{1}{\mu_0 c^2} \approx 8.854188 \times 10^{12} \text{ [F/m]}$$

### **Outline**

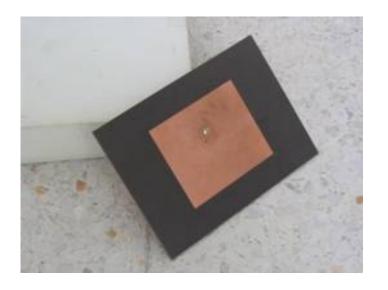
- Overview of microstrip antennas
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### Also called "patch antennas"

- One of the most useful antennas at microwave frequencies (f > 1 GHz).
- It usually consists of a metal "patch" on top of a grounded dielectric substrate.
- The patch may be in a variety of shapes, but rectangular and circular are the most common.

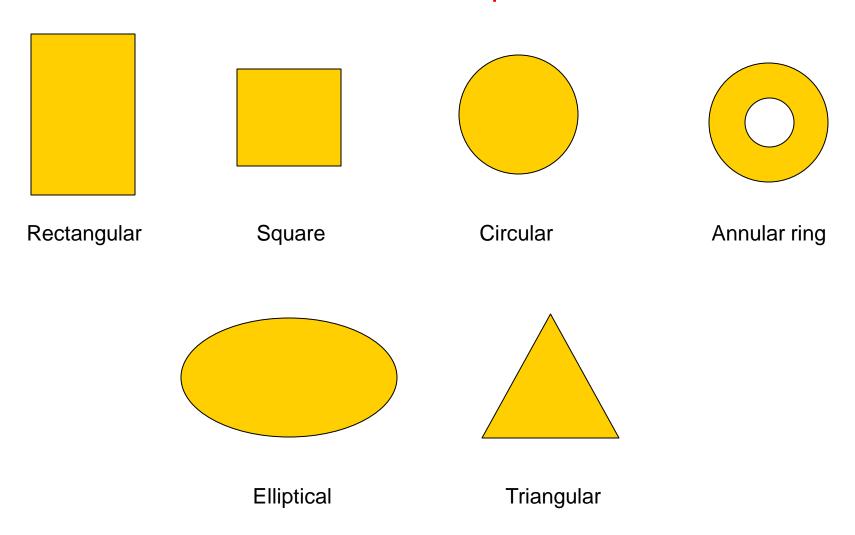


Microstrip line feed



Coax feed

### **Common Shapes**



### History

- Invented by Bob Munson in 1972 (but earlier work by Dechamps goes back to 1953).
- Became popular starting in the 1970s.

- G. Deschamps and W. Sichak, "Microstrip Microwave Antennas," Proc. of Third Symp. on USAF Antenna Research and Development Program, October 18–22, 1953.
- R. E. Munson, "Microstrip Phased Array Antennas," *Proc. of Twenty-Second Symp. on USAF Antenna Research and Development Program,* October 1972.
- R. E. Munson, "Conformal Microstrip Antennas and Microstrip Phased Arrays," *IEEE Trans. Antennas Propagat.*, vol. AP-22, no. 1 (January 1974): 74–78.

### Advantages of Microstrip Antennas

- Low profile (can even be "conformal," i.e. flexible to conform to a surface).
- Easy to fabricate (use etching and photolithography).
- > Easy to feed (coaxial cable, microstrip line, etc.).
- Easy to incorporate with other microstrip circuit elements and integrate into systems.
- Patterns are somewhat hemispherical, with a moderate directivity (about 6-8 dB is typical).
- Easy to use in an array to increase the directivity.

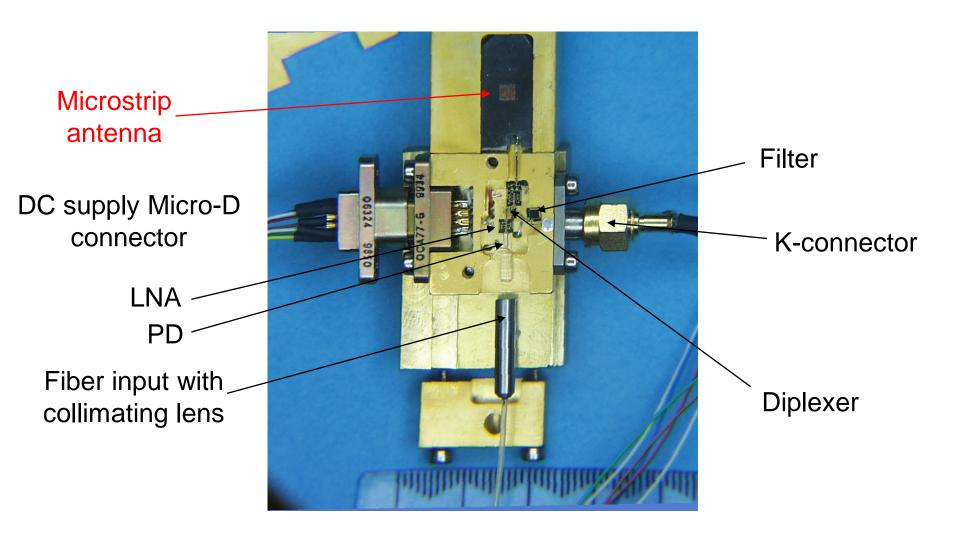
### Disadvantages of Microstrip Antennas

- ➤ Low bandwidth (but can be improved by a variety of techniques). Bandwidths of a <u>few percent</u> are typical. Bandwidth is roughly proportional to the substrate thickness and inversely proportional to the substrate permittivity.
- ➤ Efficiency may be lower than with other antennas. Efficiency is limited by conductor and dielectric losses\*, and by surface-wave loss\*\*.
- Only used at microwave frequencies and above (the substrate becomes too large at lower frequencies).
- Cannot handle extremely large amounts of power (dielectric breakdown).
  - \* Conductor and dielectric losses become more severe for thinner substrates.
  - \*\* Surface-wave losses become more severe for thicker substrates (unless air or foam is used).

### **Applications**

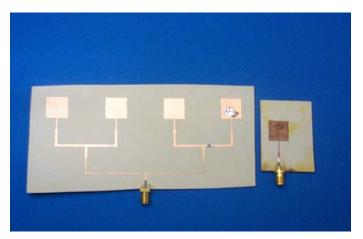
#### Applications include:

- Satellite communications
- Microwave communications
- Cell phone antennas
- GPS antennas

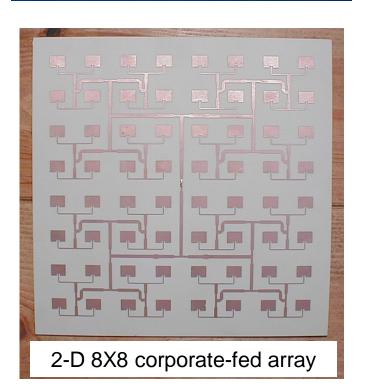


Microstrip Antenna Integrated into a System: HIC Antenna Base-Station for 28-43 GHz

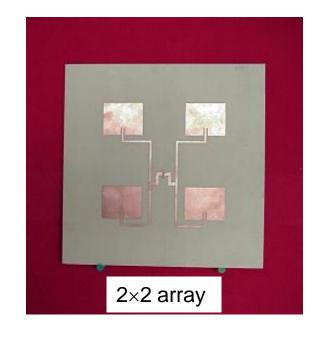
(Photo courtesy of Dr. Rodney B. Waterhouse)

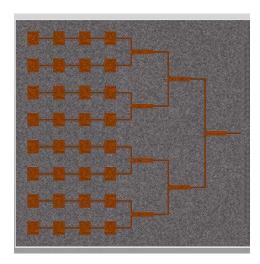


Linear array (1-D corporate feed)



### Arrays





 $4 \times 8$  corporate-fed / series-fed array

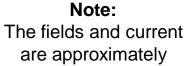
Wraparound Array (conformal)



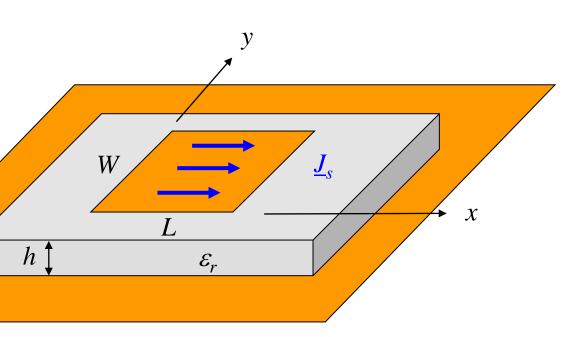
The substrate is so thin that it can be bent to "conform" to the surface.

(Photo courtesy of Dr. Rodney B. Waterhouse)

### Rectangular patch



independent of y for the dominant (1,0) mode.

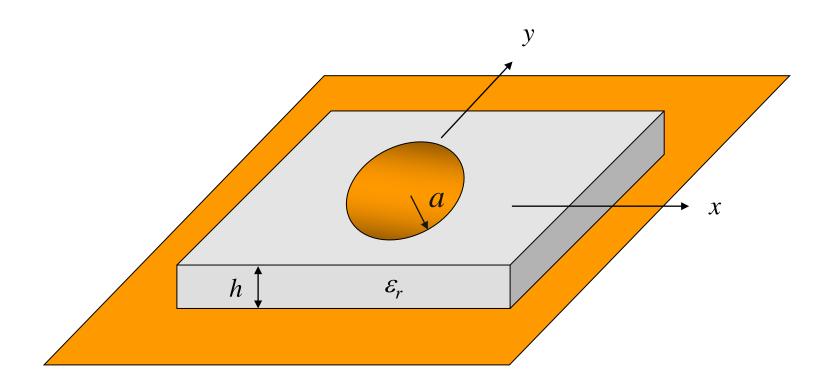


#### Note:

L is the resonant dimension (direction of current flow). The width W is usually chosen to be larger than L (to get higher bandwidth). However, usually W < 2L (to avoid problems with the (0,2) mode).

W = 1.5L is typical.

#### Circular Patch



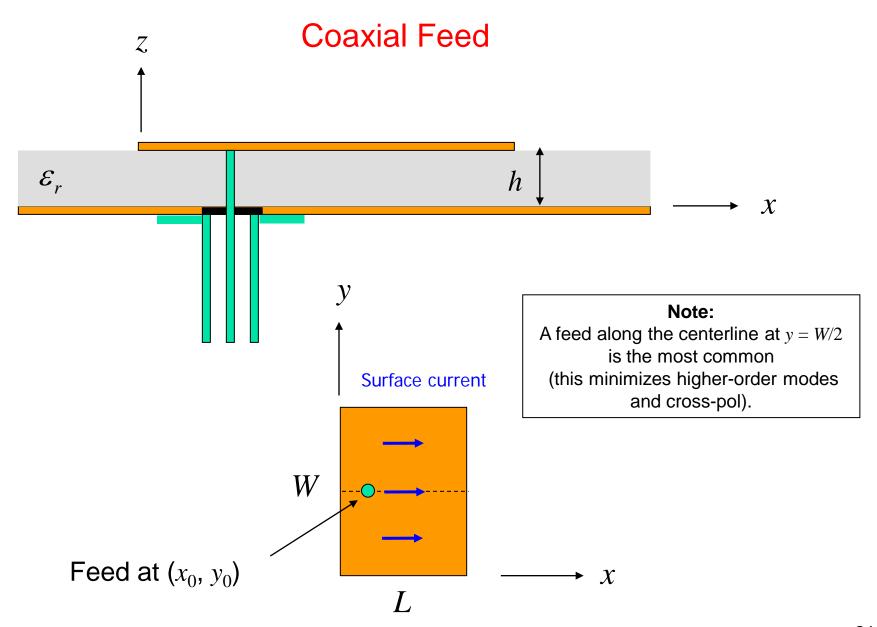
The location of the feed determines the direction of current flow and hence the polarization of the radiated field.

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Some of the more common methods for feeding microstrip antennas are shown.

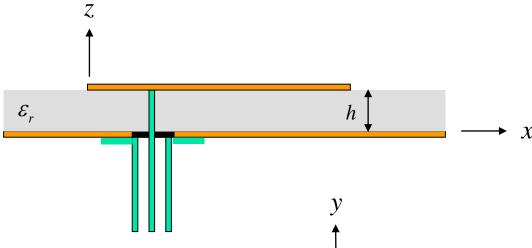
The feeding methods are illustrated for a rectangular patch, but the principles apply for circular and other shapes as well.



#### **Coaxial Feed**

$$R = R_{edge} \cos^2 \left(\frac{\pi x_0}{L}\right)$$

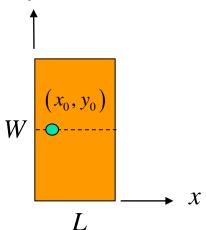
(The resistance varies as the square of the modal field shape.)



#### Advantages:

- > Simple
- ➤ Directly compatible with coaxial cables
- > Easy to obtain input match by adjusting feed position

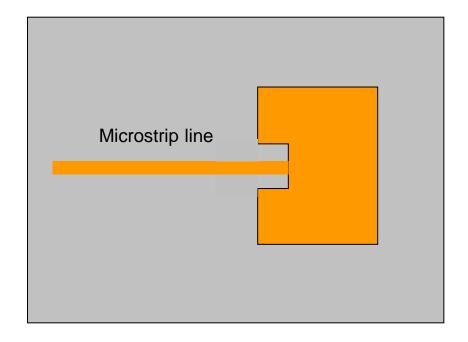
- > Significant probe (feed) radiation for thicker substrates
- Significant probe inductance for thicker substrates (limits bandwidth)
- ➤ Not easily compatible with arrays



#### **Inset Feed**

#### Advantages:

- > Simple
- ➤ Allows for planar feeding
- > Easy to use with arrays
- > Easy to obtain input match



- ➤ Significant line radiation for thicker substrates
- > For deep notches, patch current and radiation pattern may show distortion

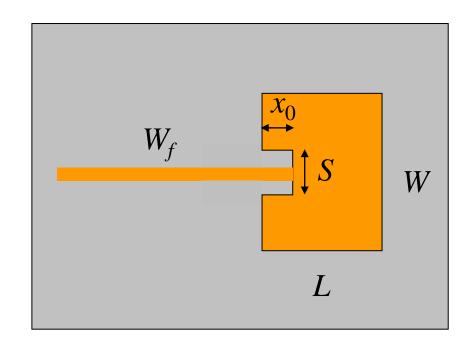
#### **Inset Feed**

An investigation has shown that the resonant input resistance varies as:

$$R_{in} = A\cos^2\left(\frac{\pi}{2}\left(\frac{2x_0}{L} - B\right)\right)$$

Less accurate approximation:

$$R \approx R_{edge} \cos^2 \left(\frac{\pi x_0}{L}\right)$$



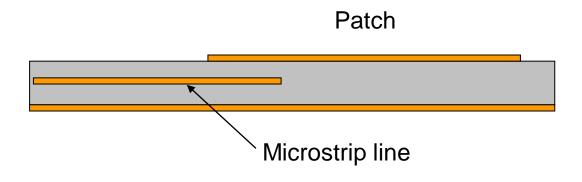
The coefficients A and B depend on the notch width S but (to a good approximation) not on the line width  $W_f$ .

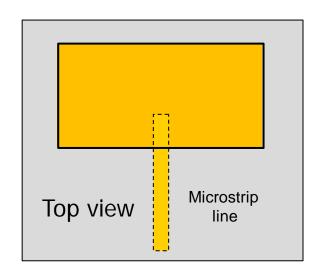
Y. Hu, D. R. Jackson, J. T. Williams, and S. A. Long, "Characterization of the Input Impedance of the Inset-Fed Rectangular Microstrip Antenna," *IEEE Trans. Antennas and Propagation*, Vol. 56, No. 10, pp. 3314-3318, Oct. 2008.

# Proximity-coupled Feed (Electromagnetically-coupled Feed)

#### Advantages:

- > Allows for planar feeding
- Less line radiation compared to microstrip feed (the line is closer to the ground plane)
- Can allow for higher bandwidth (no probe inductance, so substrate can be thicker)



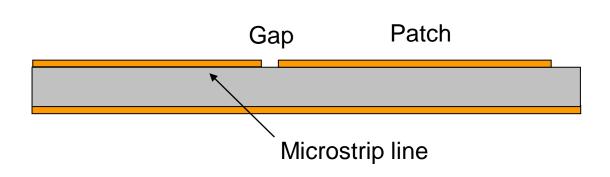


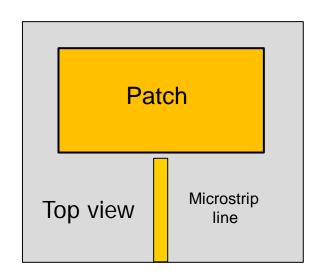
- Requires multilayer fabrication
- Alignment is important for input match

### Gap-coupled Feed

#### Advantages:

- > Allows for planar feeding
- ➤ Can allow for a match even with high edge impedances, where a notch might be too large (e.g., when using a high permittivity substrate)



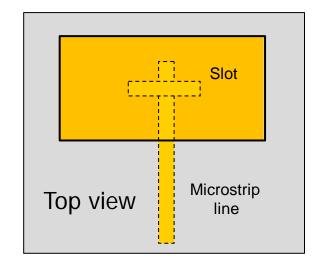


- Requires accurate gap fabrication
- Requires full-wave design

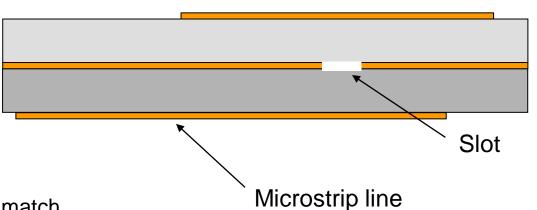
### Aperture-coupled Patch (ACP)

#### Advantages:

- > Allows for planar feeding
- > Feed-line radiation is isolated from patch radiation
- ➤ Higher bandwidth is possible since probe inductance is eliminated (allowing for a thick substrate), and also a double-resonance can be created
- Allows for use of different substrates to optimize antenna and feed-circuit performance



Patch

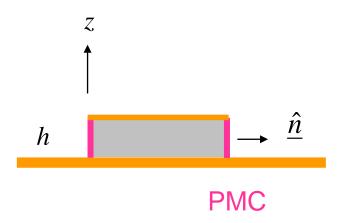


- > Requires multilayer fabrication
- Alignment is important for input match

### **Outline**

- Overview of microstrip antennas
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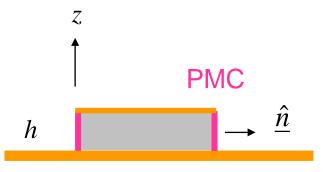
- The basic principles are illustrated here for a rectangular patch, but the principles apply similarly for other patch shapes.
- We use the cavity model to explain the operation of the patch antenna.



Y. T. Lo, D. Solomon, and W. F. Richards, "Theory and Experiment on Microstrip Antennas," *IEEE Trans. Antennas Propagat.*, vol. AP-27, no. 3 (March 1979): 137–145.

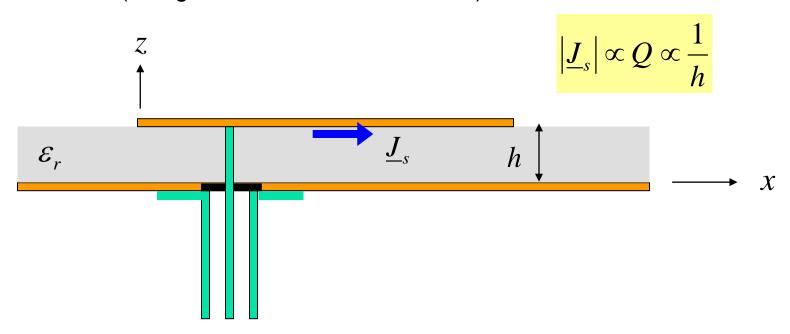
#### Main Ideas:

- The patch acts approximately as a <u>resonant cavity</u> (with perfect electric conductor (PEC) walls on top and bottom, and perfect magnetic conductor (PMC) walls on the edges).
- Radiation is accounted for by using an effective loss tangent for the substrate.
- In a cavity, only certain modes are allowed to exist, at different resonance frequencies.
- If the antenna is excited at a resonance frequency, a strong field is set up inside the cavity, and a strong current on the (bottom) surface of the patch. This produces significant radiation (a good antenna).



A microstrip antenna can radiate well, even with a thin substrate, because of <u>resonance</u>.

- $\triangleright$  As the substrate gets thinner the patch current radiates less, due to image cancellation (current and image are separated by 2h).
- ➤ However, the *Q* of the resonant cavity mode also increases, making the patch currents stronger at resonance.
- ➤ These two effects cancel, allowing the patch to radiate well even for thin substrates (though the bandwidth decreases).

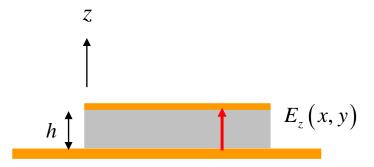


### Thin Substrate Approximation

On patch and ground plane: 
$$\underline{E}_t = \underline{0}$$
  $\Longrightarrow$   $\underline{E} = \hat{\underline{z}} E_z$ 

Inside the patch cavity, because of the thin substrate, the electric field vector is approximately independent of z.

Hence 
$$\underline{E}(x, y, z) \approx \hat{\underline{z}} E_z(x, y)$$



### Thin Substrate Approximation

Magnetic field inside patch cavity:

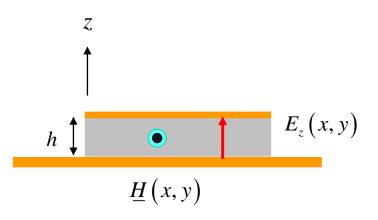
$$\begin{split} \underline{H} &= -\frac{1}{j\omega\mu} \nabla \times \underline{E} \\ &= -\frac{1}{j\omega\mu} \nabla \times \left( \underline{\hat{z}} E_z(x, y) \right) \\ &= -\frac{1}{j\omega\mu} \left( -\underline{\hat{z}} \times \nabla E_z(x, y) \right) \end{split}$$

### Thin Substrate Approximation

$$\underline{H}(x,y) = \frac{1}{j\omega\mu} (\hat{\underline{z}} \times \nabla E_z(x,y))$$

#### Note:

The magnetic field is purely horizontal. (The mode is TM<sub>2</sub>.)



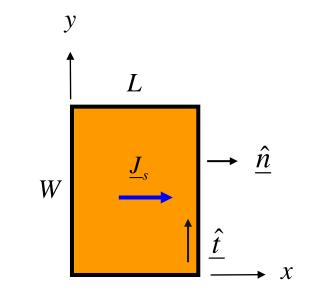
### Magnetic-wall Approximation

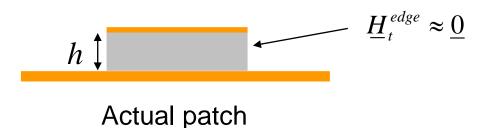
The patch edge acts as an approximate open circuit.

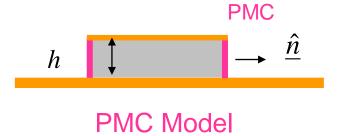
$$\underline{H}_t = \underline{0}$$
 (PMC)

or

$$\underline{\hat{n}} \times \underline{H}(x, y) = \underline{0}$$







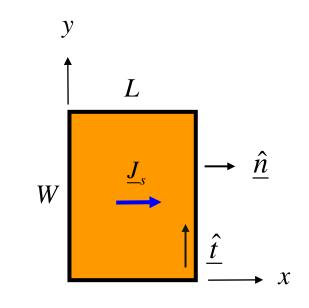
### **Magnetic-wall Approximation**

$$\underline{\hat{n}} \times \underline{H}(x, y) = \underline{0}$$

$$\underline{H}(x,y) = \frac{1}{j\omega\mu} (\hat{\underline{z}} \times \nabla E_z(x,y))$$

Hence,

$$\underline{\hat{n}} \times \left(\underline{\hat{z}} \times \nabla E_z(x, y)\right) = \underline{0}$$



$$\underline{\hat{n}} \times (\underline{\hat{z}} \times \nabla E_z(x, y)) = \underline{\hat{z}} (\underline{\hat{n}} \cdot \nabla E_z(x, y)) - \nabla E_z(x, y) (\underline{\hat{n}} / \underline{\hat{z}})$$



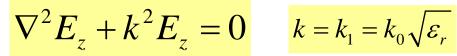
$$\hat{\underline{z}}(\hat{\underline{n}} \cdot \nabla E_z(x, y)) = \underline{0}$$

$$\frac{\partial E_z}{\partial n} = 0$$

(Neumann B.C.)



#### Resonance Frequencies



$$k = k_1 = k_0 \sqrt{\varepsilon_r}$$

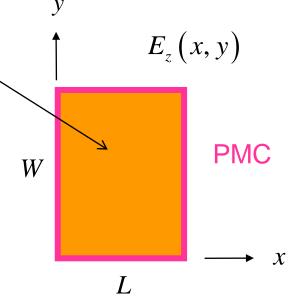
From separation of variables:

$$E_z = \cos\left(\frac{m\pi x}{L}\right)\cos\left(\frac{n\pi y}{W}\right)$$

 $(TM_{mn} \text{ mode})$ 

We then have 
$$\left[ -\left(\frac{m\pi}{L}\right)^2 - \left(\frac{n\pi}{W}\right)^2 + k_1^2 \right] E_z = 0$$

Hence 
$$\left[ -\left(\frac{m\pi}{L}\right)^2 - \left(\frac{n\pi}{W}\right)^2 + k_1^2 \right] = 0$$



#### Note:

We ignore the loss tangent of the substrate for the calculation of the resonance frequencies.

#### Resonance Frequencies

#### We thus have

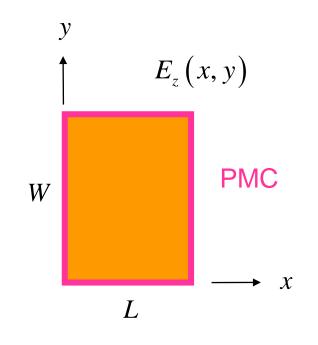
$$k_1^2 = \left(\frac{m\pi}{L}\right)^2 + \left(\frac{n\pi}{W}\right)^2$$

#### Recall that

$$k_1 = k_0 \sqrt{\varepsilon_r} = \omega \sqrt{\mu_0 \varepsilon_0} \sqrt{\varepsilon_r}$$
$$\omega = 2\pi f$$

#### Hence

$$f = \frac{c}{2\pi\sqrt{\varepsilon_r}} \sqrt{\left(\frac{m\pi}{L}\right)^2 + \left(\frac{n\pi}{W}\right)^2}$$



$$c = 1/\sqrt{\mu_0 \varepsilon_0}$$

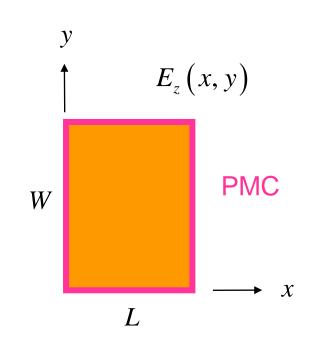
#### Resonance Frequencies

Hence 
$$f = f_{mn}$$

(resonance frequency of (m,n) mode)

#### where

$$f_{mn} = \frac{c}{2\pi\sqrt{\varepsilon_r}} \sqrt{\left(\frac{m\pi}{L}\right)^2 + \left(\frac{n\pi}{W}\right)^2}$$



#### Dominant (1,0) mode

This structure operates as a "fat planar dipole."

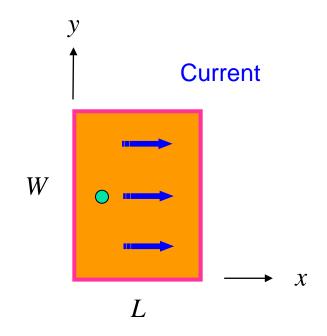
This mode is usually used because the radiation pattern has a broadside beam.

$$f_{10} = \frac{c}{2\sqrt{\varepsilon_r}} \left(\frac{1}{L}\right)$$

$$E_z = \cos\left(\frac{\pi x}{L}\right)$$

$$\underline{H}(x,y) = -\underline{\hat{y}}\left(\frac{1}{j\omega\mu}\right)\left(\frac{\pi}{L}\right)\sin\left(\frac{\pi x}{L}\right)$$

$$\underline{J}_{s} = \hat{\underline{x}} \left( \frac{-1}{j\omega\mu_{0}} \right) \left( \frac{\pi}{L} \right) \sin\left( \frac{\pi x}{L} \right)$$



The current is maximum in the middle of the patch, when plotted along *x*.

The resonant length L is about 0.5 guided wavelengths in the x direction (see next slide).

### Resonance Frequency of Dominant (1,0) Mode

The resonance frequency is mainly controlled by the patch length *L* and the substrate permittivity.

Approximately, (assuming PMC walls)

$$k_1^2 = \left(\frac{m\pi}{L}\right)^2 + \left(\frac{n\pi}{W}\right)^2$$

This is equivalent to saying that the length L is one-half of a wavelength in the dielectric.

(1,0) mode: 
$$k_1 L = \pi$$
  $L = \lambda_d / 2 = \frac{\lambda_0 / 2}{\sqrt{\varepsilon_r}}$ 

$$L = \lambda_d / 2 = \frac{\lambda_0 / 2}{\sqrt{\varepsilon_r}}$$



#### Comment:

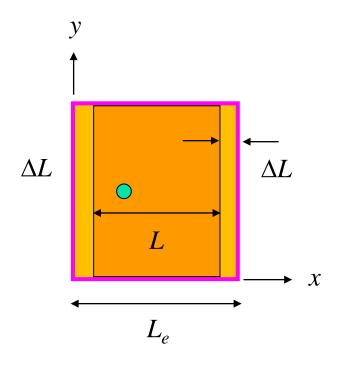
A higher substrate permittivity allows for a smaller antenna (miniaturization), but with a lower bandwidth.

#### Resonance Frequency of Dominant Mode

The resonance frequency calculation can be improved by adding a "fringing length extension"  $\Delta L$  to each edge of the patch to get an "effective length"  $L_e$ .

$$L_e = L + 2\Delta L$$

$$f_{10} = \frac{c}{2\sqrt{\varepsilon_r}} \left(\frac{1}{L_e}\right)$$





**Note:** Some authors use *effective permitt*ivity in this equation. (This would change the value of  $L_e$ .)

#### Resonance Frequency of Dominant Mode

#### Hammerstad formula:

$$\Delta L/h = 0.412 \left[ \frac{\left(\varepsilon_r^{eff} + 0.3\right) \left(\frac{W}{h} + 0.264\right)}{\left(\varepsilon_r^{eff} - 0.258\right) \left(\frac{W}{h} + 0.8\right)} \right]$$

$$\varepsilon_r^{eff} = \frac{\varepsilon_r + 1}{2} + \left(\frac{\varepsilon_r - 1}{2}\right) \left[1 + 12\left(\frac{h}{W}\right)\right]^{-1/2}$$

#### Note:

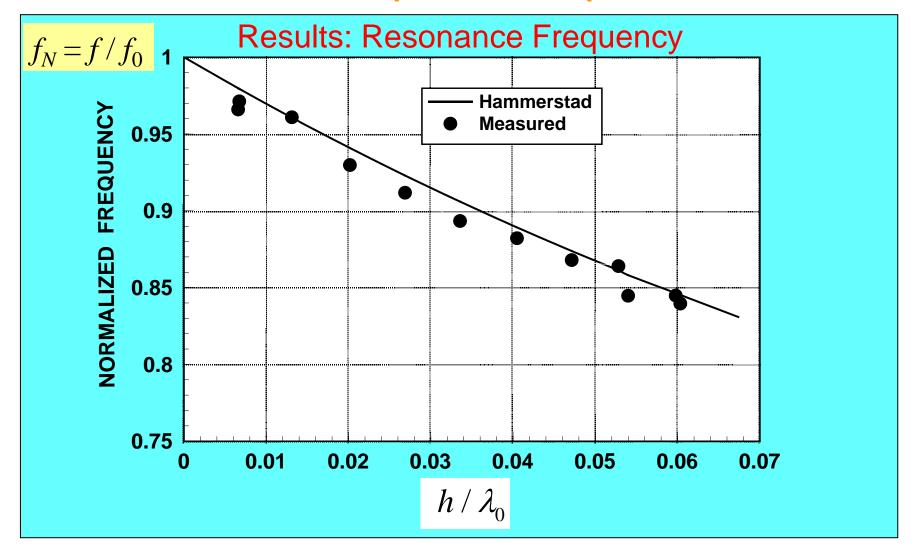
Even though the Hammerstad formula involves an effective permittivity, we still use the actual substrate permittivity in the resonance frequency formula.

$$f_{10} = \frac{c}{2\sqrt{\varepsilon_r}} \left( \frac{1}{L + 2\Delta L} \right)$$

Resonance Frequency of Dominant Mode

Note:  $\Delta L \approx 0.5 \ h$ 

This is a good "rule of thumb" to give a quick estimate.



$$\varepsilon_r = 2.2$$

$$W/L = 1.5$$

The resonance frequency has been normalized by the zero-order value (without fringing).

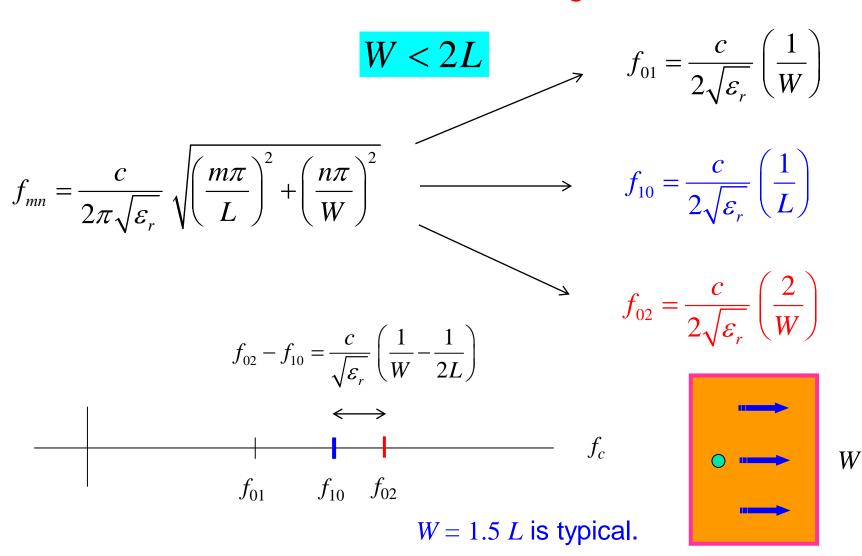
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- Reducing surface waves and lateral radiation

#### Bandwidth

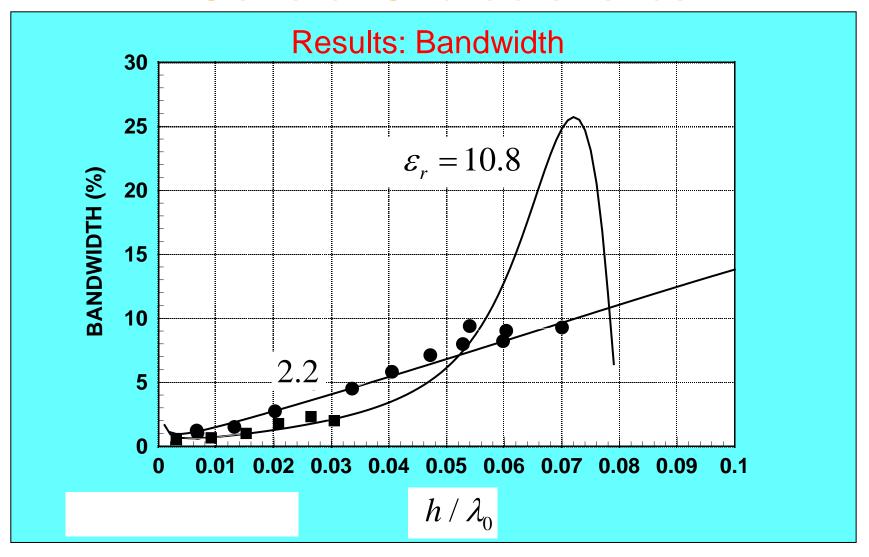
- $\triangleright$  The bandwidth is directly proportional to substrate thickness h.
- However, if h is greater than about  $0.05 \lambda_0$ , the probe inductance (for a coaxial feed) becomes large enough so that matching is difficult the bandwidth will decrease.
- The bandwidth is inversely proportional to  $\varepsilon_r$  (a foam substrate gives a high bandwidth).
- The bandwidth of a rectangular patch is proportional to the patch width W (but we need to keep W < 2L; see the next slide).

#### Width Restriction for a Rectangular Patch



#### Some Bandwidth Observations

- For a typical substrate thickness ( $h/\lambda_0 = 0.02$ ), and a typical substrate permittivity ( $\varepsilon_r = 2.2$ ) the bandwidth is about 3%.
- By using a thick foam substrate, bandwidth of about 10% can be achieved.
- ➤ By using special feeding techniques (aperture coupling) and stacked patches, bandwidths of 100% have been achieved.

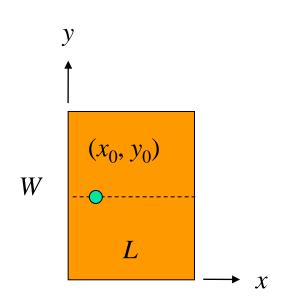


The discrete data points are measured values. The solid curves are from a CAD formula (given later).

$$\varepsilon_r = 2.2 \text{ or } 10.8 \qquad W/L = 1.5$$

### Resonant Input Resistance

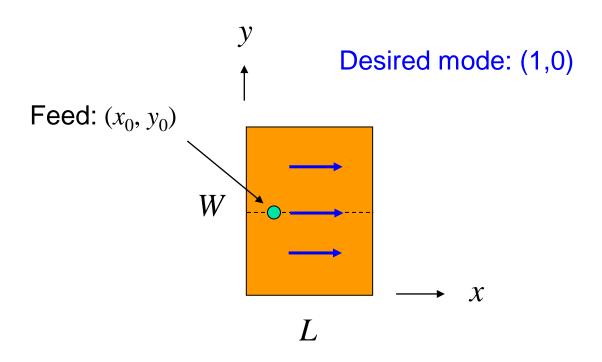
- The resonant input resistance is fairly independent of the substrate thickness *h* unless *h* gets small (the variation is then mainly due to dielectric and conductor loss).
- $\triangleright$  The resonant input resistance is proportional to  $\varepsilon_r$ .
- The resonant input resistance is directly controlled by the location of the feed point (maximum at edges x = 0 or x = L, zero at center of patch).



#### Resonant Input Resistance (cont.)

#### Note:

The patch is usually fed along the <u>centerline</u>  $(y_0 = W/2)$  to maintain symmetry and thus minimize excitation of undesirable modes (which cause cross-pol).



#### Resonant Input Resistance (cont.)

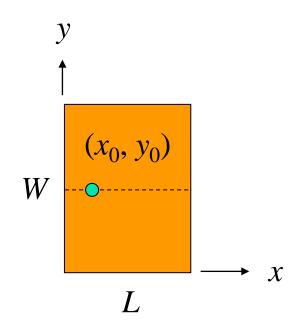
For a given mode, it can be shown that the resonant input resistance is proportional to the square of the cavity-mode field at the feed point.

This is seen from the cavity-model eigenfunction analysis (please see the reference).

$$R_{in} \propto E_z^2(x_0, y_0)$$

For (1,0) mode:

$$R_{in} \propto \cos^2\left(\frac{\pi x_0}{L}\right)$$

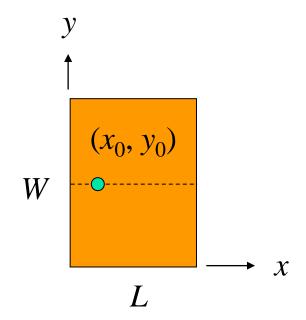


Y. T. Lo, D. Solomon, and W. F. Richards, "Theory and Experiment on Microstrip Antennas," *IEEE Trans. Antennas Propagat.*, vol. AP-27, no. 3 (March 1979): 137–145.

### Resonant Input Resistance (cont.)

Hence, for (1,0) mode:

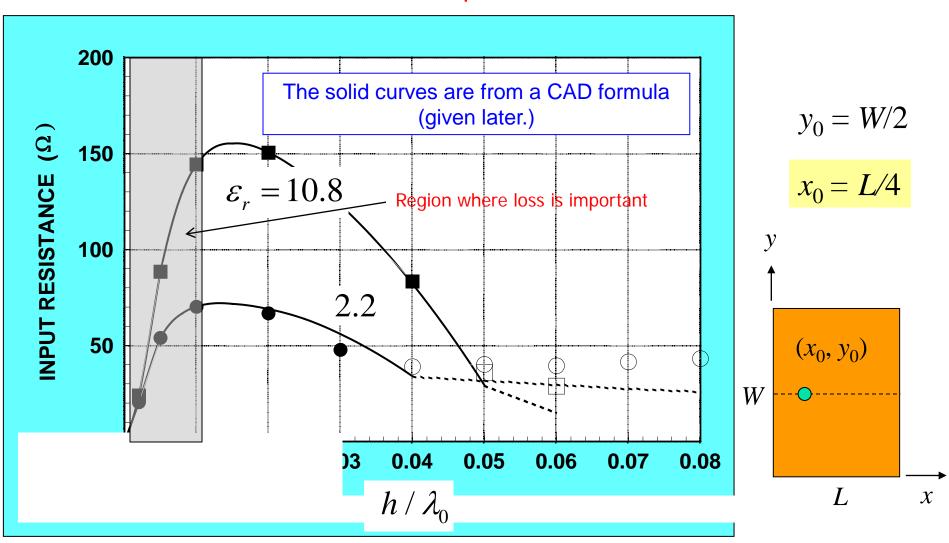
$$R_{in} = R_{edge} \cos^2 \left(\frac{\pi x_0}{L}\right)$$



The value of  $R_{edge}$  depends strongly on the substrate permittivity (it is proportional to the permittivity).

For a typical patch, it is often in the range of 100-200 Ohms.

Results: Resonant Input Resistance



$$\varepsilon_r = 2.2 \text{ or } 10.8$$

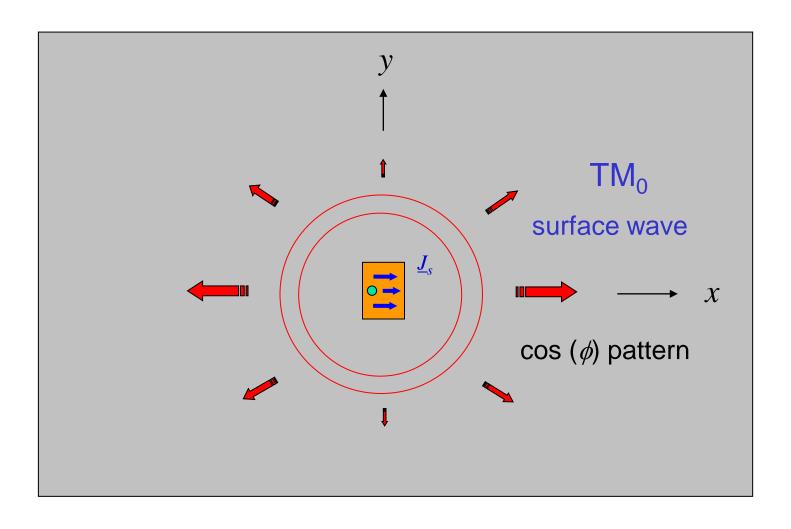
### Radiation Efficiency

Radiation efficiency is the ratio of power radiated into space, to the total input power.

$$e_r = \frac{P_r}{P_{tot}}$$

- > The radiation efficiency is less than 100% due to
  - Conductor loss
  - Dielectric loss
  - Surface-wave excitation\*

### Radiation Efficiency (cont.)



### Radiation Efficiency (cont.)

Hence,

$$e_r = \frac{P_r}{P_{tot}} = \frac{P_r}{P_r + (P_c + P_d + P_{sw})}$$

 $P_r$  = radiated power

 $P_{tot}$  = total input power

 $P_c$  = power dissipated by conductors

 $P_d$  = power dissipated by dielectric

 $P_{sw}$  = power launched into surface wave

#### Radiation Efficiency (cont.)

#### Some observations:

- Conductor and dielectric loss is more important for thinner substrates (the Q of the cavity is higher, and thus the resonance is more seriously affected by loss).
- $\triangleright$  Conductor loss increases with frequency (proportional to  $f^{1/2}$ ) due to the skin effect. It can be very serious at millimeter-wave frequencies.
- Conductor loss is usually more important than dielectric loss for typical substrate thicknesses and loss tangents.

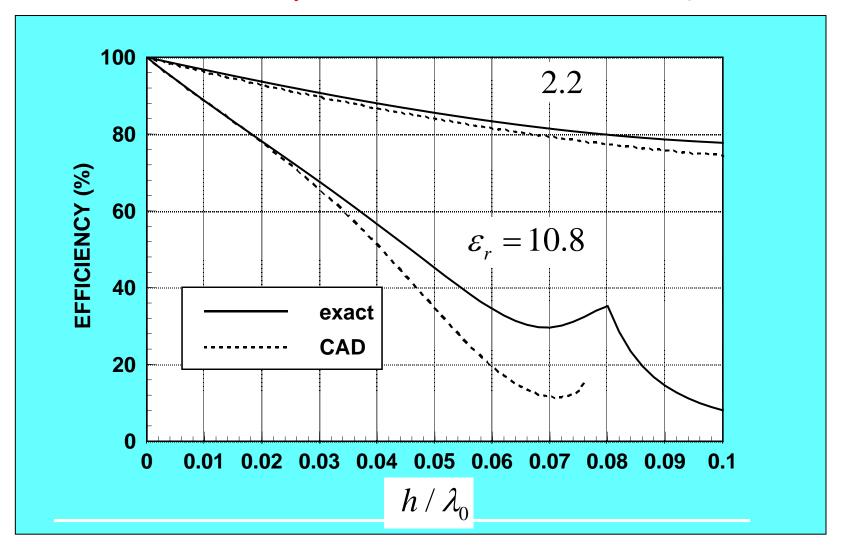
$$R_{s} = \frac{1}{\sigma \delta} \qquad \delta = \sqrt{\frac{2}{\omega \mu \sigma}}$$

 $R_{\rm s}$  is the surface resistance of the metal. The skin depth of the metal is  $\delta$ .

### Radiation Efficiency (cont.)

- Surface-wave power is more important for thicker substrates or for higher-substrate permittivities. (The surface-wave power can be minimized by using a thin substrate or a foam substrate.)
  - For a foam substrate, a high radiation efficiency is obtained by making the substrate thicker (minimizing the conductor and dielectric losses). There is no surface-wave power to worry about.
  - For a typical substrate such as  $\varepsilon_r = 2.2$ , the radiation efficiency is maximum for  $h / \lambda_0 \approx 0.02$ .

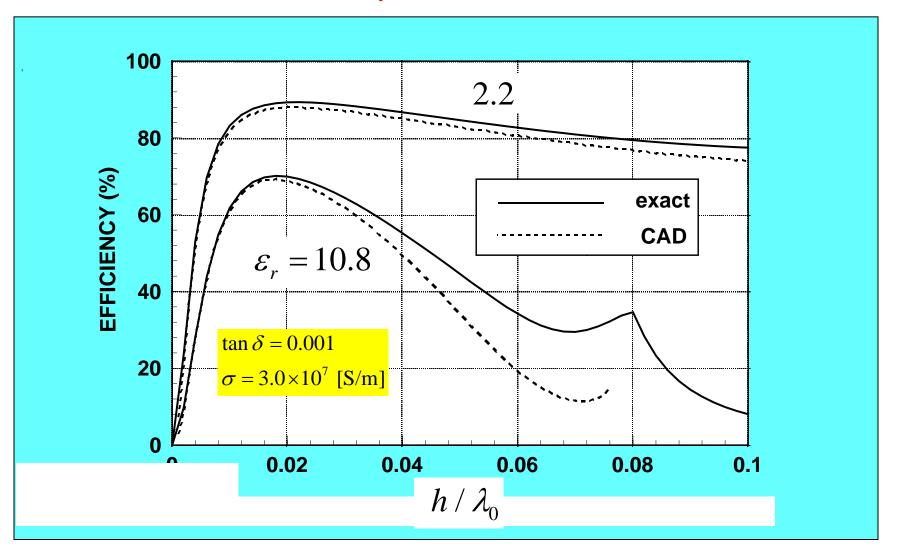
**Results:** Efficiency (Conductor and dielectric losses are <u>neglected</u>.)



 $\varepsilon_r = 2.2 \text{ or } 10.8 \qquad W/L = 1.5$ 

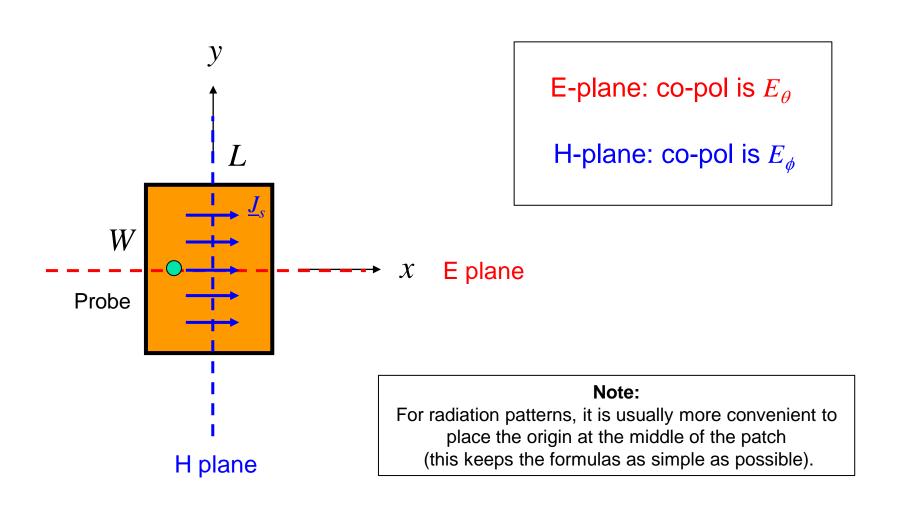
**Note:** CAD plot uses the Pozar formula (given later).

**Results:** Efficiency (All losses are <u>accounted</u> for.)



 $\varepsilon_r = 2.2$  or 10.8 W/L = 1.5 **Note:** CAD plot uses the Pozar formula (given later).

#### Radiation Pattern



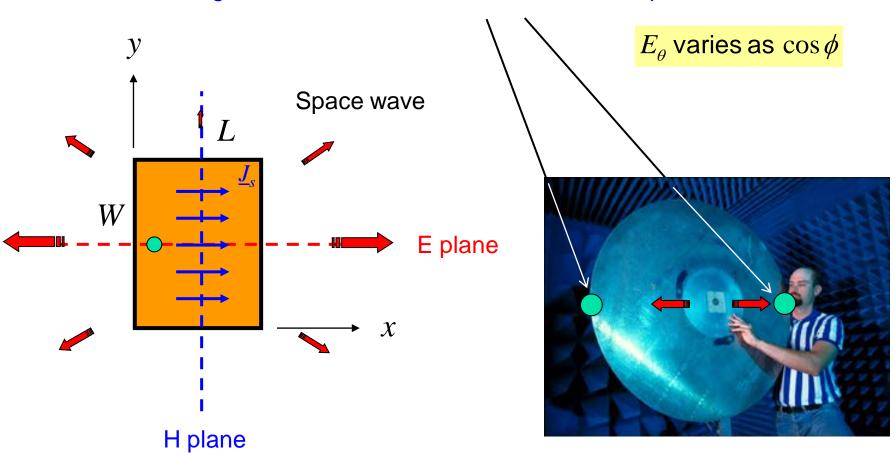
#### Radiation Patterns (cont.)

#### Comments on radiation patterns:

- > The E-plane pattern is typically broader than the H-plane pattern.
- ➤ The truncation of the ground plane will cause edge diffraction, which tends to degrade the pattern by introducing:
  - Rippling in the forward direction
  - Back-radiation
- Pattern distortion is more severe in the E-plane, due to the angle dependence of the vertical polarization  $E_{\theta}$  on the ground plane. (It varies as  $\cos(\phi)$ ).

#### **Radiation Patterns**

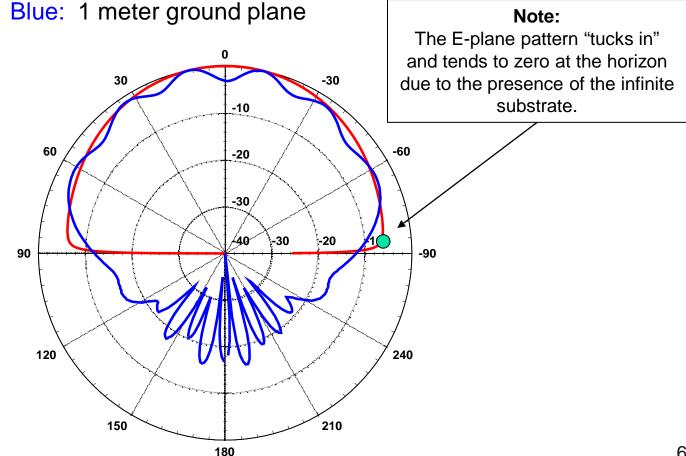
Edge diffraction is the most serious in the E plane.



#### **Radiation Patterns**

E-plane pattern

Red: infinite substrate and ground plane

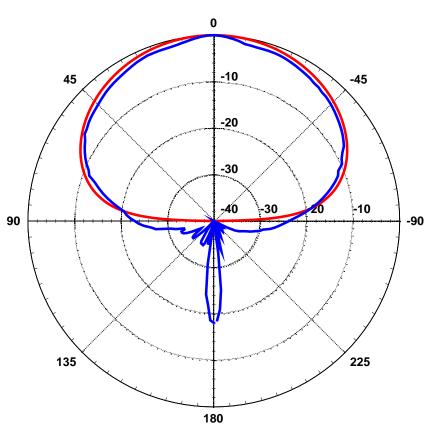


#### **Radiation Patterns**

#### H-plane pattern

Red: infinite substrate and ground plane

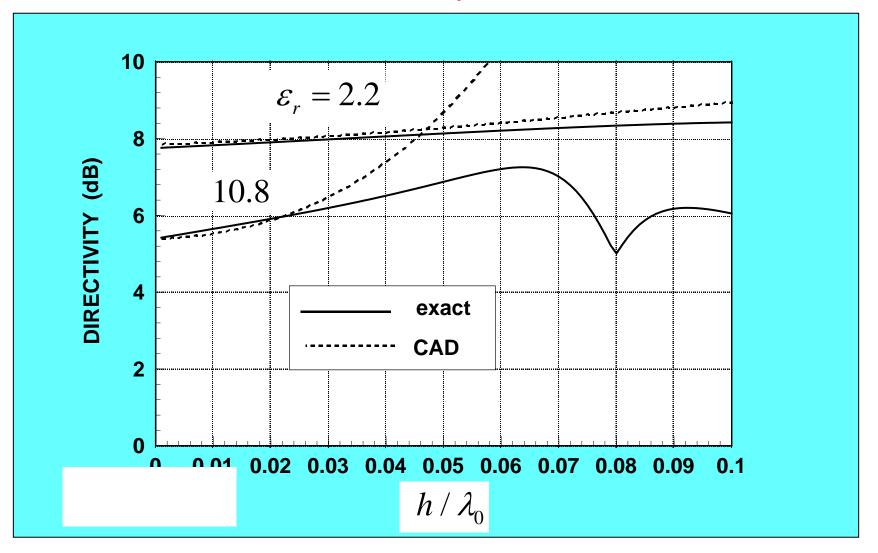
Blue: 1 meter ground plane



### Directivity

- ➤ The directivity is fairly insensitive to the substrate thickness.
- The directivity is higher for lower permittivity, because the patch is larger.

Results: Directivity (relative to isotropic)



$$\varepsilon_r = 2.2 \text{ or } 10.8 \qquad W/L = 1.5$$

### **Outline**

- Overview of microstrip antennas
- Feeding methods
- Basic principles of operation
- General characteristics
- CAD Formulas
- Input Impedance
- Radiation pattern
- Circular polarization
- Circular patch
- Improving bandwidth
- Miniaturization
- Reducing surface waves and lateral radiation

### **CAD Formulas**

# CAD formulas for the important properties of the rectangular microstrip antenna will be shown.

- > Radiation efficiency
- ➤ Bandwidth (*Q*)
- Resonant input resistance
- Directivity
- D. R. Jackson, "Microstrip Antennas," Chapter 7 of Antenna Engineering Handbook, 5<sup>th</sup> Ed., J. L. Volakis, Editor, McGraw Hill, 2019.
- D. R. Jackson, S. A. Long, J. T. Williams, and V. B. Davis, "Computer-Aided Design of Rectangular Microstrip Antennas," Ch. 5 of *Advances in Microstrip and Printed Antennas*, K. F. Lee and W. Chen, Eds., John Wiley, 1997.
- D. R. Jackson and N. G. Alexopoulos, "Simple Approximate Formulas for Input Resistance, Bandwidth, and Efficiency of a Resonant Rectangular Patch," *IEEE Trans. Antennas and Propagation*, Vol. 39, pp. 407-410, March 1991.

### **CAD Formulas**

### Radiation Efficiency

$$e_{r} = \frac{e_{r}^{hed}}{1 + e_{r}^{hed} \left[ \ell_{d} + \left( \frac{R_{s}^{ave}}{\pi \eta_{0}} \right) \left( \frac{1}{h/\lambda_{0}} \right) \right] \left[ \left( \frac{3}{16} \right) \left( \frac{\varepsilon_{r}}{p c_{1}} \right) \left( \frac{L}{W} \right) \left( \frac{1}{h/\lambda_{0}} \right) \right]}$$

#### **Comment:**

The efficiency becomes small as the substrate gets thin, if there is dielectric or conductor loss.

#### where

 $\ell_d = \tan \delta = \text{loss tangent of substrate}$ 

$$R_s = \text{surface resistance of metal} = \frac{1}{\sigma \delta} = \sqrt{\frac{\omega \mu}{2\sigma}}$$
  $R_s^{ave} = \left(R_s^{patch} + R_s^{ground}\right)/2$ 

Note: "hed" refers to a unit-amplitude horizontal electric dipole.

### Radiation Efficiency (cont.)

$$e_r^{hed} = \frac{P_{sp}^{hed}}{P_{sp}^{hed} + P_{sw}^{hed}} = \frac{1}{1 + \frac{P_{sw}^{hed}}{P_{sp}^{hed}}}$$

where

$$P_{sp}^{hed} = \frac{1}{\lambda_0^2} (k_0 h)^2 (80\pi^2 c_1)$$

$$P_{sw}^{hed} = \frac{1}{\lambda_0^2} (k_0 h)^3 \left[ 60\pi^3 \left( 1 - \frac{1}{\varepsilon_r} \right)^3 \right]$$

Note: "hed" refers to a unit-amplitude horizontal electric dipole.

Note: When we say "unit amplitude" here, we assume peak (not RMS) values.

### Radiation Efficiency (cont.)

Hence, we have

$$e_r^{hed} = \frac{1}{1 + \frac{3}{4}\pi(k_0h)\left(\frac{1}{c_1}\right)\left(1 - \frac{1}{\varepsilon_r}\right)^3}$$

Physically, this term is the radiation efficiency of a horizontal electric dipole (hed) on top of the substrate.

### Radiation Efficiency (cont.)

#### The constants are defined as follows:

$$c_{1} = 1 - \frac{1}{\varepsilon_{r}} + \frac{2/5}{\varepsilon_{r}^{2}}$$

$$p = 1 + \frac{a_{2}}{10} (k_{0} W)^{2} + (a_{2}^{2} + 2a_{4}) \left(\frac{3}{560}\right) (k_{0} W)^{4} + c_{2} \left(\frac{1}{5}\right) (k_{0} L)^{2}$$

$$+ a_{2} c_{2} \left(\frac{1}{70}\right) (k_{0} W)^{2} (k_{0} L)^{2}$$

$$c_2 = -0.0914153$$

$$a_2 = -0.16605$$

$$a_4 = 0.00761$$

### Improved formula for HED surface-wave power (due to Pozar)

$$P_{sw}^{hed} = \frac{\eta_0 k_0^2}{8} \frac{\mathcal{E}_r \left(x_0^2 - 1\right)^{3/2}}{\mathcal{E}_r \left(1 + x_1\right) + (k_0 h) \sqrt{x_0^2 - 1} \left(1 + \mathcal{E}_r^2 x_1\right)}$$
Note:  $x_0$  in this formula not the feed location!

**Note:**  $x_0$  in this formula is

$$x_{1} = \frac{x_{0}^{2} - 1}{\varepsilon_{r} - x_{0}^{2}}$$

$$x_{0} = 1 + \frac{-\varepsilon_{r}^{2} + \alpha_{0}\alpha_{1} + \varepsilon_{r}\sqrt{\varepsilon_{r}^{2} - 2\alpha_{0}\alpha_{1} + \alpha_{0}^{2}}}{\varepsilon_{r}^{2} - \alpha_{1}^{2}}$$

$$\alpha_0 = s \tan \left[ (k_0 h) s \right] \qquad \alpha_1 = -\frac{1}{s} \left[ \tan \left[ (k_0 h) s \right] + \frac{(k_0 h) s}{\cos^2 \left[ (k_0 h) s \right]} \right]$$

$$s = \sqrt{\varepsilon_r - 1}$$

D. M. Pozar, "Rigorous Closed-Form Expressions for the Surface-Wave Loss of Printed Antennas," *Electronics Letters*, vol. 26, pp. 954-956, June 1990.

> **Note:** The above formula for the surface-wave power is different from that given in Pozar's paper by a factor of 2, since Pozar used RMS instead of peak values.

### Bandwidth

$$\mathbf{BW} = \frac{1}{\sqrt{2}} \left[ \ell_d + \left( \frac{R_s^{ave}}{\pi \eta_0} \right) \left( \frac{1}{h/\lambda_0} \right) + \left( \frac{16}{3} \right) \left( \frac{p c_1}{\varepsilon_r} \right) \left( \frac{h}{\lambda_0} \right) \left( \frac{W}{L} \right) \left( \frac{1}{e_r^{hed}} \right) \right]$$

$$Q = \frac{1}{\sqrt{2} \text{ BW}}$$

#### **Comments:**

For a lossless patch, the bandwidth is approximately proportional to the patch width and to the substrate thickness. It is inversely proportional to the substrate permittivity.

For very thin substrates the bandwidth will increase for a lossy patch, but as the expense of efficiency.

BW is defined from the frequency limits  $f_1$  and  $f_2$  at which SWR = 2.0.

$$\mathbf{BW} = \frac{f_2 - f_1}{f_0}$$
 (multiply by 100 if you want to get %)

### Quality Factor Q

$$Q \equiv \omega_0 \frac{U_s}{P}$$

 $Q \equiv \omega_0 \frac{U_s}{P}$   $U_s = \text{energy stored in patch cavity}$ 

P = power that is radiated and dissipated by patch

$$\frac{1}{Q} = \frac{P}{\omega_0 U_s}$$

$$P = P_d + P_c + P_{sp} + P_{sw}$$

$$\frac{1}{Q} = \frac{1}{Q_d} + \frac{1}{Q_c} + \frac{1}{Q_{sp}} + \frac{1}{Q_{sw}}$$

### Q Components

$$Q_d = 1 / \tan \delta$$

$$Q_{c} = \left(\frac{\eta_{0}}{2}\right) \left[\frac{(k_{0}h)}{R_{s}^{ave}}\right] \qquad R_{s}^{ave} = \left(R_{s}^{patch} + R_{s}^{ground}\right) / 2$$

$$R_s^{ave} = \left(R_s^{patch} + R_s^{ground}\right)/2$$

$$Q_{sp} \approx \frac{3}{16} \left( \frac{\varepsilon_r}{pc_1} \right) \left( \frac{L}{W} \right) \left( \frac{1}{h/\lambda_0} \right)$$

The constants p and  $c_1$  were defined previously.

$$Q_{sw} = Q_{sp} \left( \frac{e_r^{hed}}{1 - e_r^{hed}} \right)$$

$$e_r^{hed} = \frac{1}{1 + \frac{3}{4}\pi(k_0h)\left(\frac{1}{c_1}\right)\left(1 - \frac{1}{\varepsilon_r}\right)^3}$$

### Resonant Input Resistance

Probe-feed Patch

$$R = R_{in}^{max} = R_{edge} \cos^2 \left(\frac{\pi x_0}{L}\right)$$

$$R_{edge} = \frac{\left(\frac{4\eta_{0}}{\pi}\right)\left(\frac{L}{W}\right)\left(\frac{h}{\lambda_{0}}\right)}{\ell_{d} + \left(\frac{R_{s}}{\pi \eta_{0}}\right)\left(\frac{1}{h/\lambda_{0}}\right) + \left(\frac{16}{3}\right)\left(\frac{p c_{1}}{\varepsilon_{r}}\right)\left(\frac{W}{L}\right)\left(\frac{h}{\lambda_{0}}\right)\left(\frac{1}{e_{r}^{hed}}\right)}$$

#### **Comments:**

For a lossless patch, the resonant resistance is approximately independent of the substrate thickness. For a lossy patch it tends to zero as the substrate gets very thin. For a lossless patch it is inversely proportional to the square of the patch width and it is proportional to the substrate permittivity.

### Approximate CAD formula for probe (feed) reactance (in Ohms)

$$a =$$
probe radius

$$h = probe height$$

$$X_{p} = \frac{\eta_{0}}{2\pi} (k_{0} h) \left[ -\gamma + \ln \left( \frac{2}{\sqrt{\varepsilon_{r}} (k_{0} a)} \right) \right]$$

This is based on an infinite parallel-plate model.

$$X_p = \omega L_p$$
 
$$\gamma \doteq 0.577216 \quad \text{(Euler's constant)}$$
 
$$\eta_0 = \sqrt{\mu_0/\varepsilon_0} = 376.7303 \; \Omega$$

#### **Observations:**

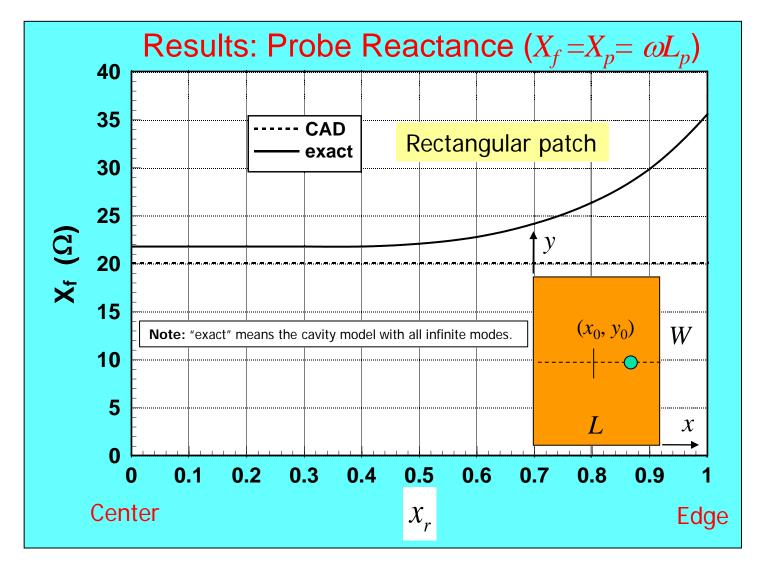
- Feed (probe) reactance increases proportionally with substrate thickness *h*.
- Feed reactance increases for smaller probe radius.

$$X_{p} = \frac{\eta_{0}}{2\pi} \left( k_{0} h \right) \left[ -\gamma + \ln \left( \frac{2}{\sqrt{\varepsilon_{r}} \left( k_{0} a \right)} \right) \right]$$

### Important point:

If the substrate gets too thick, the probe reactance will make it difficult to get an input match, and the bandwidth will suffer.

(Compensating techniques will be discussed later.)



$$\varepsilon_r = 2.2$$

$$W/L = 1.5$$

$$h = 0.0254 \lambda_0$$

$$a = 0.5 \text{ mm}$$

$$x_r = 2 (x_0 / L) - 1$$

The normalized feed location ratio  $x_r$  is zero at the center of the patch (x = L/2), and is 1.0 at the patch edge (x = L).

## **Directivity**

$$D = \left(\frac{3}{pc_1}\right) \left[\frac{\varepsilon_r}{\varepsilon_r + \tan^2(k_1 h)}\right] \left(\tan^2(k_1 h)\right)$$

$$k_1 = k_0 \sqrt{\varepsilon_r}$$

where

$$\tan(x) \equiv \tan(x)/x$$

The constants p and  $c_1$  were defined previously.

Directivity (cont.)

For thin substrates:

$$D \approx \frac{3}{p c_1}$$

(The directivity is essentially independent of the substrate thickness.)

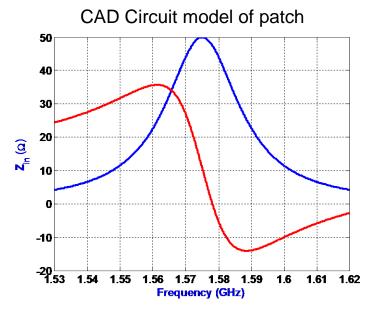
## **Outline**

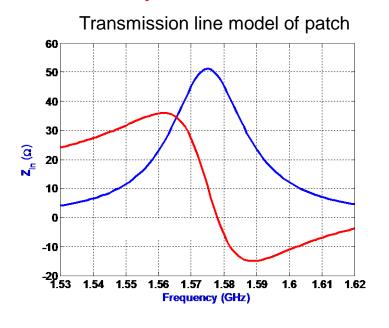
- Overview of microstrip antennas
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Various models have been proposed over the years for calculating the input impedance of a microstrip patch antenna.

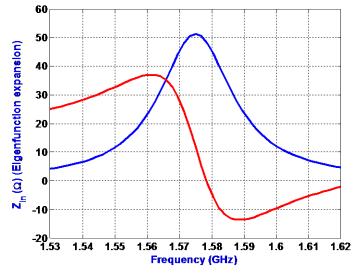
- Transmission line model
  - > The first model introduced
  - Very simple
- Cavity model (eigenfunction expansion)
  - Simple yet accurate for thin substrates
  - Gives physical insight into operation
- CAD circuit model
  - Extremely simple and almost as accurate as the cavity model
- Spectral-domain method
  - ➤ More challenging to implement
  - Accounts rigorously for both radiation and surface-wave excitation
- Commercial software
  - Very accurate
  - Can be time consuming

### Comparison of the Three Simplest Models





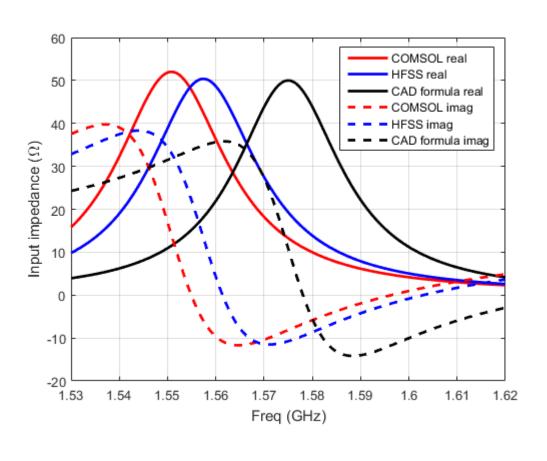
Cavity model (eigenfunction expansion) of patch



$$\varepsilon_r = 2.2$$
  $L = 6.255 \text{ cm}$   $x_0 = 6.255 \text{ cm}$   $tan \delta = 0.001$   $W/L = 1.5$   $y_0 = 0$   $h = 1.524 \text{ mm}$   $\sigma = 3.0 \times 10^7 \text{ S/m}$   $a = 0.635 \text{ mm}$ 

Results for a typical patch show that the first three methods agree very well, provided the correct Q is used and the probe inductance is accounted for.

### Comparison of CAD with Full-Wave



Results from full-wave analysis agree well with the simple CAD circuit model, except for a shift in resonance frequency.

$$\varepsilon_r = 2.2$$

$$\tan \delta = 0.001$$

$$h = 1.524 \text{ mm}$$

$$L = 6.255$$
 cm

$$W/L = 1.5$$

$$\sigma = 3.0 \times 10^7 \text{ S/m}$$

$$x_0 = 6.255$$
 cm

$$y_0 = 0$$

$$a = 0.635 \,\mathrm{mm}$$

### CAD Circuit Model for Input Impedance

The circuit model discussed assumes a probe feed. Other circuit models exist for other types of feeds.

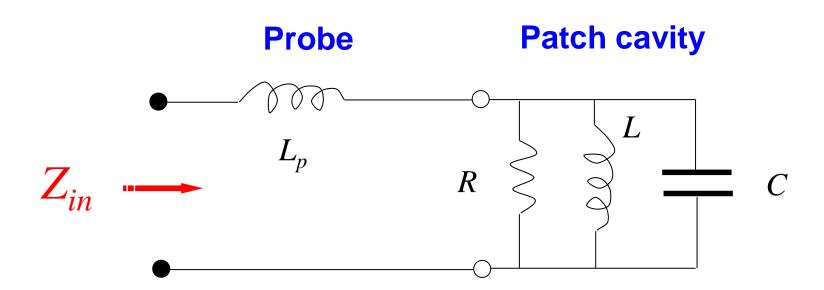
#### Note:

The mathematical justification of the CAD circuit model comes from a cavity-model eigenfunction analysis.

Y. T. Lo, D. Solomon, and W. F. Richards, "Theory and Experiment on Microstrip Antennas," *IEEE Trans. Antennas Propagat.*, vol. AP-27, no. 3 (March 1979): 137–145.

#### Probe-fed Patch

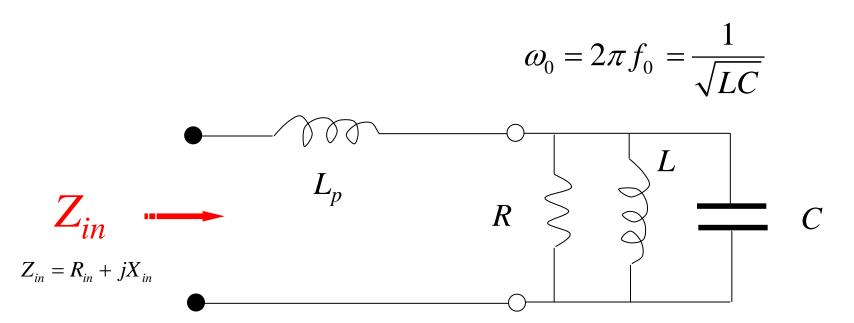
- Near the resonance frequency, the patch cavity can be approximately modeled as a resonant RLC circuit.
- The resistance R accounts for radiation and losses.
- A probe inductance  $L_p$  is added in series, to account for the "probe inductance" of a probe feed.



$$Z_{in} \approx j\omega L_p + \frac{R}{1 + jQ\left(\frac{f}{f_0} - \frac{f_0}{f}\right)}$$

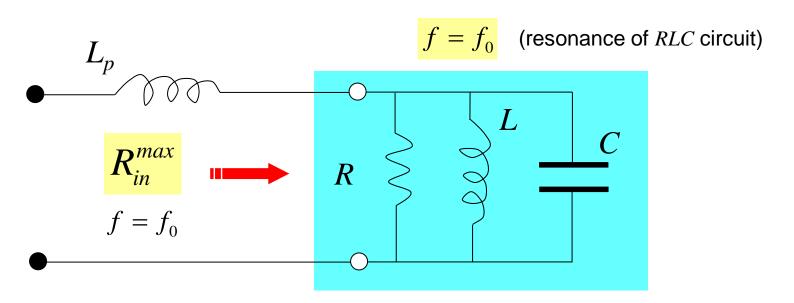
$$Q = \frac{R}{\omega_0 L} \qquad \text{BW} = \frac{1}{\sqrt{2} Q}$$

 $Q = \frac{R}{\omega_0 L} \qquad \text{BW} = \frac{1}{\sqrt{2} O} \qquad \text{BW is defined here by SWR} < 2.0 \text{ when the } RLC \text{ circuit is fed by a matched line } (Z_0 = R).$ 



$$R_{in} = \frac{R}{1 + \left[Q\left(\frac{f}{f_0} - \frac{f_0}{f}\right)\right]^2} \implies R_{in}^{max} = R_{in}\big|_{f = f_0} = R$$

R is the input resistance at the resonance of the patch cavity (the frequency that maximizes  $R_{in}$ ).

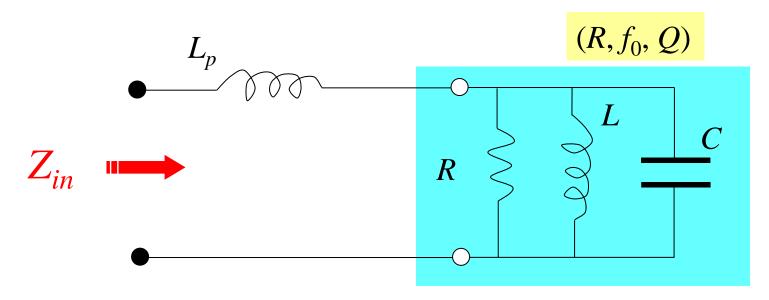


$$Z_{in} \approx j\omega L_p + \frac{R}{1 + jQ\left(\frac{f}{f_0} - \frac{f_0}{f}\right)}$$

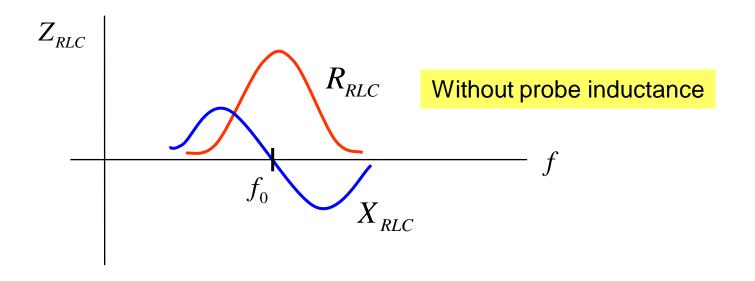
The input resistance is determined once we know four parameters:

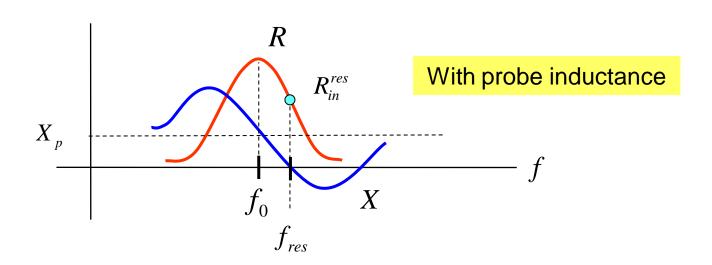
CAD formulas for all of these four parameters have been given earlier.

- $f_0$ : the resonance frequency of the patch cavity
- R: the input resistance at the cavity resonance frequency  $f_0$
- Q: the quality factor of the patch cavity
- $L_p$ : the probe inductance

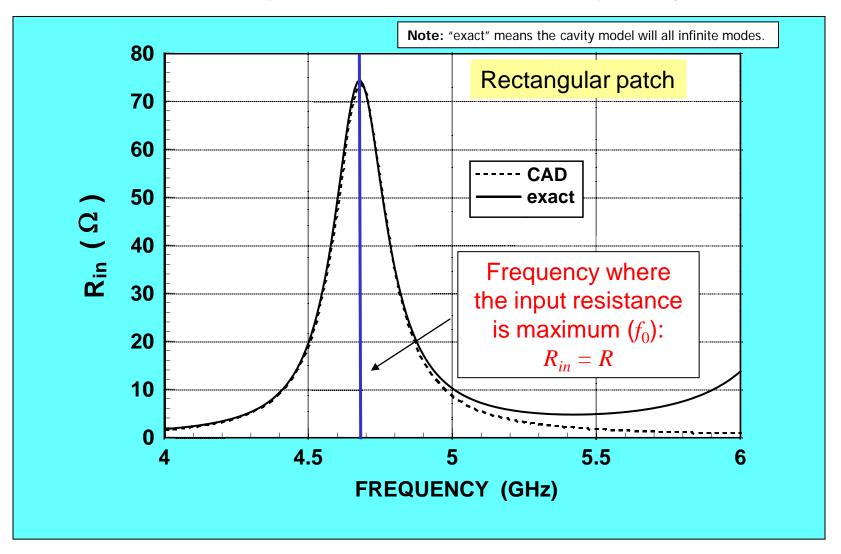


Typical plot of input impedance





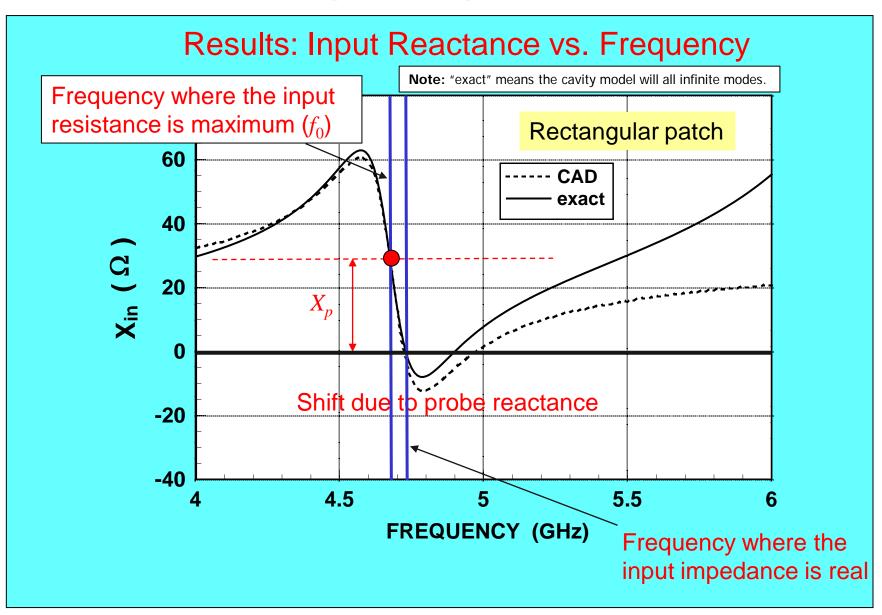
### Results: Input Resistance vs. Frequency



$$\varepsilon_r = 2.2$$

$$W/L = 1.5$$

$$W/L = 1.5$$
  $L = 3.0$  cm



$$\varepsilon_r = 2.2$$

$$W/L = 1.5$$
  $L = 3.0$  cm

Optimization to get exactly 50  $\Omega$  at the desired resonance frequency:

- ➤ Vary the length *L* first until you find the value that gives an input reactance of zero at the desired frequency.
- $\triangleright$  Then adjust the feed position  $x_0$  to make the real part of the input impedance 50  $\Omega$  at this frequency.

Design a probe-fed rectangular patch antenna on a substrate having a relative permittivity of 2.33 and a thickness of 62 mils (0.1575 cm). (This is Rogers RT Duroid 5870.) Choose an aspect ratio of W/L=1.5. The patch should resonate at the operating frequency of 1.575 GHz (the GPS L1 frequency). Ignore the probe inductance in your design, but account for fringing at the patch edges when you determine the dimensions. At the operating frequency the input impedance should be  $50~\Omega$  (ignoring the probe inductance). Assume an SMA connector is used to feed the patch along the centerline (at y=W/2), and that the inner conductor of the SMA connector has a radius of  $0.635~\mathrm{mm}$ . The copper patch and ground plane have a conductivity of  $\sigma=3.0\times10^7~\mathrm{S/m}$  and the dielectric substrate has a loss tangent of  $\tan\delta=0.001$ .

#### 1) Calculate the following:

- The final patch dimensions L and W (in cm)
- The feed location  $x_0$  (distance of the feed from the closest patch edge, in cm)
- The bandwidth of the antenna (SWR < 2 definition, expressed in percent)</li>
- The radiation efficiency of the antenna (accounting for conductor, dielectric, and surfacewave loss, and expressed in percent)
- The probe reactance  $X_p$  at the operating frequency (in  $\Omega$ )
- The expected complex input impedance (in  $\Omega$ ) at the operating frequency, accounting for the probe inductance
- Directivity
- Gain

### Continued

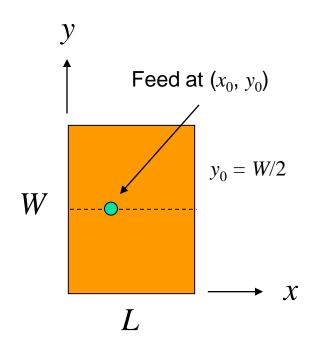
- 2) Find  $(f_0, R, X_p, \text{ and } Q)$  and plot the input impedance vs. frequency using the CAD circuit model.
- 3) Keep W/L = 1.5, but now vary the length L of the patch and the feed position  $x_0$  until you find the value that makes the input impedance exactly 50+j(0)  $\Omega$  at 1.575 GHz.

#### **Results**

#### Part 1

#### Results from the CAD formulas:

- 1) L = 6.071 cm, W = 9.106 cm
- 2)  $x_0 = 1.832$  cm
- 3) BW = 1.23%
- 4)  $e_r = 82.9\%$
- 5)  $X_p = 11.1 \Omega$
- 6)  $Z_{in} = 50.0 + j(11.1) \Omega$
- 7) D = 5.85 (7.67 dB)
- 8)  $G = (D)(e_r) = 4.85 (6.86 \text{ dB})$

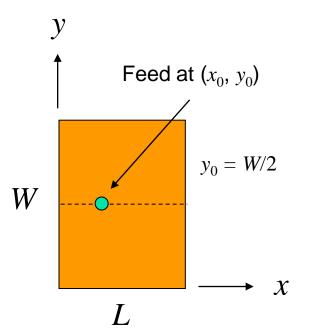


#### Part 2

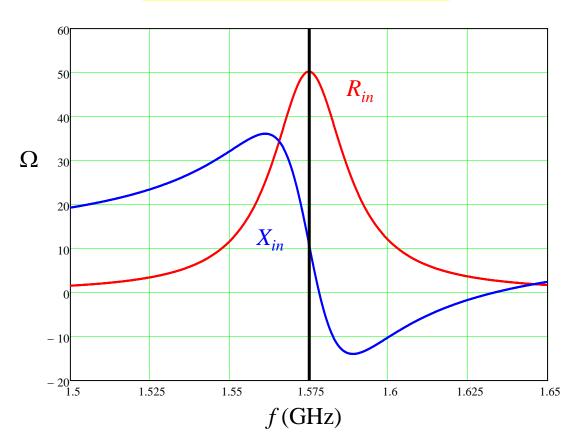
### **Results**

Results from the CAD formulas:

$$f_0 = 1.575 \times 10^9 \text{ Hz}$$
  
 $R = 50 \Omega$   
 $Q = 57.5$   
 $X_p = 11.1 \Omega$ 



$$Z_{in} \approx jX_{p} + \frac{R}{1 + jQ\left(\frac{f}{f_{0}} - \frac{f_{0}}{f}\right)}$$



#### **Results**

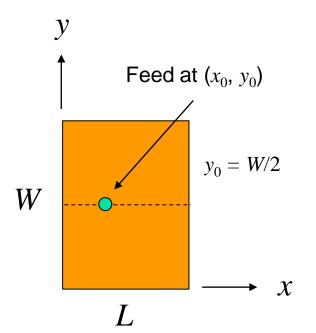
Part 3

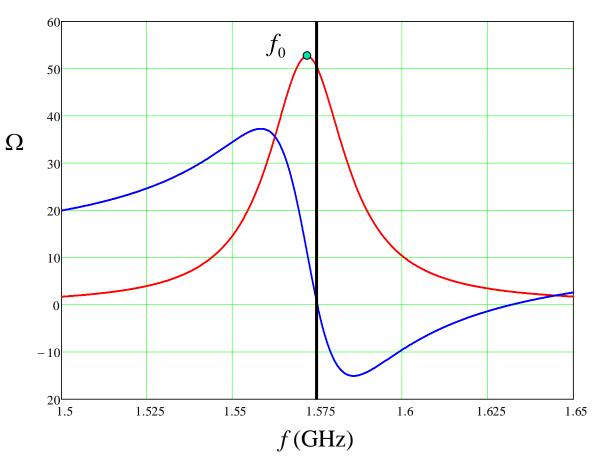
#### After optimization:

$$L = 6.083 \text{ cm}$$
  
 $x_0 = 1.800 \text{ cm}$ 

$$Z_{in} = 50 + j(0) \Omega$$

At 1.575 GHz





Note:  $f_0 < 1.575 \text{ GHz}, R > 50\Omega$ 

## **Outline**

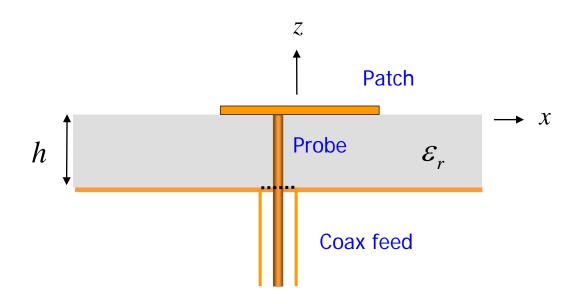
- Overview of microstrip antennas
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#### There are two models often used for calculating the radiation pattern:

- Electric current model
- Magnetic current model

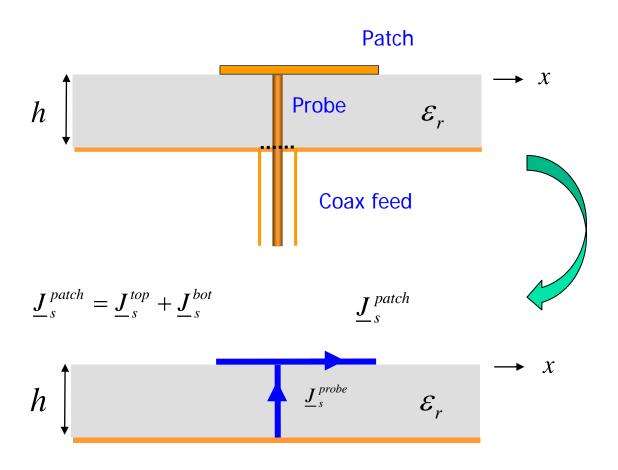
#### Note:

The origin is placed at the center of the patch, at the top of the substrate, for the pattern calculations.



#### Electric current model:

We keep the physical currents flowing on the patch (and feed).

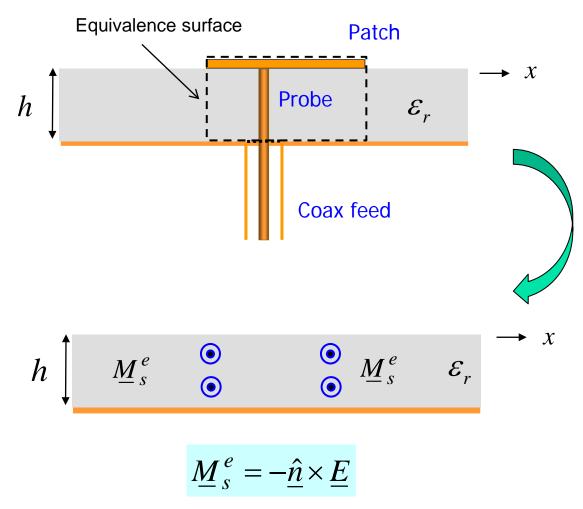


### Magnetic current model:

We apply the *equivalence principle* and invoke the (approximate) PMC condition at the edges.

$$\underline{J}_{s}^{e} = \underline{\hat{n}} \times \underline{H}$$
$$\underline{M}_{s}^{e} = -\underline{\hat{n}} \times \underline{E}$$

The equivalent surface current is approximately zero on the top surface (weak fields) and the sides (PMC). We can ignore it on the ground plane (it does not radiate).



### **Theorem**

The electric and magnetic models yield identical patterns at the resonance frequency of the cavity mode.

### **Assumption:**

The electric and magnetic current models are based on the fields of a single cavity mode, corresponding to an ideal cavity with PMC walls.

D. R. Jackson and J. T. Williams, "A Comparison of CAD Models for Radiation from Rectangular Microstrip Patches," *Intl. Journal of Microwave and Millimeter-Wave Computer Aided Design*, vol. 1, no. 2, pp. 236-248, April 1991.

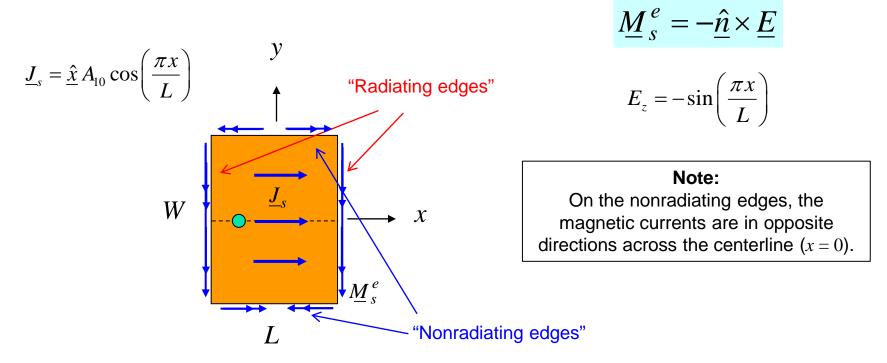
#### Comments on the Substrate Effects

- The substrate can be neglected to simplify the far-field calculation.
- When considering the substrate, it is most convenient to assume an infinite substrate (in order to obtain a closed-form solution).
- Reciprocity can be used to calculate the far-field pattern of electric or magnetic current sources inside of an infinite layered structure.
- When an infinite substrate is assumed, the far-field pattern always goes to zero at the horizon.

D. R. Jackson and J. T. Williams, "A Comparison of CAD Models for Radiation from Rectangular Microstrip Patches," *Intl. Journal of Microwave and Millimeter-Wave Computer Aided Design*, vol. 1, no. 2, pp. 236-248, April 1991.

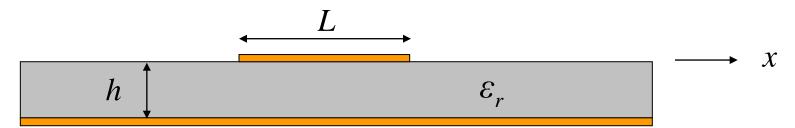
#### Comments on the Two Models

- For the rectangular patch, the electric current model is the simplest since there is only one electric surface current (as opposed to four edges).
- For the rectangular patch, the magnetic current model allows us to classify the "radiating" and "nonradiating" edges.



### Rectangular Patch Pattern Formula

(The formula is based on the electric current model.)

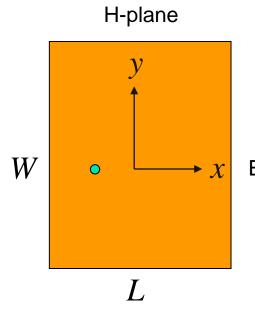


Infinite ground plane and substrate

The origin is at the center of the patch.

(1,0) mode

$$\underline{J}_{s} = \underline{\hat{x}} \cos\left(\frac{\pi x}{L}\right)$$



E-plane

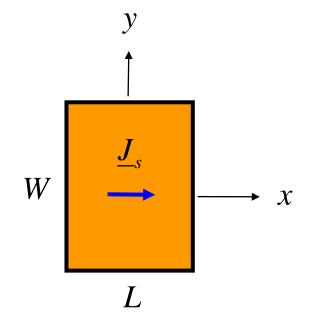
The probe is on the *x* axis.

The far-field pattern can be determined by reciprocity.

$$E_{i}(r,\theta,\phi) = E_{i}^{hex}(r,\theta,\phi) \left(\frac{\pi WL}{2}\right) \left[\frac{\sin\left(\frac{k_{y}W}{2}\right)}{\frac{k_{y}W}{2}}\right] \left[\frac{\cos\left(\frac{k_{x}L}{2}\right)}{\left(\frac{\pi}{2}\right)^{2} - \left(\frac{k_{x}L}{2}\right)^{2}}\right]$$

$$i = \theta$$
 or  $\phi$   
 $k_x = k_0 \sin \theta \cos \phi$   
 $k_y = k_0 \sin \theta \sin \phi$ 

The "hex" pattern is for a horizontal electric dipole in the *x* direction, sitting on top of the substrate.



D. R. Jackson and J. T. Williams, "A Comparison of CAD Models for Radiation from Rectangular Microstrip Patches," *Intl. Journal of Microwave and Millimeter-Wave Computer Aided Design*, vol. 1, no. 2, pp. 236-248, April 1991.

$$E_{\phi}^{hex}(r,\theta,\phi) = -E_0 \sin \phi \ F(\theta)$$
$$E_{\theta}^{hex}(r,\theta,\phi) = E_0 \cos \phi \ G(\theta)$$

$$E_0 = \left(\frac{-j\omega\,\mu_0}{4\pi\,r}\right)e^{-jk_0\,r}$$

$$F(\theta) = 1 + \Gamma^{TE}(\theta) = \frac{2 \tan(k_0 h N(\theta))}{\tan(k_0 h N(\theta)) - j N(\theta) \sec \theta}$$

$$G(\theta) = \cos \theta \left(1 + \Gamma^{TM}(\theta)\right) = \frac{2 \tan(k_0 h N(\theta)) \cos \theta}{\tan(k_0 h N(\theta)) - j \frac{\mathcal{E}_r}{N(\theta)} \cos \theta}$$

$$N(\theta) = \sqrt{\varepsilon_r - \sin^2(\theta)}$$

Note: To account for lossy substrate, use

$$\varepsilon_r \to \varepsilon_{rc} = \varepsilon_r \left( 1 - j \tan \delta \right)$$

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#### Three main techniques:

- 1) <u>Single feed</u> with "nearly degenerate" eigenmodes (compact but small CP bandwidth).
- 2) <u>Dual feed</u> with delay line or 90° hybrid phase shifter (broader CP bandwidth but uses more space).
- 3) Synchronous subarray technique (produces high-quality CP due to cancellation effect, but requires even more space).

The techniques will be illustrated with a rectangular patch.

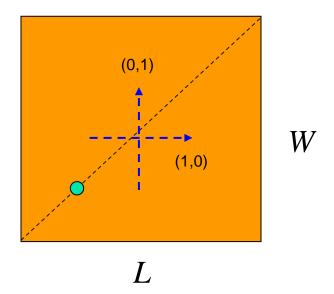
### Single Feed Method

The feed is on the diagonal.

The patch is nearly

(but not exactly) square.

$$L \approx W$$



**Basic principle:** The two dominant modes (1,0) and (0,1) are excited with equal amplitude, but with a  $\pm 45^{\circ}$  phase.

#### Design equations:

$$f_{CP} = \frac{f_x + f_y}{2}$$

The optimum CP frequency is the average of the *x* and *y* resonance frequencies.

$$BW = \frac{1}{\sqrt{2}Q}$$

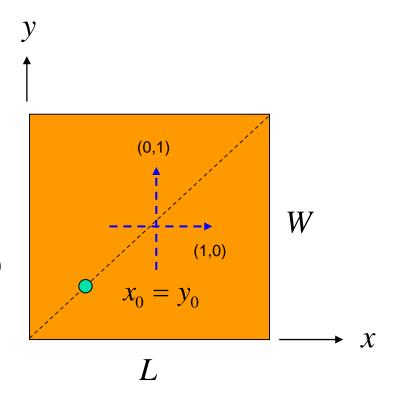
$$(SWR < 2)$$

$$f_{x} = f_{CP} \left( 1 \mp \frac{1}{2Q} \right)$$

$$f_{y} = f_{CP} \left( 1 \pm \frac{1}{2Q} \right)$$

$$f_{y} = f_{CP} \left( 1 \pm \frac{1}{2Q} \right)$$

Top sign for LHCP, bottom sign for RHCP.

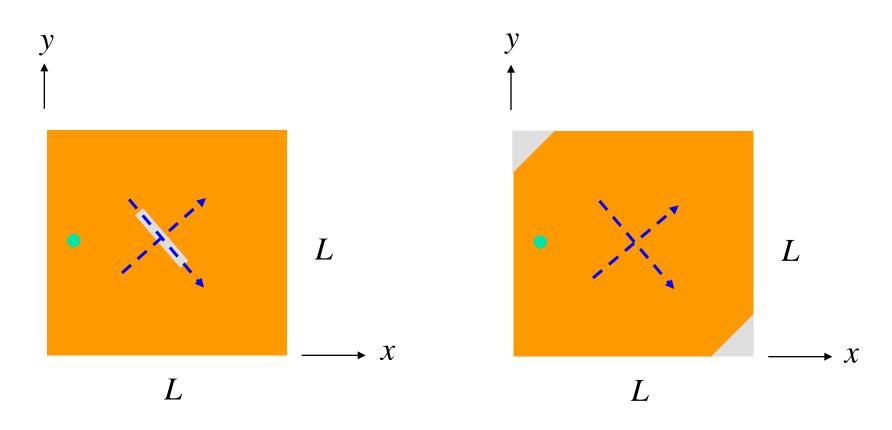


The frequency  $f_{\rm CP}$  is also the resonance frequency:  $Z_{in}=R_{in}=R_{x}=R_{y}$ 

The resonant input resistance of the CP patch at  $f_{CP}$  is the same as what a *linearly-polarized patch* fed at the same position would be.

#### Other Variations

Note: Diagonal modes are used as degenerate modes



Patch with slot

Patch with truncated corners

Here we compare bandwidths (impedance and axial-ratio):

Linearly-polarized (LP) patch:

$$BW_{SWR}^{LP} = \frac{1}{\sqrt{2Q}} \qquad (SWR < 2)$$

Circularly-polarized (CP) single-feed patch:

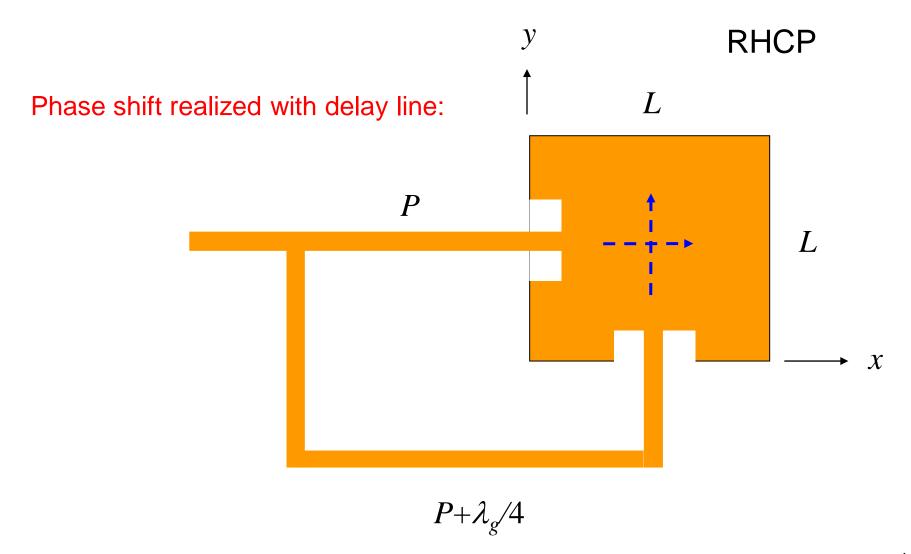
$$BW_{SWR}^{CP} = \frac{\sqrt{2}}{Q} \quad (SWR < 2)$$

$$BW_{SWR}^{CP} = \frac{\sqrt{2}}{Q}$$
 (SWR < 2)  $BW_{AR}^{CP} = \frac{0.348}{Q}$  (AR <  $\sqrt{2}$  (3dB))

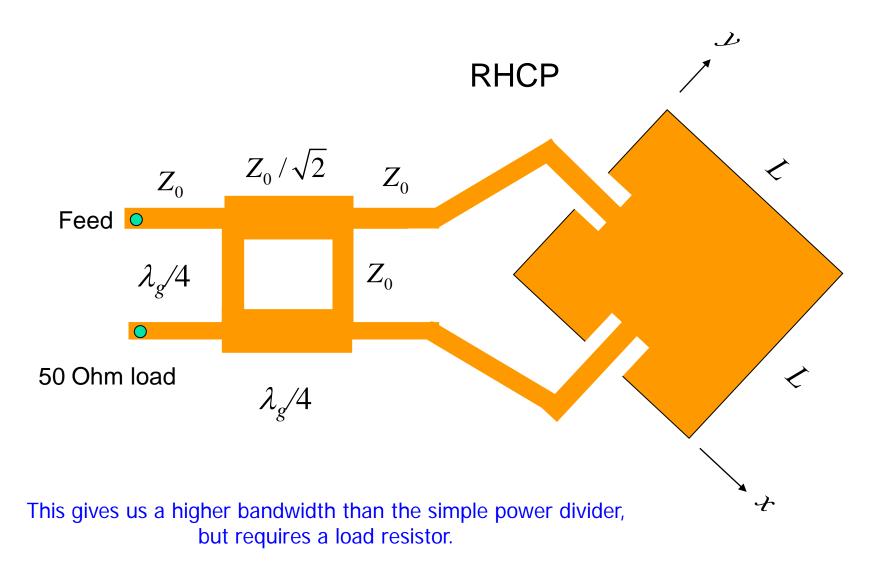
The axial-ratio bandwidth is <u>small</u> when using the single-feed method.

W. L. Langston and D. R. Jackson, "Impedance, Axial-Ratio, and Receive-Power Bandwidths of Microstrip Antennas," IEEE Trans. Antennas and Propagation, vol. 52, pp. 2769-2773, Oct. 2004.

### **Dual-Feed Method**

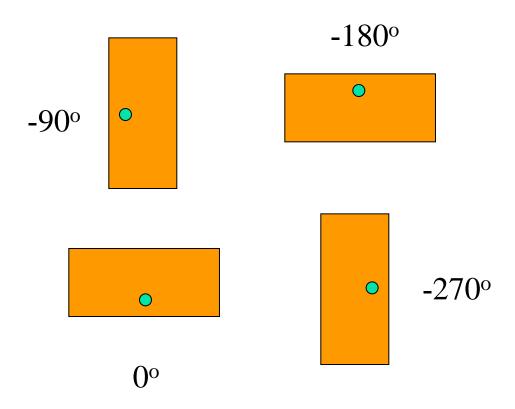


Phase shift realized with 90° quadrature hybrid (branchline coupler)



## Synchronous Rotation

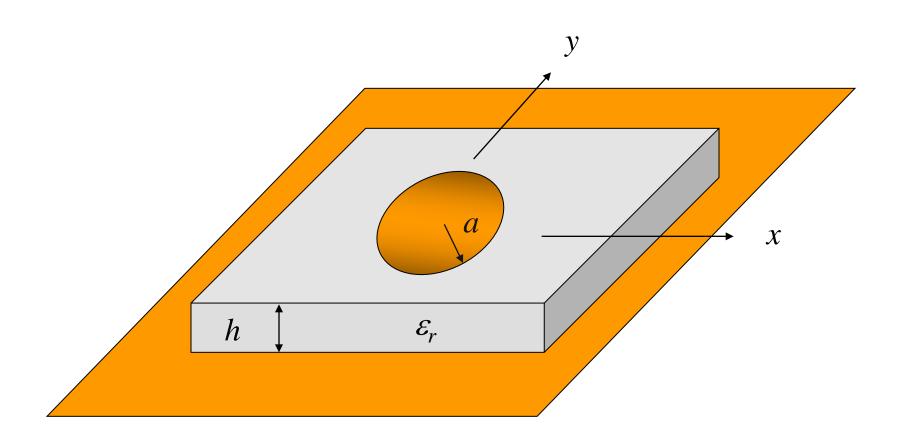
Multiple elements are rotated in space and fed with phase shifts.



Because of symmetry, radiation from higher-order modes (or probes) tends to be reduced, resulting in good cross-pol.

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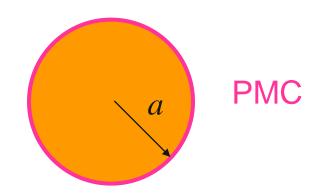


### Resonance Frequency

#### From separation of variables:

$$E_z = \cos(m\phi)J_m(k_1\rho)$$

$$k_1 = k_0 \sqrt{\varepsilon_r}$$



 $J_m$  = Bessel function of first kind, order m.

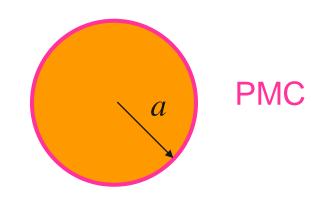
$$\frac{\partial E_z}{\partial \rho}\bigg|_{c=a} = 0 \qquad \Longrightarrow \qquad J'_m(k_1 a) = 0$$

### Resonance Frequency

$$J_m'(k_1a) = 0$$

This gives us

$$k_1 a = x'_{mn}$$



( $n^{\text{th}}$  root of  $J_m$  Bessel function)

$$f_{mn} = \frac{c}{2\pi\sqrt{\varepsilon_r}} x'_{mn} \qquad c = \frac{1}{\sqrt{\mu_0 \varepsilon_0}}$$

### Resonance Frequency

# Table of values for $x'_{mn}$

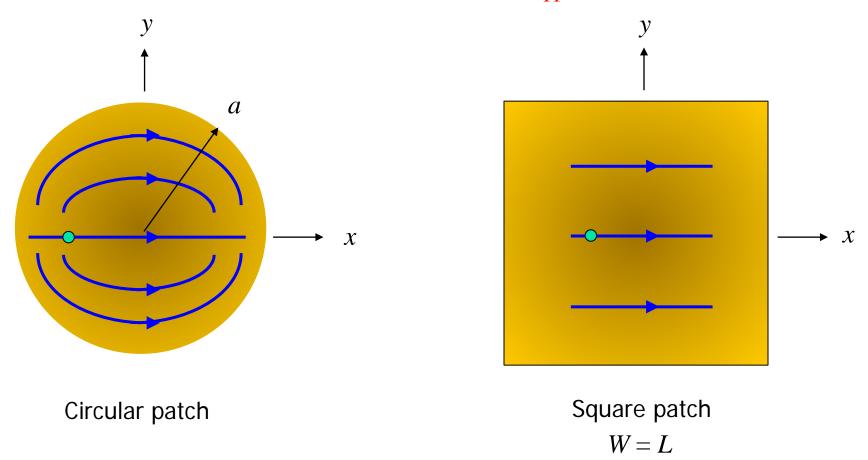
n/m	0	1	2	3	4	5
1	3.832	1.841	3.054	4.201	5.317	5.416
2	7.016	5.331	6.706	8.015	9.282	10.520
3	10.173	8.536	9.969	11.346	12.682	13.987

Dominant mode: TM<sub>11</sub>

$$f_{11} = \frac{c}{2\pi a \sqrt{\varepsilon_r}} x_{11}'$$

$$x'_{11} \approx 1.841$$

## Dominant mode: TM<sub>11</sub>

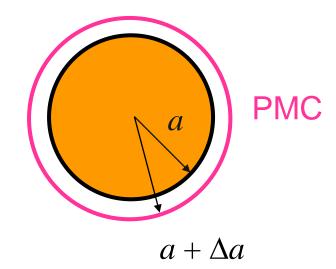


The circular patch is somewhat similar to a square patch.

### Fringing extension

$$a_e = a + \Delta a$$

$$f_{11} = \frac{c}{2\pi a_e \sqrt{\varepsilon_r}} x_{11}'$$



#### "Long/Shen Formula":

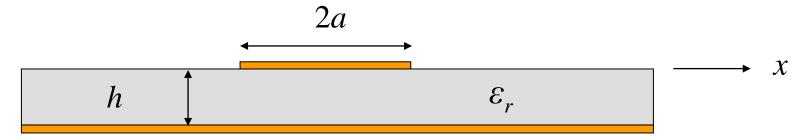
$$a_e = a\sqrt{1 + \frac{2h}{\pi a \varepsilon_r} \left[ \ln\left(\frac{\pi a}{2h}\right) + 1.7726 \right]}$$
 or  $\Delta a \approx \frac{h}{\pi \varepsilon_r} \left[ \ln\left(\frac{\pi a}{2h}\right) + 1.7726 \right]$ 

$$\Delta a \approx \frac{h}{\pi \varepsilon_r} \left[ \ln \left( \frac{\pi a}{2h} \right) + 1.7726 \right]$$

L. C. Shen, S. A. Long, M. Allerding, and M. Walton, "Resonant Frequency of a Circular Disk Printed-Circuit Antenna," IEEE Trans. Antennas and Propagation, vol. 25, pp. 595-596, July 1977.

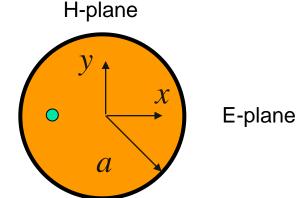
#### **Patterns**

(The patterns are based on the magnetic current model.)



Infinite GP and substrate

The origin is at the center of the patch.



The probe is on the x axis.

In patch cavity:

$$E_{z}(\rho,\phi) = \cos\phi \left(\frac{J_{1}(k_{1}\rho)}{J_{1}(k_{1}a)}\right) \left(\frac{1}{h}\right)$$

$$k_{1} = k_{0}\sqrt{\varepsilon_{n}}$$

(The edge voltage has a maximum of one volt.)

#### **Patterns**

$$E_{\theta}^{R}(r,\theta,\phi) = 2\pi a \frac{E_{0}}{\eta_{0}} \operatorname{tanc}(k_{z1}h) \cos \phi \ J_{1}'(k_{0}a \sin \theta) Q(\theta)$$

$$E_{\varphi}^{R}(r,\theta,\phi) = -2\pi a \frac{E_{0}}{\eta_{0}} \operatorname{tanc}(k_{z1}h) \sin \phi \left(\frac{J_{1}(k_{0}a \sin \theta)}{k_{0}a \sin \theta}\right) P(\theta)$$

where

$$\tan(x) = \tan(x) / x$$

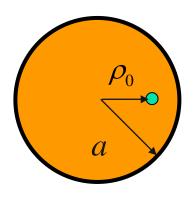
$$P(\theta) = \cos\theta \left(1 - \Gamma^{TE}(\theta)\right) = \cos\theta \left[\frac{-2jN(\theta)}{\tan(k_0hN(\theta)) - jN(\theta)\sec\theta}\right]$$

$$Q(\theta) = 1 - \Gamma^{TM}(\theta) = \frac{-2j\left(\frac{\mathcal{E}_r}{N(\theta)}\right)\cos\theta}{\tan(k_0hN(\theta)) - j\frac{\mathcal{E}_r}{N(\theta)}\cos\theta} \qquad E_0 = \left(\frac{-j\omega\,\mu_0}{4\pi\,r}\right)e^{-jk_0\,r}$$

$$N(\theta) = \sqrt{\mathcal{E}_r - \sin^2(\theta)}$$

**Note:** To account for lossy substrate, use  $\varepsilon_r \to \varepsilon_{rc} = \varepsilon_r (1 - j \tan \delta)$ 

### Input Resistance



$$R_{in} \approx R_{edge} \left[ \frac{J_1^2 (k_1 \rho_0)}{J_1^2 (k_1 a)} \right]$$

$$k_1 = k_0 \sqrt{\varepsilon_r}$$

### Input Resistance (cont.)

$$R_{edge} = \left[\frac{1}{2P_{sp}}\right]e_r$$

where

 $e_r$  = radiation efficiency

$$P_{sp} = \frac{\pi}{8\eta_0} (k_0 a)^2 \int_0^{\pi/2} \tan^2(k_0 h N(\theta))$$

$$\cdot \left[ \left| Q(\theta) \right|^2 J_1'^2 (k_0 a \sin \theta) + \left| P(\theta) \right|^2 J_{inc}^2 (k_0 a \sin \theta) \right] \sin \theta \, d\theta$$

$$J_{inc}(x) = J_1(x)/x$$

 $P_{sp}$  = power radiated into space by circular patch with maximum edge voltage of one volt.

### Input Resistance (cont.)

#### **CAD Formula:**

$$P_{sp} = \frac{\pi}{8\eta_0} (k_0 a)^2 I_c$$

$$I_c = \frac{4}{3} p_c$$

$$p_c = \sum_{k=0}^{6} (k_0 a)^{2k} e_{2k}$$

$$e_0 = 1$$

$$e_2 = -0.400000$$

$$e_4 = 0.0785710$$

$$e_6 = -7.27509 \times 10^{-3}$$

$$e_8 = 3.81786 \times 10^{-4}$$

$$e_{10} = -1.09839 \times 10^{-5}$$

$$e_{12} = 1.47731 \times 10^{-7}$$

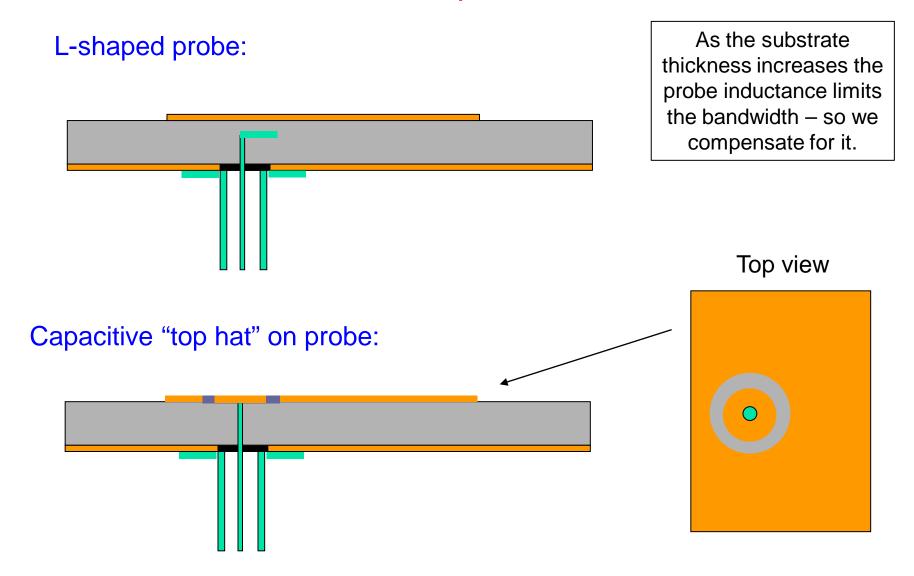
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Some of the techniques that have been successfully developed are illustrated here.

The literature may be consulted for additional designs and variations.

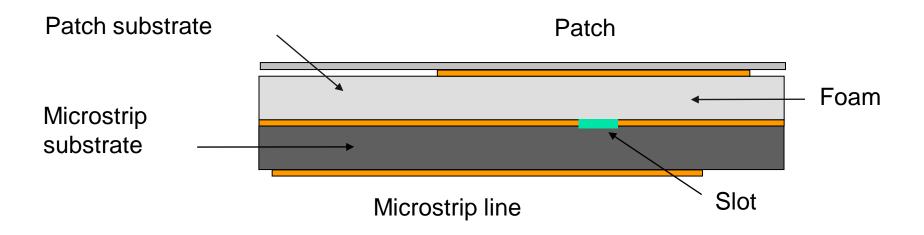
### **Probe Compensation**



## SSFIP: Strip Slot Foam Inverted Patch (a version of the ACP).

- Bandwidths greater than 25% have been achieved.
- Increased bandwidth is due to the thick foam substrate and also a dual-tuned resonance (patch+slot).

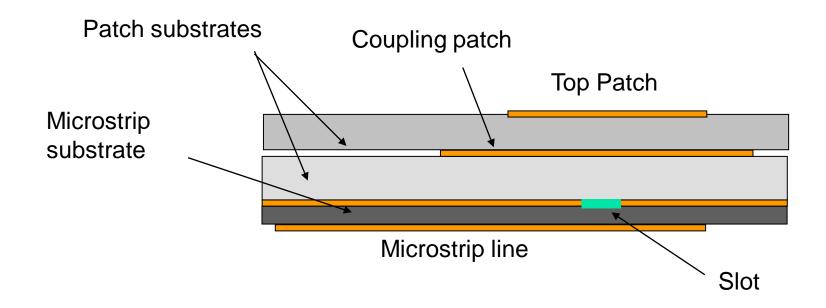
**Note:** There is no probe inductance to worry about here.



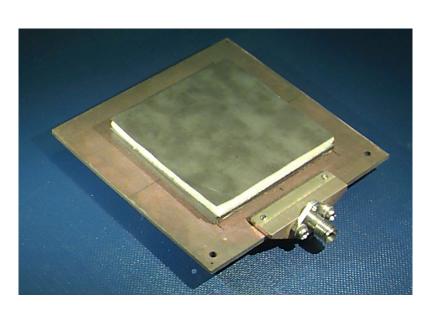
J.-F. Zürcher and F. E. Gardiol, *Broadband Patch Antennas*, Artech House, Norwood, MA, 1995.

#### Stacked Patches

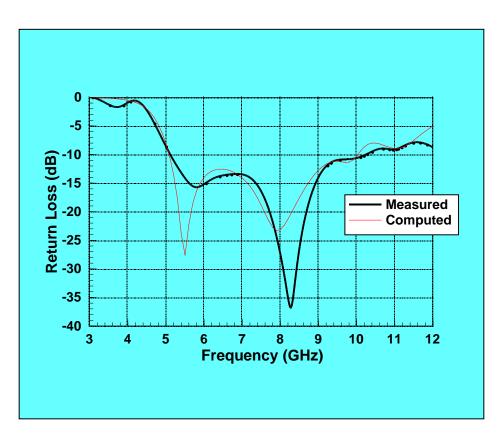
- Bandwidth increase is due to thick low-permittivity antenna substrates and a dual or triple-tuned resonance.
- Bandwidths of 25% have been achieved using a probe feed.
- Bandwidths of 100% have been achieved using an ACP feed.



#### **Stacked Patches**



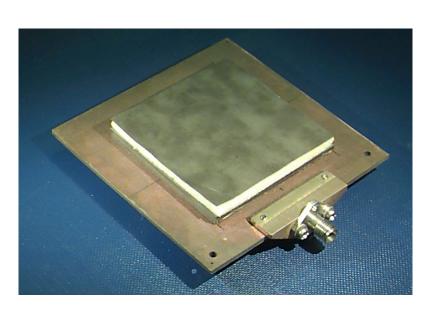
Stacked patch with ACP feed



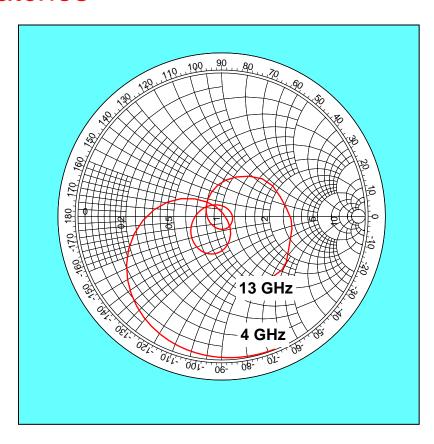
Bandwidth ( $S_{11} = -10 \text{ dB}$ ) is about 100%

(Photo courtesy of Dr. Rodney B. Waterhouse)

#### **Stacked Patches**



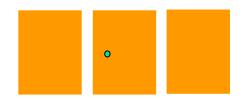
Stacked patch with ACP feed



Two extra loops are observed on the Smith chart.

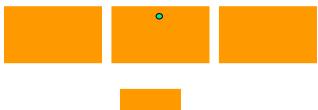
(Photo courtesy of Dr. Rodney B. Waterhouse)

#### Parasitic Patches

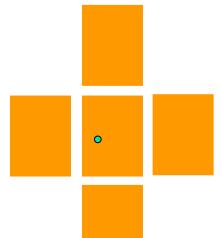


Radiating Edges Gap Coupled Microstrip Antennas (REGCOMA).

Mush of this work was pioneered by K. C. Gupta.



Non-Radiating Edges Gap Coupled Microstrip Antennas (NEGCOMA)

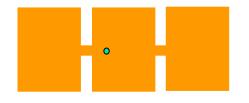


Four-Edges Gap Coupled Microstrip Antennas (FEGCOMA)

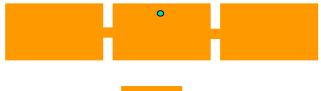
Bandwidth improvement factor:

REGCOMA: 3.0, NEGCOMA: 3.0, FEGCOMA: 5.0?

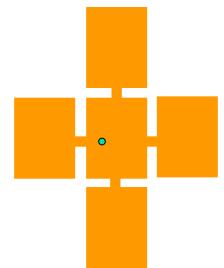
### **Direct-Coupled Patches**



Radiating Edges Direct Coupled Microstrip Antennas (REDCOMA).



Non-Radiating Edges Direct Coupled Microstrip Antennas (NEDCOMA)

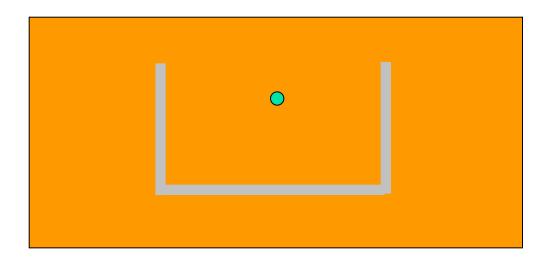


Four-Edges Direct Coupled Microstrip Antennas (FEDCOMA)

Bandwidth improvement factor:

REDCOMA: 5.0, NEDCOMA: 5.0, FEDCOMA: 7.0

**U-Shaped Slot** 



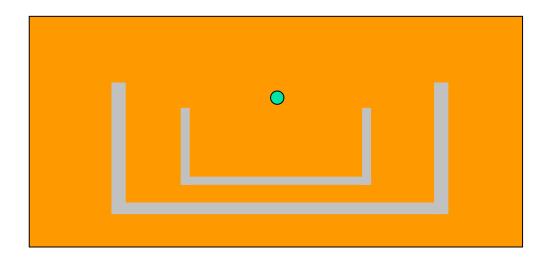
The introduction of a U-shaped slot can give a significant bandwidth (10%-40%).

(This is due to a double resonance effect, with two different modes.)

"Single Layer Single Patch Wideband Microstrip Antenna," T. Huynh and K. F. Lee, Electronics Letters, Vol. 31, No. 16, pp. 1310-1312, 1986.

# Improving Bandwidth

#### **Double U-Slot**

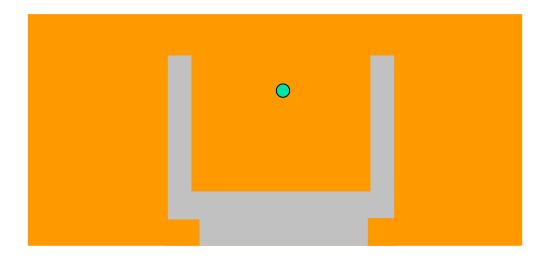


A 44% bandwidth was achieved.

Y. X. Guo, K. M. Luk, and Y. L. Chow, "Double U-Slot Rectangular Patch Antenna," Electronics Letters, Vol. 34, No. 19, pp. 1805-1806, 1998.

# Improving Bandwidth

E Patch



A modification of the U-slot patch.

A bandwidth of 34% was achieved (40% using a capacitive "washer" to compensate for the probe inductance).

B. L. Ooi and Q. Shen, "A Novel E-shaped Broadband Microstrip Patch Antenna," Microwave and Optical Technology Letters, vol. 27, No. 5, pp. 348-352, 2000.

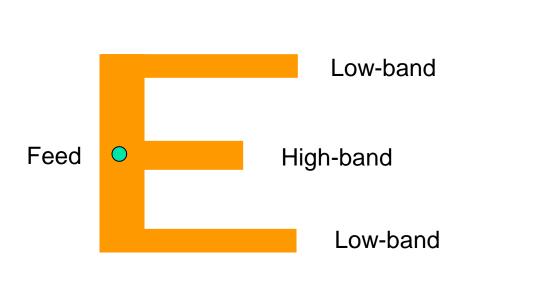
### **Multi-Band Antennas**

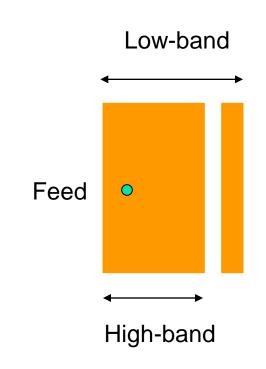
A multi-band antenna is sometimes more desirable than a broadband antenna, if multiple narrow-band channels are to be covered.

#### General Principle:

Introduce multiple resonance paths into the antenna.

### **Multi-Band Antennas**





Dual-band E patch

Dual-band patch with parasitic strip

### **Outline**

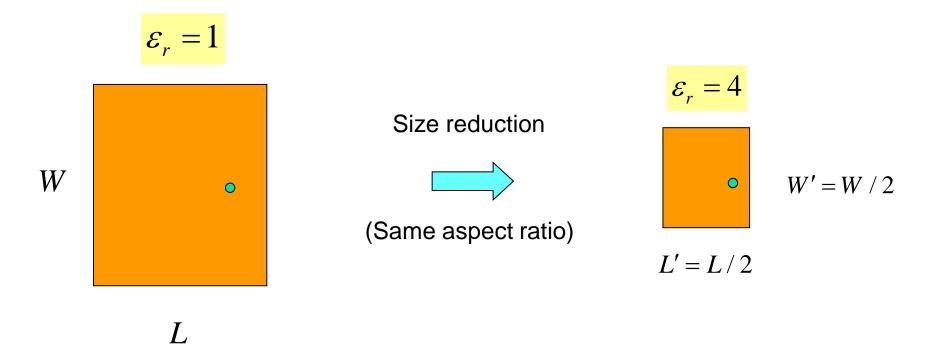
- Overview of microstrip antennas
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- CAD Formulas
- Radiation pattern
- Input Impedance
- Circular polarization
- Circular patch
- Improving bandwidth
- Miniaturization
- Reducing surface waves and lateral radiation

- High Permittivity
- Quarter-Wave Patch
- PIFA
- Capacitive Loading
- Slots
- Meandering

Note: Miniaturization usually comes at a price of reduced bandwidth!

Usually, bandwidth is proportional to the volume of the patch cavity, as we will see in the examples.

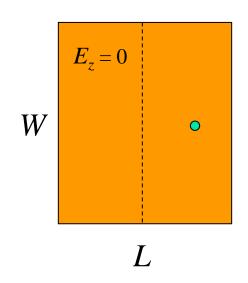
### **High Permittivity**

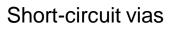


The smaller patch has about one-fourth the bandwidth of the original patch.

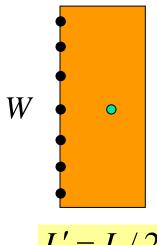
(Bandwidth is inversely proportional to the permittivity.)

#### Quarter-Wave patch









$$L' = L/2$$

The new patch has about one-half the bandwidth of the original patch.

Neglecting losses:

S:
$$Q = \omega_0 \frac{U_s}{P_r} \qquad U_s' = U_s / 2$$

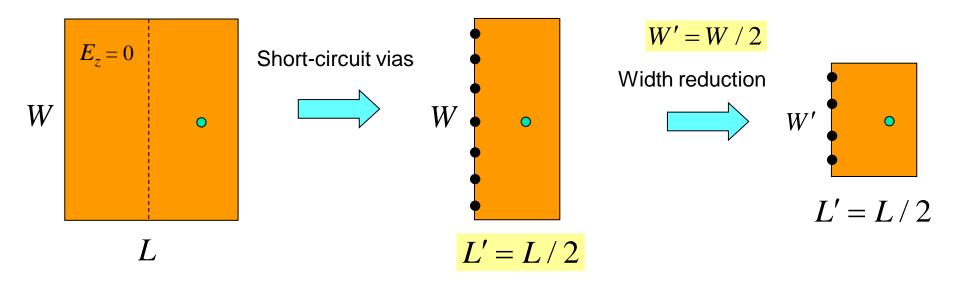
$$P_r' = P_r / 4$$

$$Q' = 2Q$$

Note: 1/2 of the radiating magnetic current

#### Smaller Quarter-Wave patch

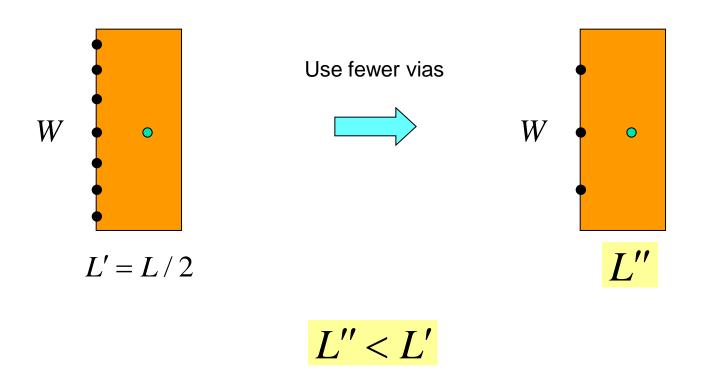
A quarter-wave patch with the *same aspect ratio W/L* as the original patch



The new patch has about one-half the bandwidth of the original quarterwave patch, and hence one-fourth the bandwidth of the regular patch.

(Bandwidth is proportional to the patch width.)

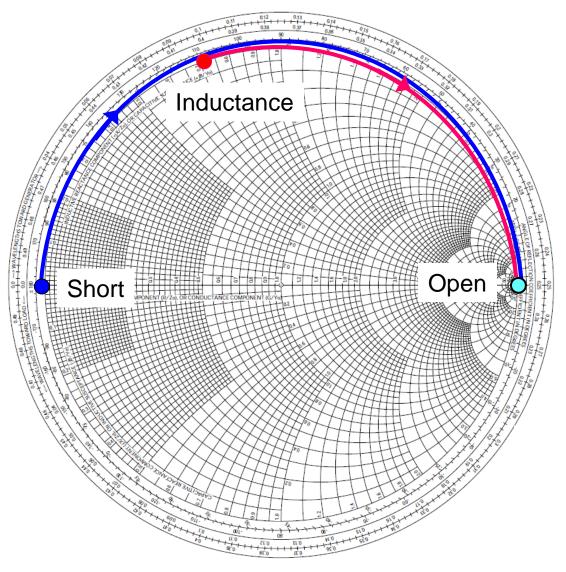
#### Quarter-Wave Patch with Fewer Vias



Fewer vias actually gives more miniaturization!

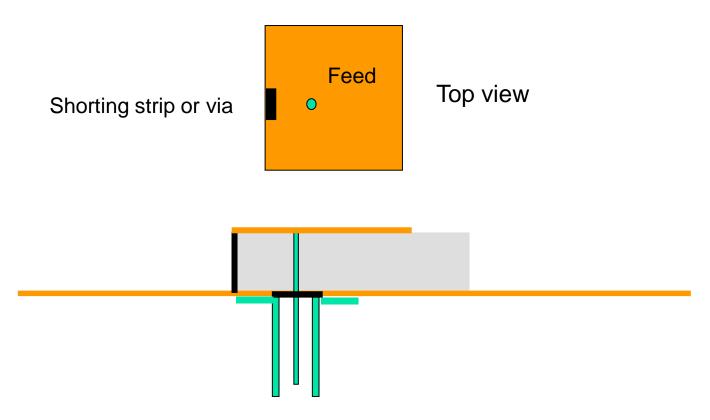
(The edge has a larger inductive impedance: explained on the next slide.)

**Quarter-Wave Patch with Fewer Vias** 



The Smith chart provides a simple explanation for the length reduction.

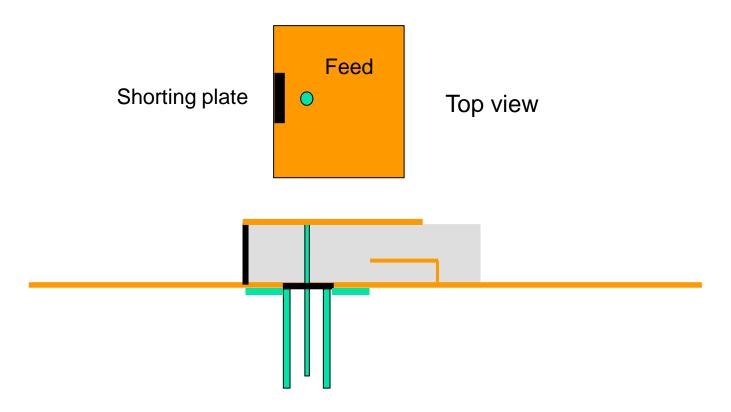
Planar Inverted F (PIFA)



A single shorting strip or via is used.

This antenna can be viewed as a limiting case of the via-loaded patch, or as an *LC* resonator.

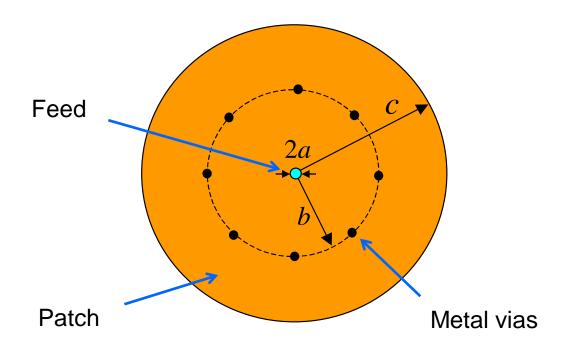
#### PIFA with Capacitive Loading



The capacitive loading allows for the length of the PIFA to be reduced.

$$\omega_0 = \frac{1}{\sqrt{LC}}$$

#### Circular Patch Loaded with Vias



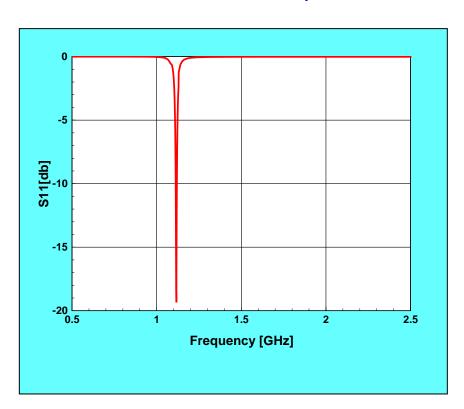
### The patch has a monopole-like pattern

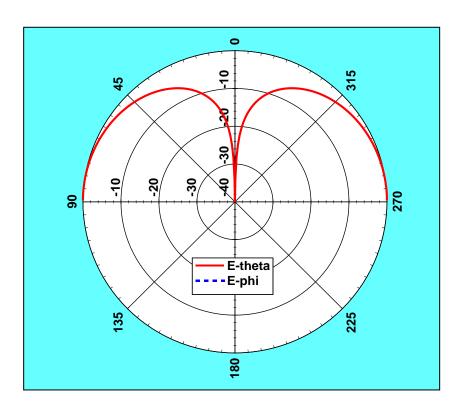
The patch operates in the (0,0) mode, as an LC resonator

(Hao Xu Ph.D. dissertation, University of Houston, 2006)

#### Circular Patch Loaded with Vias

Example: Circular Patch Loaded with 2 Vias

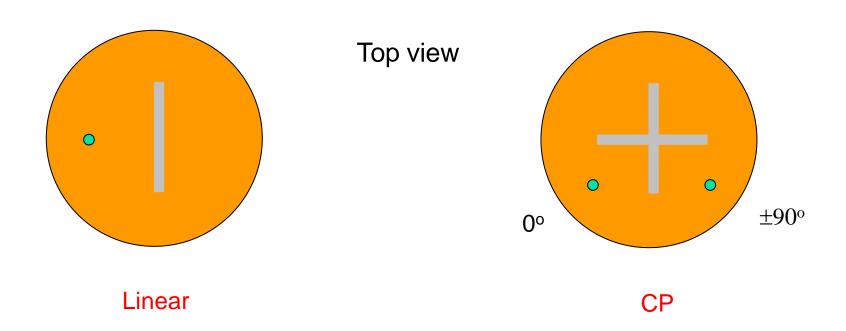




Unloaded: resonance frequency = 5.32 GHz.

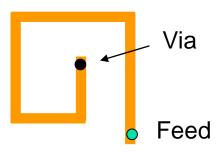
(Miniaturization factor = 4.8)

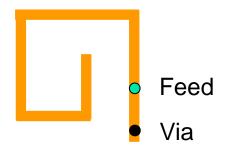
#### **Slotted Patch**



The slot forces the current to flow through a longer path, increasing the effective dimensions of the patch.

#### Meandering





Meandered quarter-wave patch

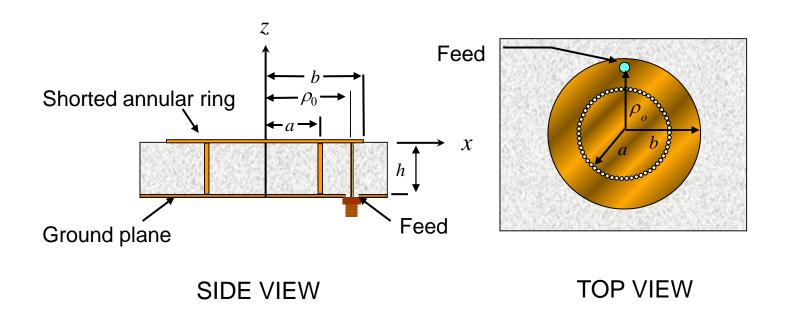
Meandered PIFA

- Meandering forces the current to flow through a longer path, increasing the effective dimensions of the patch.
- Meandering also increases the capacitance of the PIFA line.

### **Outline**

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#### Reduced Surface Wave (RSW) Antenna

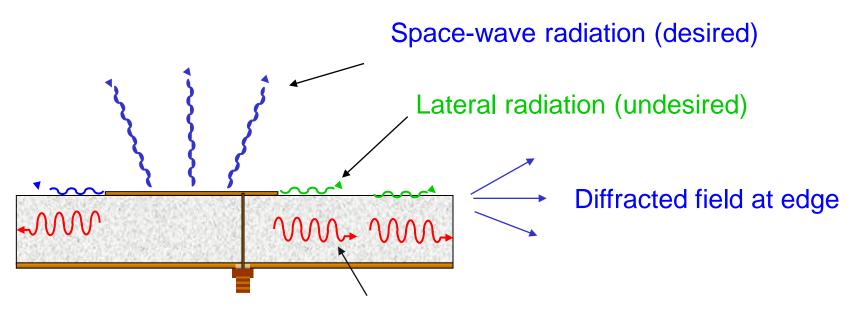


D. R. Jackson, J. T. Williams, A. K. Bhattacharyya, R. Smith, S. J. Buchheit, and S. A. Long, "Microstrip Patch Designs that do Not Excite Surface Waves," IEEE Trans. Antennas Propagat., vol. 41, No 8, pp. 1026-1037, August 1993.

Reducing surface-wave excitation and lateral radiation reduces edge diffraction and mutual coupling.

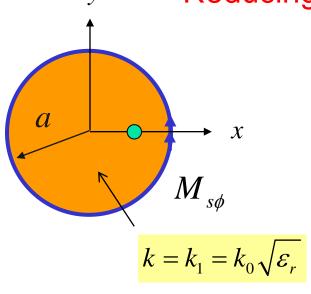
- Edge diffraction degrades the radiation pattern on a finite ground plane.
- Mutual coupling causes an desirable coupling between antennas.

Reducing surface-wave excitation and lateral radiation reduces edge diffraction.



Surface waves (undesired)





TM<sub>11</sub> mode:

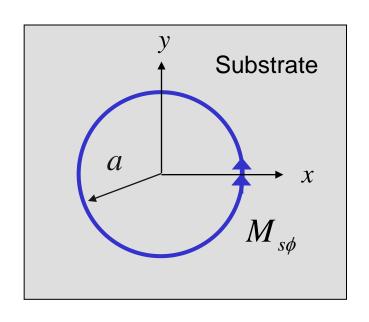
$$E_{z}(\rho,\phi) = V_{0}\left(\frac{-1}{hJ_{1}(k_{1}a)}\right)\cos\phi J_{1}(k_{1}\rho)$$

At edge: 
$$E_z = -\frac{V_0}{h}\cos\phi$$

$$\underline{M}_{s} = -\underline{\hat{n}} \times \underline{E} = -\underline{\hat{\rho}} \times (\underline{\hat{z}}E_{z})$$

$$M_{s\phi}(\phi) = E_{z}(a,\phi)$$

$$M_{s\phi} = -\frac{V_0}{h}\cos\phi$$



$$M_{s\phi} = -\frac{V_0}{h}\cos\phi$$

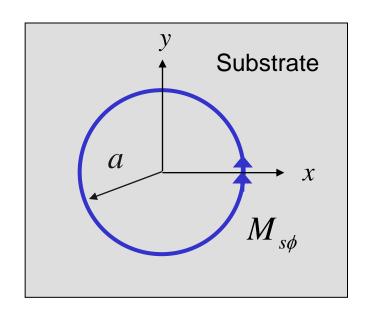
#### Surface-Wave Excitation:

$$E_z^{TM_0} = A_{TM_0} \cos \phi \, H_1^{(2)} (\beta_{TM_0} \rho) e^{-jk_{z_0} z}$$

(z > h)

$$A_{TM_0} = AJ_1'(\beta_{TM_0}a)$$

Set 
$$J_1'(\beta_{TM_0}a) = 0$$



$$\beta_{TM_0}a=x'_{1n}$$

For TM<sub>11</sub> mode:  $x'_{11} \approx 1.841$ 

Hence 
$$\beta_{TM_0} a = 1.841$$

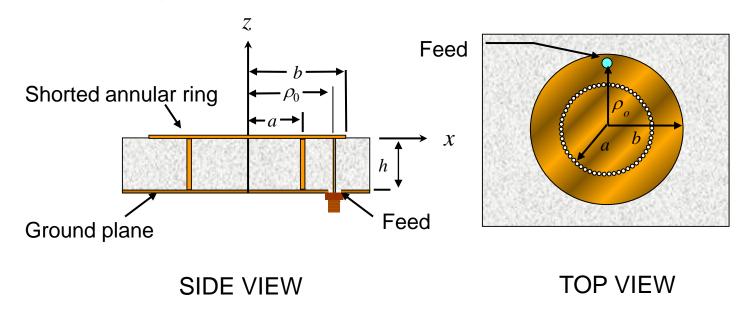
Patch resonance:  $k_1 a = 1.841$ 

$$k_1 a = 1.841$$

Note: 
$$\beta_{TM_0} < k_1$$



The RSW patch is too big to be resonant.

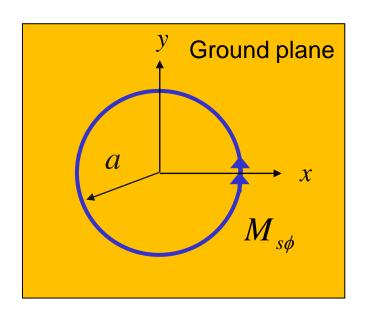


$$\beta_{TM_0}b = 1.841$$

The radius a is chosen to make the patch resonant:

$$\frac{J_{1}(k_{1}a)}{Y_{1}(k_{1}a)} = \frac{J_{1}'\left(\frac{k_{1}x_{11}'}{\beta_{TM_{0}}}\right)}{Y_{1}'\left(\frac{k_{1}x_{11}'}{\beta_{TM_{0}}}\right)}$$

#### Reducing the Lateral Radiation

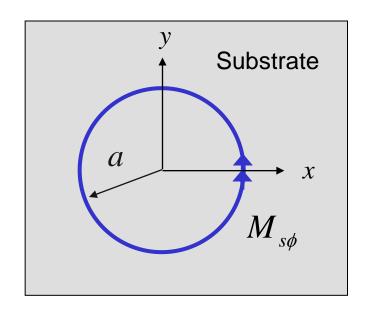


$$M_{s\phi} = -\frac{V_0}{h}\cos\phi$$

Assume no substrate outside of patch (or very thin substrate):

Space-Wave Field: 
$$E_z^{SP}=A_{SP}\cos\phi\bigg(\frac{1}{\rho}\bigg)e^{-jk_0\rho}$$
  $(z=h)$   $A_{SP}=CJ_1'\big(k_0a\big)$ 

Set 
$$J_1'(k_0 a) = 0$$
  $\implies k_0 a = 1.841$ 



For a thin substrate:

$$\beta_{TM_0} \approx k_0$$

The same design reduces both surface-wave fields and lateral-radiation fields.

Note: The diameter of the RSW antenna is found from

$$k_0 a = 1.841$$

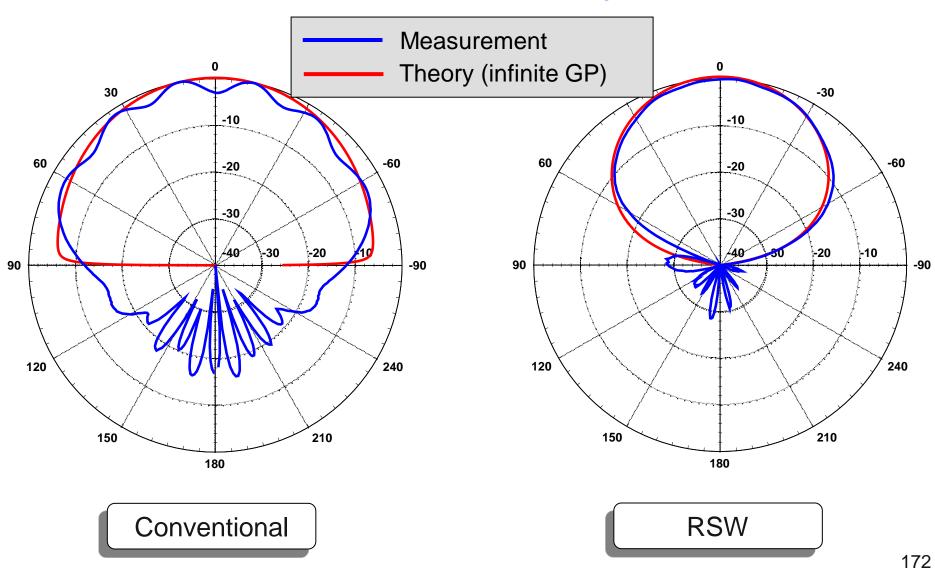
$$\frac{2a}{\lambda_0} = 0.586$$

#### Note:

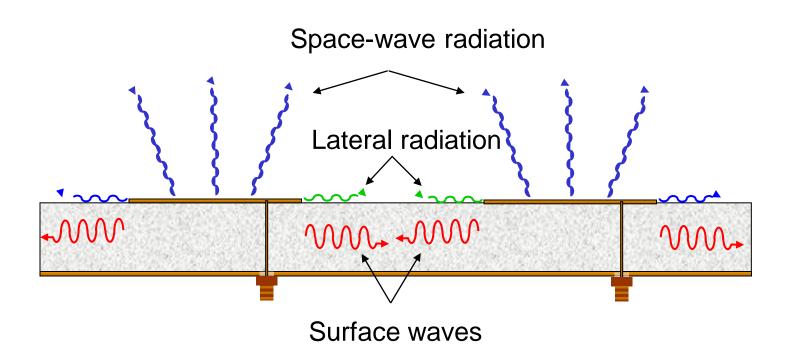
The size is approximately independent of the permittivity (the patch cannot be miniaturized by choosing a higher permittivity!).

#### **E-plane Radiation Patterns**

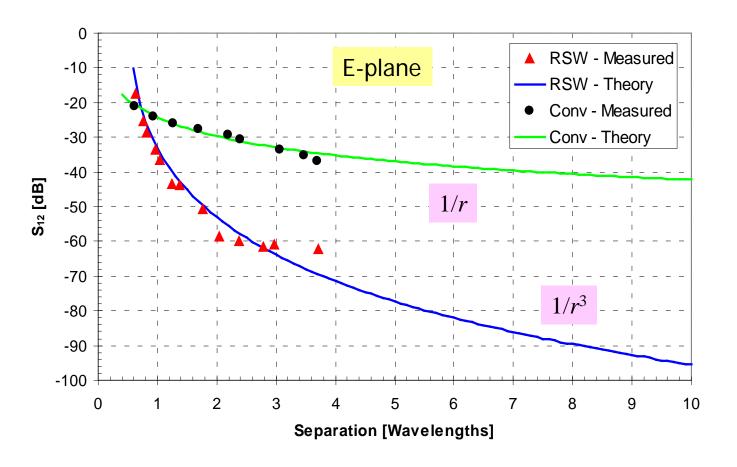
Measurements were taken on a 1 m diameter circular ground plane at 1.575 GHz.



Reducing surface-wave excitation and lateral radiation reduces mutual coupling.



Reducing surface-wave excitation and lateral radiation reduces mutual coupling.



"Mutual Coupling Between Reduced Surface-Wave Microstrip Antennas," M. A. Khayat, J. T. Williams, D. R. Jackson, and S. A. Long, IEEE Trans. Antennas and Propagation, Vol. 48, pp. 1581-1593, Oct. 2000.

# References

#### General references about microstrip antennas:

Microstrip Patch Antennas, K. F. Fong Lee and K. M. Luk, Imperial College Press, 2011.

Microstrip and Patch Antennas Design, 2<sup>nd</sup> Ed., R. Bancroft, Scitech Publishing, 2009.

Microstrip Patch Antennas: A Designer's Guide, R. B. Waterhouse, Kluwer Academic Publishers, 2003.

Microstrip Antenna Design Handbook, R. Garg, P. Bhartia, I. J. Bahl, and A. Ittipiboon, Editors, Artech House, 2001.

Advances in Microstrip and Printed Antennas, K. F. Lee, Editor, John Wiley, 1997.

# References (cont.)

#### General references about microstrip antennas (cont.):

CAD of Microstrip Antennas for Wireless Applications, R. A. Sainati, Artech House, 1996.

Microstrip Antennas: The Analysis and Design of Microstrip Antennas and Arrays, D. M. Pozar and D. H. Schaubert, Editors, Wiley/IEEE Press, 1995.

Millimeter-Wave Microstrip and Printed Circuit Antennas, P. Bhartia, Artech House, 1991.

The Handbook of Microstrip Antennas (two volume set), J. R. James and P. S. Hall, INSPEC, 1989.

Microstrip Antenna Theory and Design, J. R. James, P. S. Hall, and C. Wood, INSPEC/IEE, 1981.

# References (cont.)

More information about the CAD formulas presented here for the rectangular patch may be found in:

Microstrip Antennas, D. R. Jackson, Ch. 7 of Antenna Engineering Handbook, J. L. Volakis, Editor, McGraw Hill, 2007.

Computer-Aided Design of Rectangular Microstrip Antennas, D. R. Jackson, S. A. Long, J. T. Williams, and V. B. Davis, Ch. 5 of Advances in Microstrip and Printed Antennas, K. F. Lee, Editor, John Wiley, 1997.

# References (cont.)

#### References devoted to broadband microstrip antennas:

Compact and Broadband Microstrip Antennas, K.-L. Wong, John Wiley, 2003.

Broadband Microstrip Antennas, G. Kumar and K. P. Ray, Artech House, 2002.

Broadband Patch Antennas, J.-F. Zürcher and F. E. Gardiol, Artech House, 1995.



# The End