

ECE 6323

# LIGHT SOURCE: THE LASER

P.2



# Laser Primer

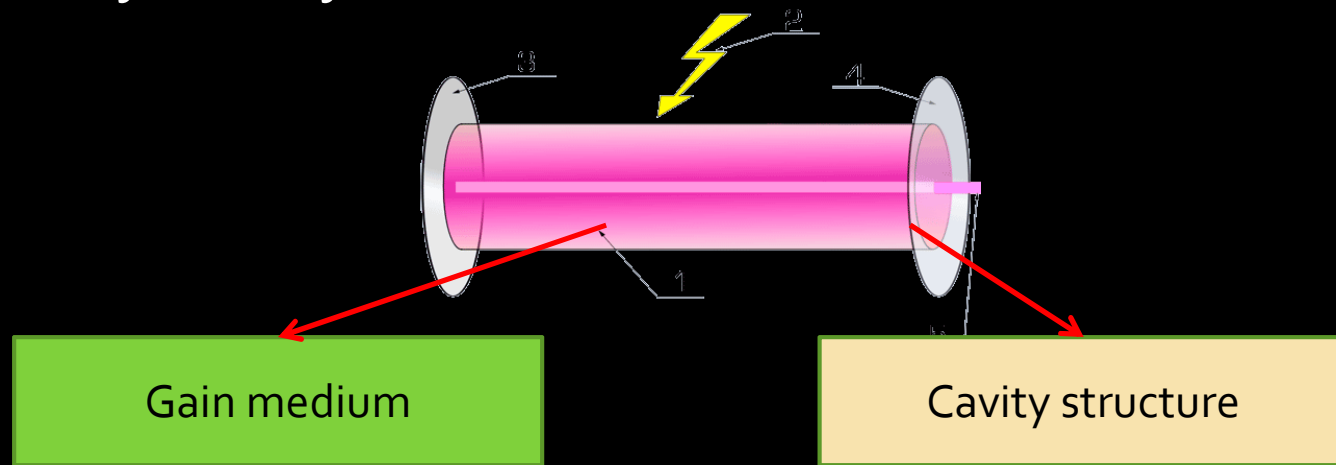
- Introduction
- Fundamentals of laser
- Types of lasers
- Semiconductor lasers

# Laser Primer

- Introduction
- Fundamentals of laser
- Types of lasers
- Semiconductor lasers

# How many types of lasers?

Many many... depending on classification



- Materials
- Excitation and emission
- Pump control

- Mode control (power)
- Wavelength control
- Integrated operation control

Example:

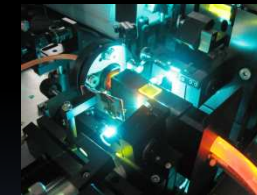
Semiconductor single-mode tunable  
electroabsorption modulated laser

# Media for optical amplification (and lasers)

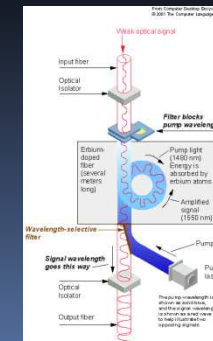
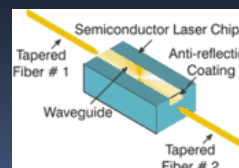
- Gas: atomic, molecular



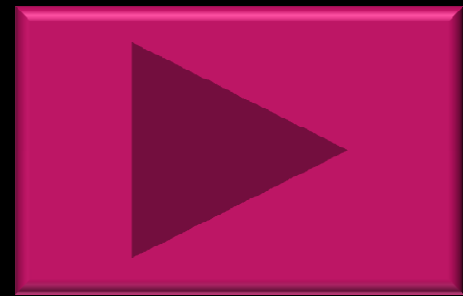
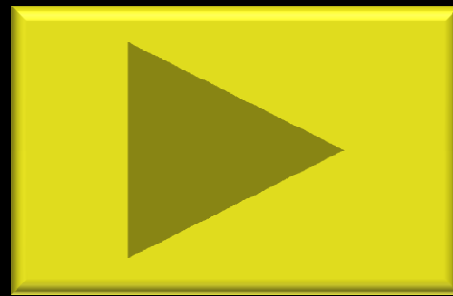
- Liquid: molecules, micro particles in a solution



- Solid: semiconductor, doped materials (EDFA)



# Semiconductor: A Primer



# Semiconductor Photonics

**Optical information processing**

**Optical communication**

**Optical sensing**

**Mid-IR: the 3<sup>rd</sup> spectral region**

**THz/FIR**

CD, DVD, printer, display, lighting

Visible; small  $\lambda$  (blu-ray HD-DVD)

LAN, MAN & WAN network

'Xceiver, active/passive component

Silica fiber  
InGaAsP

Laser sensor, Lidar, IR detector & FSA

(AlGaIn)(AsSb)

InAsPSb

InGaAs

• Thermal radiation (250-1000 K)

• Molecular bond fundamental vibration: "spectral fingerprint"

• Molecular rotational

InP/GaAs unipolar

Pb-salt

ZnMgSSe

GaNPAs

AlGaInN

HgCdZnTe

0.3

0.5

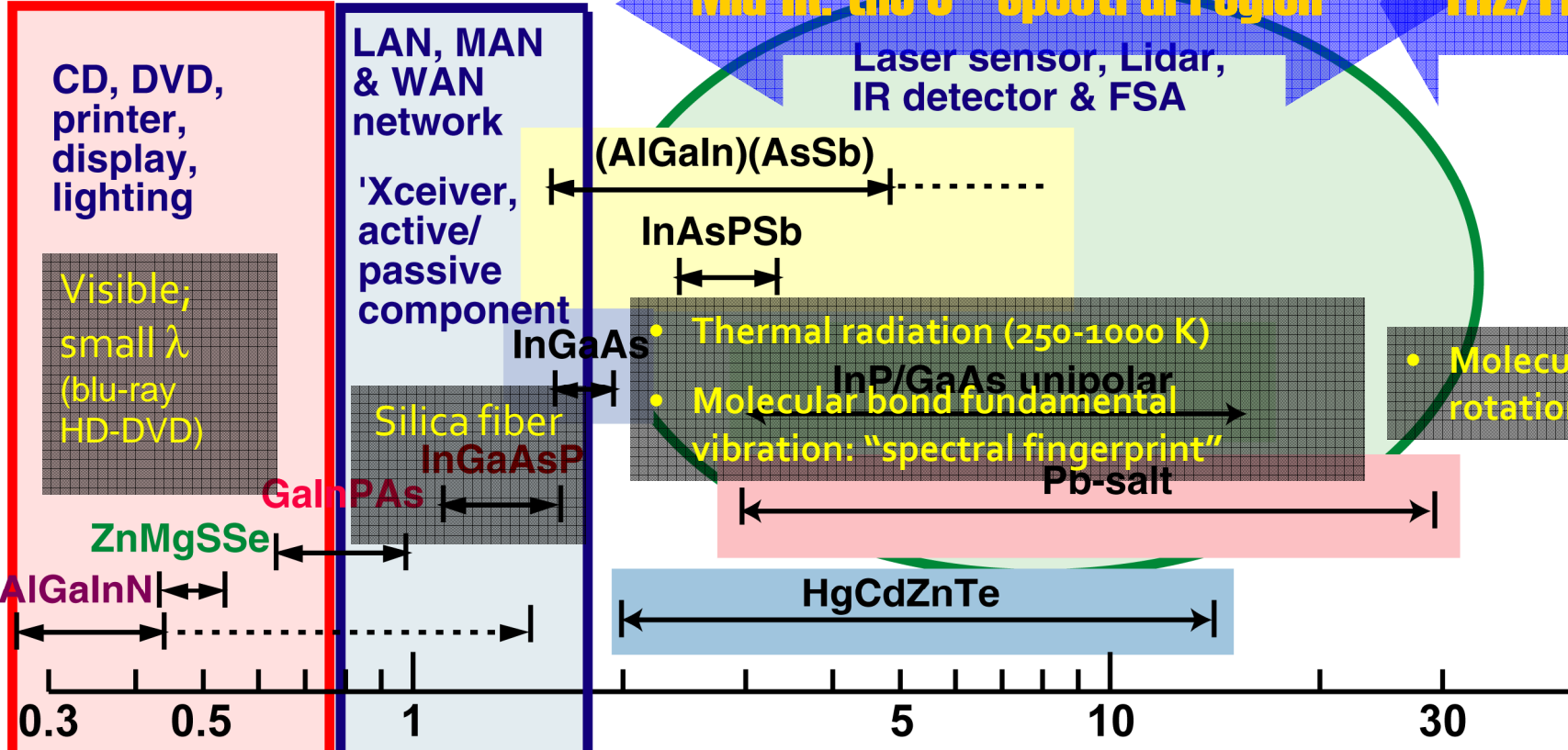
1

5

10

30

WAVELENGTH ( $\mu\text{m}$ )



# Laser Primer

- Introduction
- Fundamentals of laser
- Types of lasers
- Semiconductor lasers



# Semiconductor lasers

- Basic optical processes and electronic structure

## Gain (loss) engineering :

- Materials: choice for wavelength range, e. g. 1.5  $\mu\text{m}$  – InGaAsP
- Structure: e. g. quantum wells

- Optical structure

## Mode engineering :

- Waveguide design: planar, ridge
- Longitudinal mode control: e. g. DFB, tunable, multi-elements

- Lasing mechanism

## Operation:

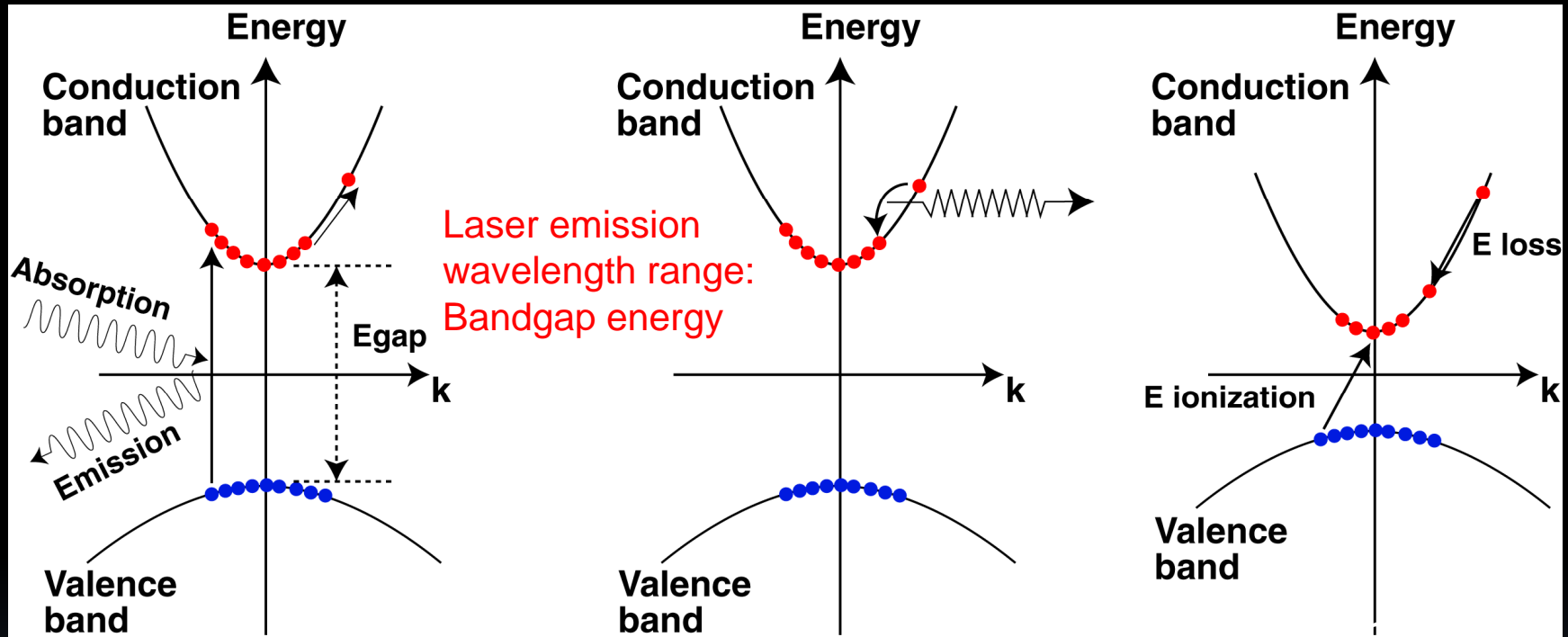
- Threshold, power, efficiency
- Mode control: e. g. tunable, single-mode, side-mode suppression ratio

- Some common semiconductor lasers

## Applications:

- Telecommunication
- Others: e. g. optical storage, sensing, spectroscopy imaging, ...

# Excitation and relaxation processes



Laser emission wavelength range:  
Bandgap energy

Momentum and energy conservation:

$$\mathbf{k}_e + \mathbf{k}_h = \mathbf{k}_{\text{photon}} \approx 0$$

$$E_e + E_h = E_{\text{photon}} = \hbar\omega$$

Momentum and energy conservation:

$$\mathbf{k}_{e \text{ final}} + \mathbf{q}_{\text{phonon}} = \mathbf{k}_{e \text{ init}}$$

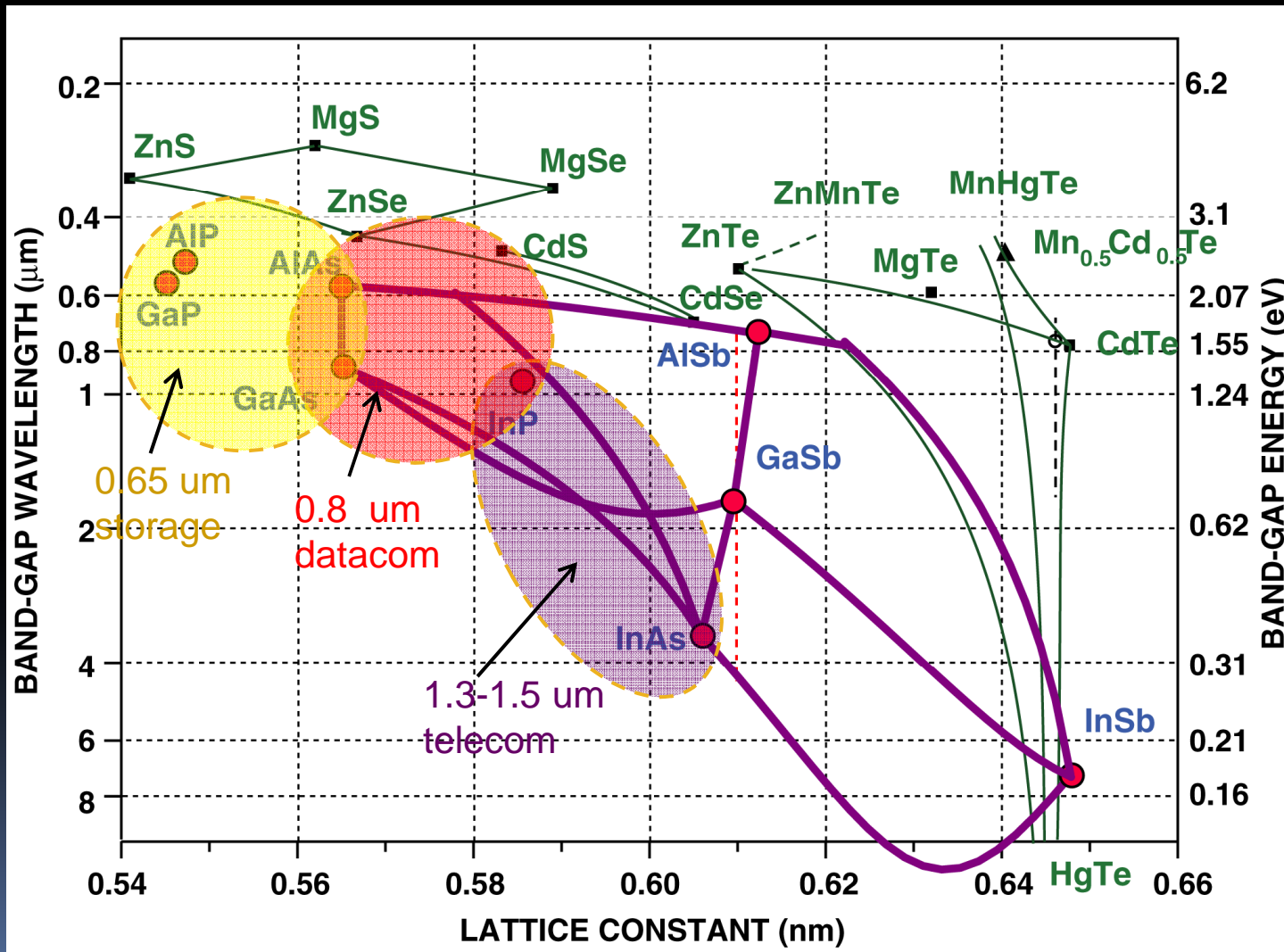
$$E_{e \text{ final}} + E_{\text{phonon}} = E_{e \text{ init}}$$

conservation:

$$\mathbf{k}_{e2} + \mathbf{k}_h = \mathbf{k}_{e1 \text{ init}} - \mathbf{k}_{e1 \text{ final}}$$

$$E_{e2} + E_h = E_{e1 \text{ init}} - E_{e1 \text{ final}}$$

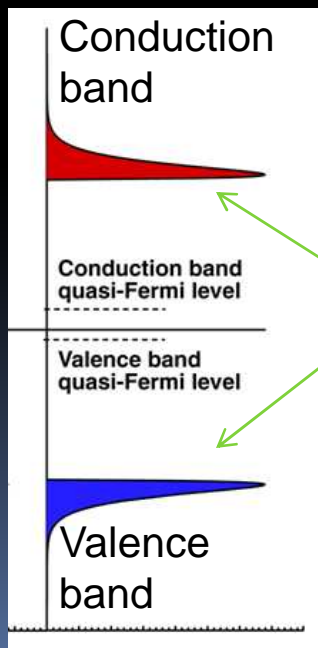
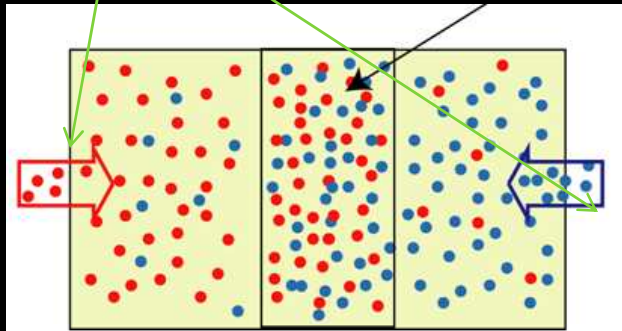
# Common semiconductor bandgap energy vs. lattice constant



# Design for the gain (active) region

Carrier injection

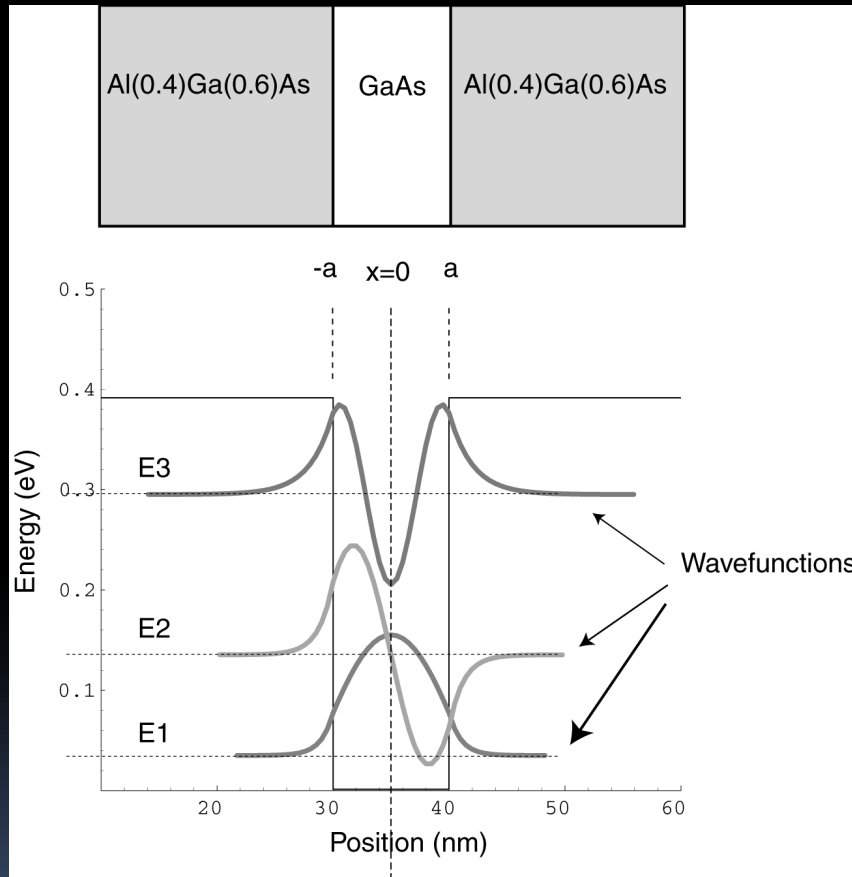
Active region



In active region,  
high carrier  
density of both  
electrons and  
holes are desired

- Active region is usually very thin (few nm – 100's nm) because high carrier density is desirable for population inversion
- Heterostructure can be used to engineer favorable electron-hole properties to achieve:
  - High gain per unit of injection current for low threshold
  - Wide gain bandwidth for broad wavelength selectivity

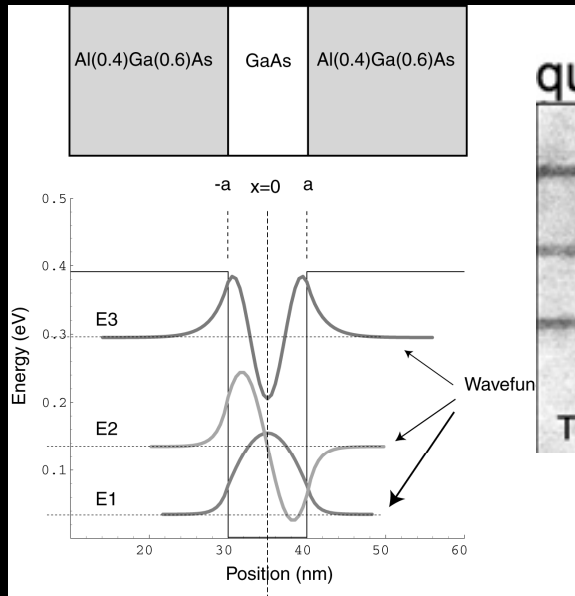
# Quantum well structures



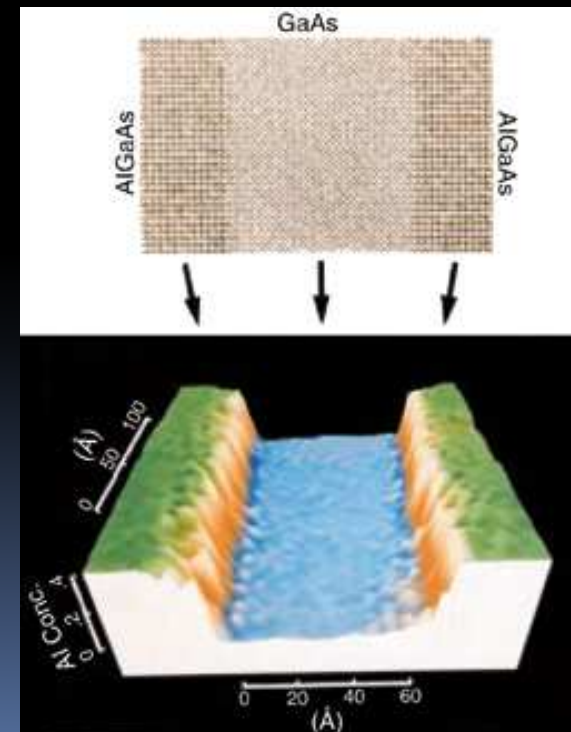
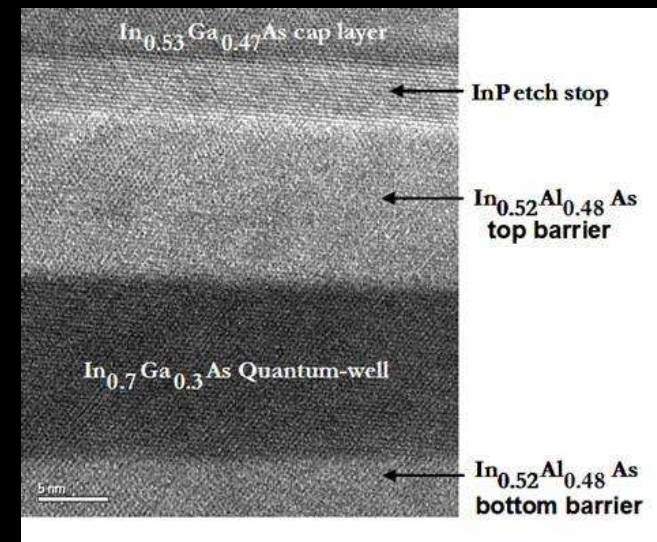
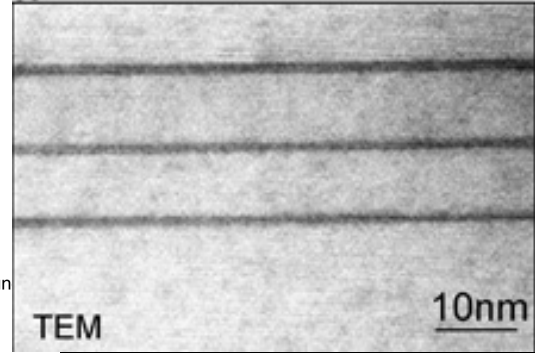
- Electrons and holes are confined in a plane (“well”)
- Enhanced oscillator strength for higher spontaneous emission and stimulated emission
- Lower threshold
- Density state profile allows wider band spectrum: broader range of wavelength
- Lower carrier free absorption loss: higher laser efficiency

- Similar concept: quantum wires, quantum dots

# Quantum well structures

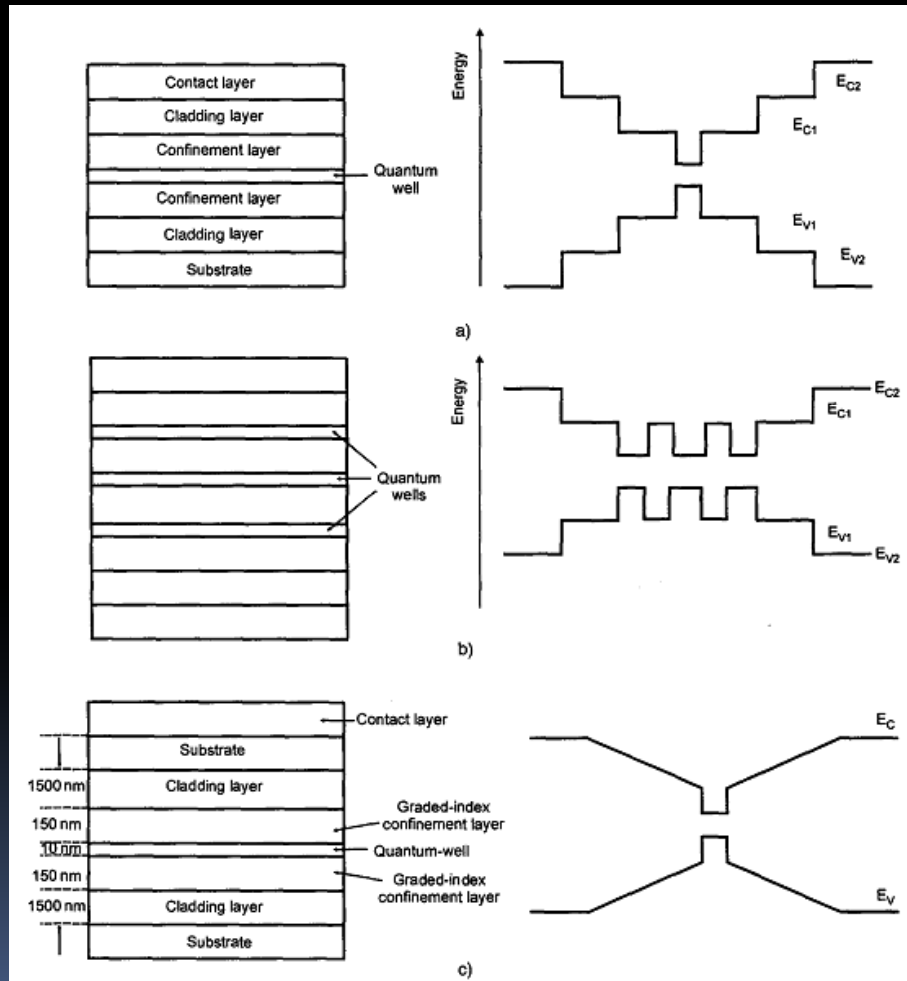


quantum well structure



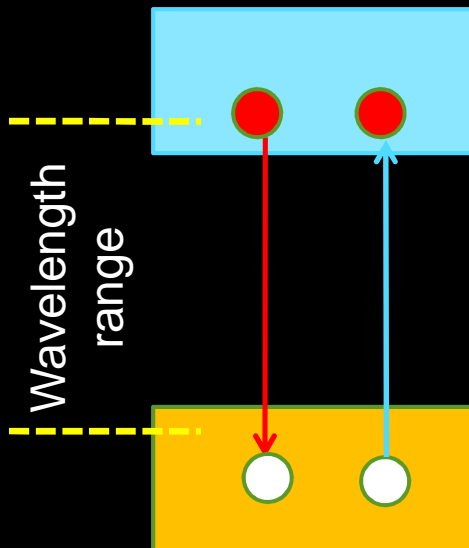
- Key enabling technology: crystal growth
- Epitaxy crystal growth: thin layers like skin. Layer by layer.
- Different crystals can be grown (called heterostructure)
- Molecular beam epitaxy (MBE), Metallo-organic chemical vapor deposition (MOCVD), liquid phase epitaxy (LPE)

# Band structure (band diagram)



- Band gap engineering: the arrangement of different semiconductors to achieve certain band gap design for intended applications
- For lasers: this involves designing active layers and optical structure layers, together with overall transport consideration
- EEL involves waveguide
- VCSEL involves Bragg reflector: a structure that acts like a mirror.

# Optical processes in semiconductors



Absorption:

$$\alpha(E) = \alpha_{\text{FS}} \frac{\lambda^2}{n_g} E \sum_v \frac{|\langle u_v^* | \mathbf{p} | u_c \rangle|^2}{m_o^2 c^2} \rho_{\text{joint}}(E = E_{v,\mathbf{k}} + E_{c,\mathbf{k}}) (F(E_{c,\mathbf{k}}) - F(E_{v,\mathbf{k}}))$$

Spontaneous emission rate:

$$r_{\text{spont.}} = 8\pi n_g \alpha_{\text{FS}} V \frac{|\langle u_v^* | \mathbf{p} | u_c \rangle|^2}{m_o^2 c^2} \rho(E = E_f + E_i) F(E_v) F(E_c)$$

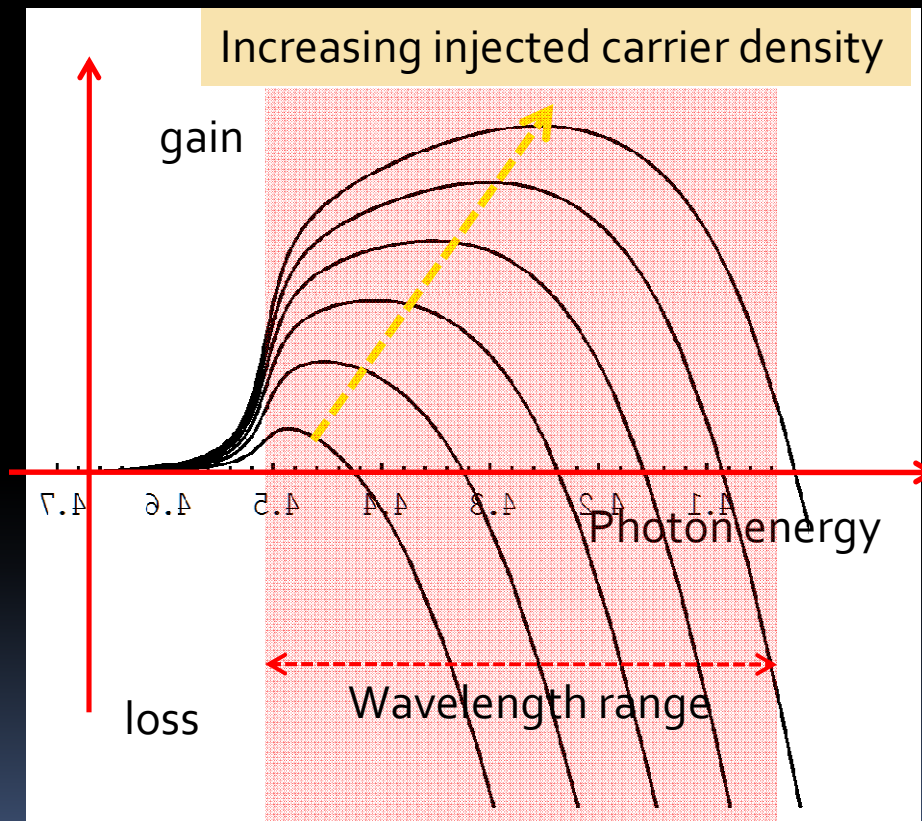
Optical gain

$$g(E) = r_{\text{spont.}}(E) \frac{\hbar}{4} \left( \frac{\lambda}{n_g} \right)^2 \left[ 1 - e^{(E-\mu)/k_B T} \right]$$



# Optical gain spectrum

Material and electronic engineering



- The higher injected carrier density, the higher and wider gain spectrum
- Detailed electronic structure can be engineered for gain spectrum
- Wide gain spectrum: wide range of wavelength that can be chosen, or tunable from a structure: (a structure can be made into many lasers of different wavelengths)
- Cavity loss can be designed to tradeoff desired threshold, wavelength range

# Semiconductor lasers

▪ Basic optical processes and electronic structure

Gain (loss) engineering :

- Materials: choice for wavelength range, e. g. 1.5  $\mu\text{m}$  – InGaAsP
- Structure: e. g. quantum wells

▪ Optical structure

Mode engineering :

- Waveguide design: planar, ridge
- Longitudinal mode control: e. g. DFB, tunable, multi-elements

▪ Lasing mechanism

Operation:

- Threshold, power, efficiency
- Mode control: e. g. tunable, single-mode, side-mode suppression ratio

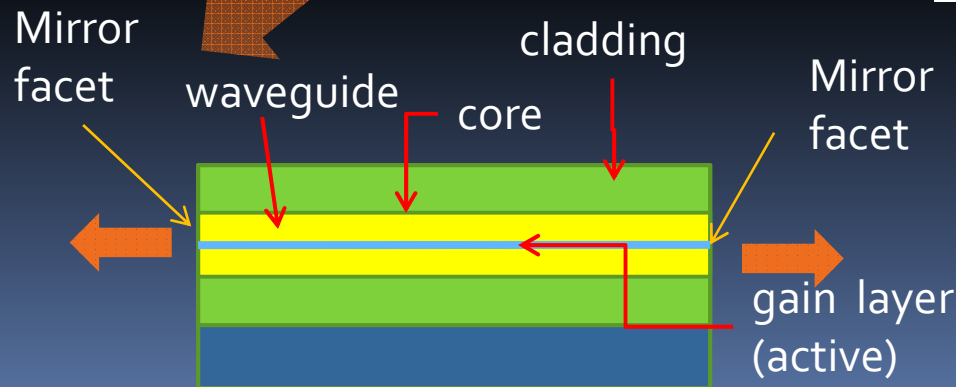
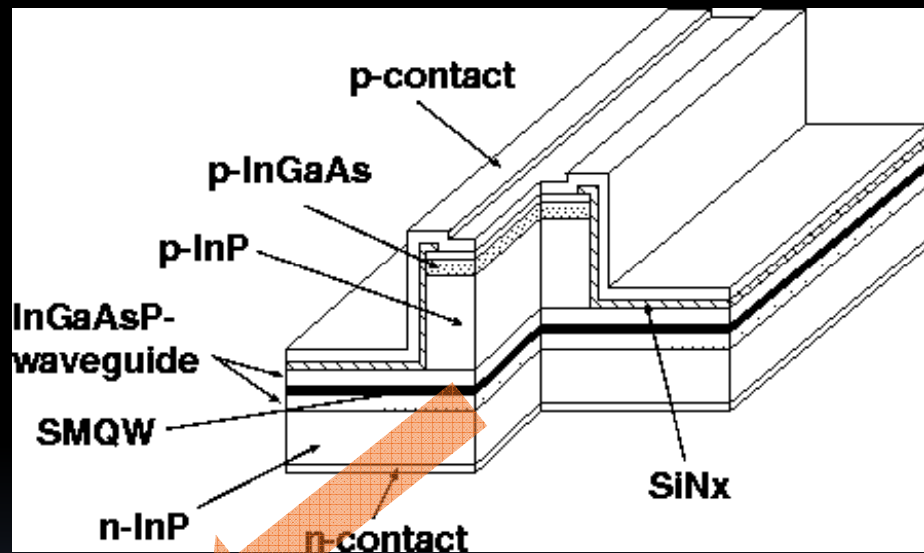
▪ Some common semiconductor lasers

Applications:

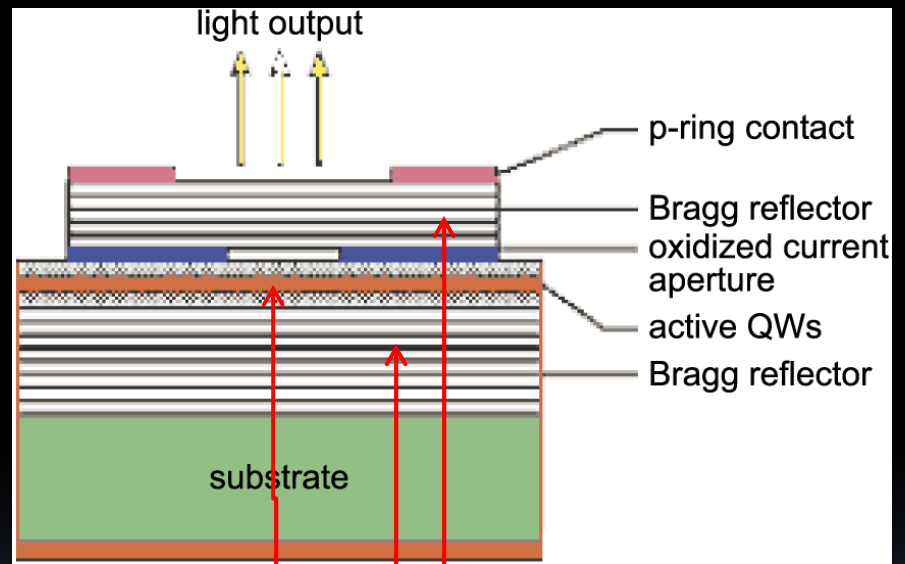
- Telecommunication
- Others: e. g. optical storage, sensing, spectroscopy imaging, ...

# Semiconductor laser optical configuration

## Edge Emitting Laser



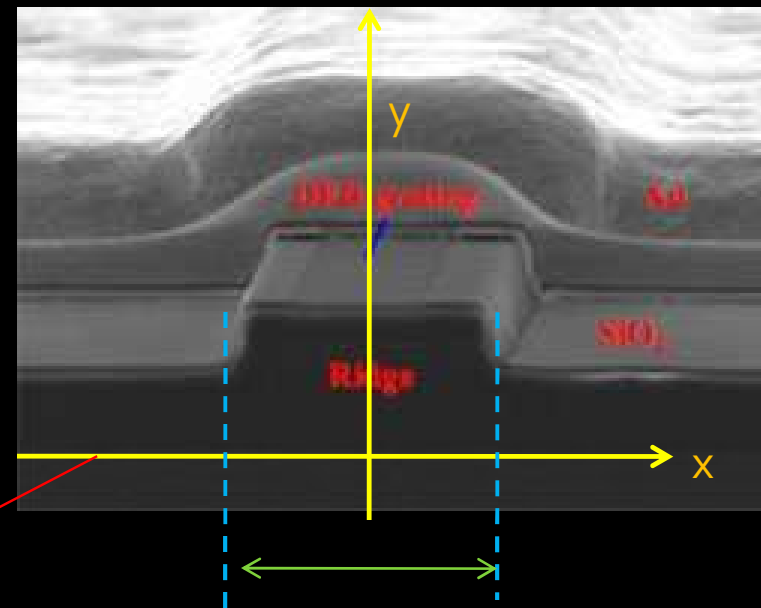
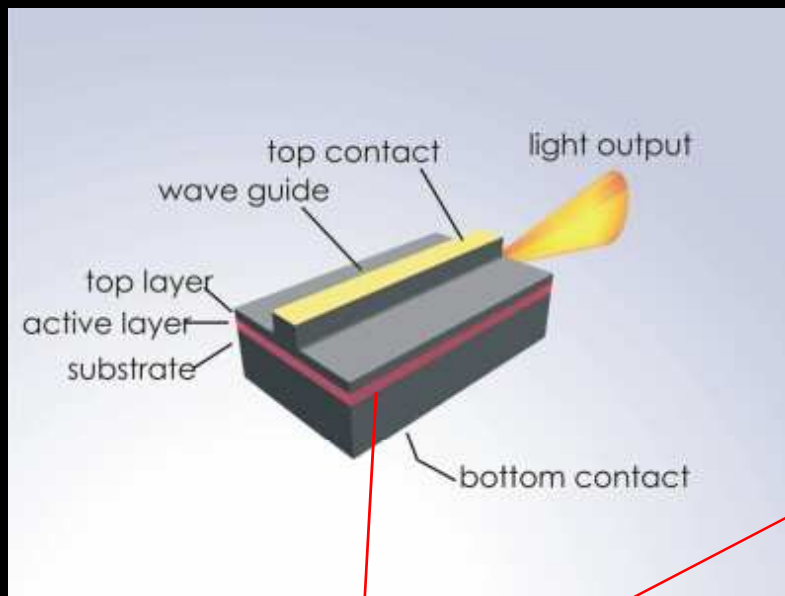
## Vertical Cavity Surface Emitting Laser (VCSEL)



gain layer  
(active)  
(very thin)

Mirror

# Waveguide for edge-emitting laser



Planar waveguide in x dimension (as grown in epitaxy wafer).  
Core dimension:  $\sim 0.2 - 2 \mu\text{m}$   
Larger can be grown, but multimode. Cladding:  $\sim 1-5 \mu\text{m}$

Lateral confinement waveguide in y dimension: lithographically etched, can involve regrown, deposition  
Core from  $\sim 3 \mu\text{m}$  (single mode to  $500 \mu\text{m}$ : high power multi-mode)

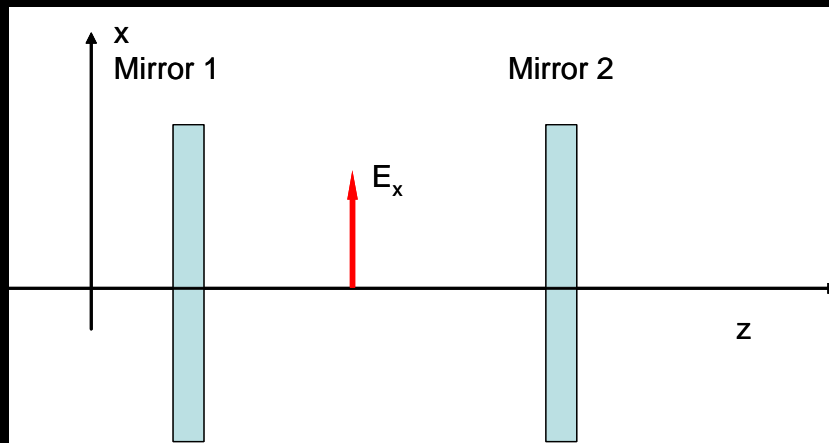
Cavity length: as low as  $\sim 50 \mu\text{m}$  to  $\sim 3 \text{mm}$

# EEL waveguide design

- Start with slab waveguide, usually single mode (multi-mode can be done, but usually not desired)
- Design of slab optical waveguide modes done with considerations and trade-offs for transport property and optical gain property. Thin structure (single-mode) is also desired for transport in p-i-n structure
- Etched or implant and regrown ... to make lateral confinement for rectangular waveguide.
- Narrow ridge: single mode. Wide: multi-mode, depending applications



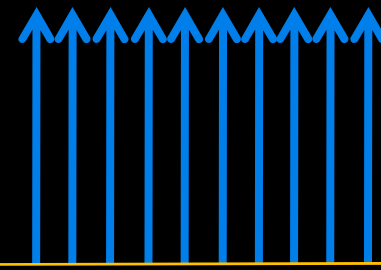
# Longitudinal mode



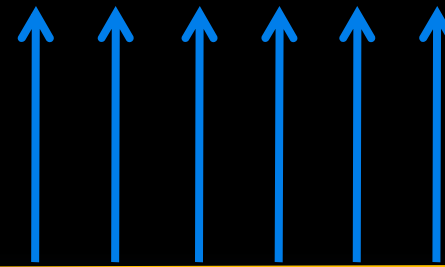
Frequency

$$\nu_m = m \frac{c}{2n_g L}$$

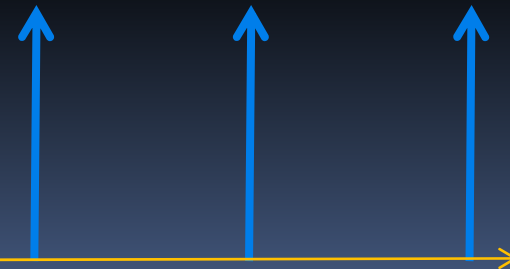
Long cavity



Short cavity



Super short cavity (e. g. VCSEL)



# Laser mode design

- It is desirable to control the laser longitudinal mode structure (either for single-mode or wavelength-tunable single-mode)
- Multiple optical segments within the cavity for mode control:
  - Phase control
  - Built-in grating: distributed feedback laser
  - Multiple-coupled cavity (complex mode structure)

# Elements of longitudinal mode design

Multiple segments

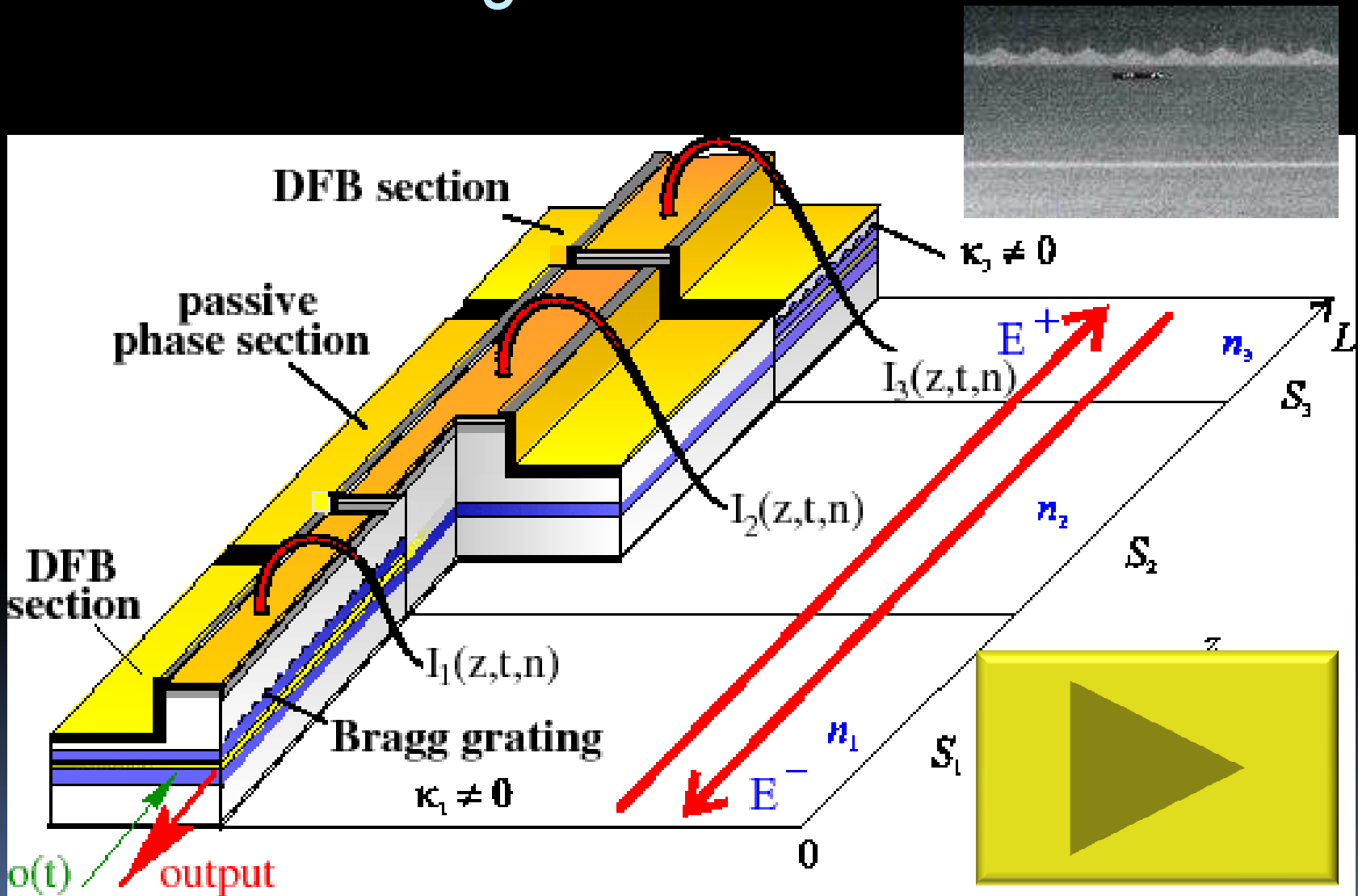


Bragg grating





# Advanced 3-segment DFB laser



# Semiconductor lasers

- Basic optical processes and electronic structure

## Gain (loss) engineering :

- Materials: choice for wavelength range, e. g. 1.5  $\mu\text{m}$  – InGaAsP
- Structure: e. g. quantum wells

- Optical structure

## Mode engineering :

- Waveguide design: planar, ridge
- Longitudinal mode control: e. g. DFB, tunable, multi-elements

- Lasing mechanism

## Operation:

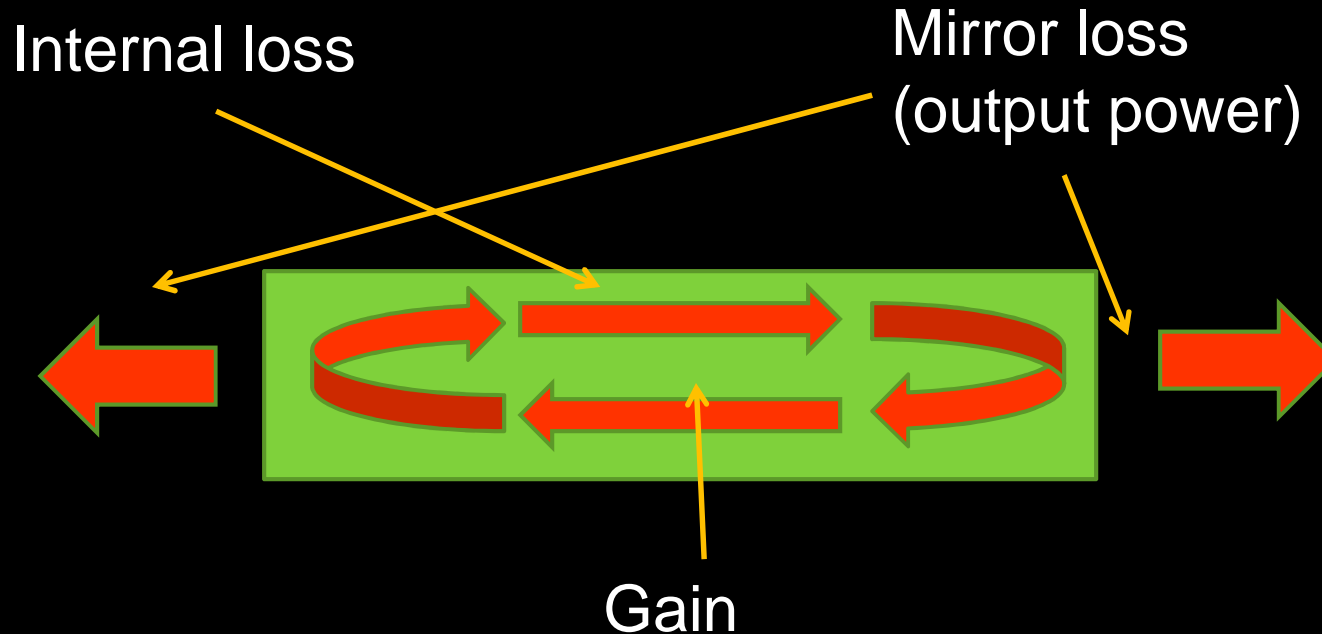
- Threshold, power, efficiency
- Mode control: e. g. tunable, single-mode, side-mode suppression ratio

- Some common semiconductor lasers

## Applications:

- Telecommunication
- Others: e. g. optical storage, sensing, spectroscopy imaging, ...

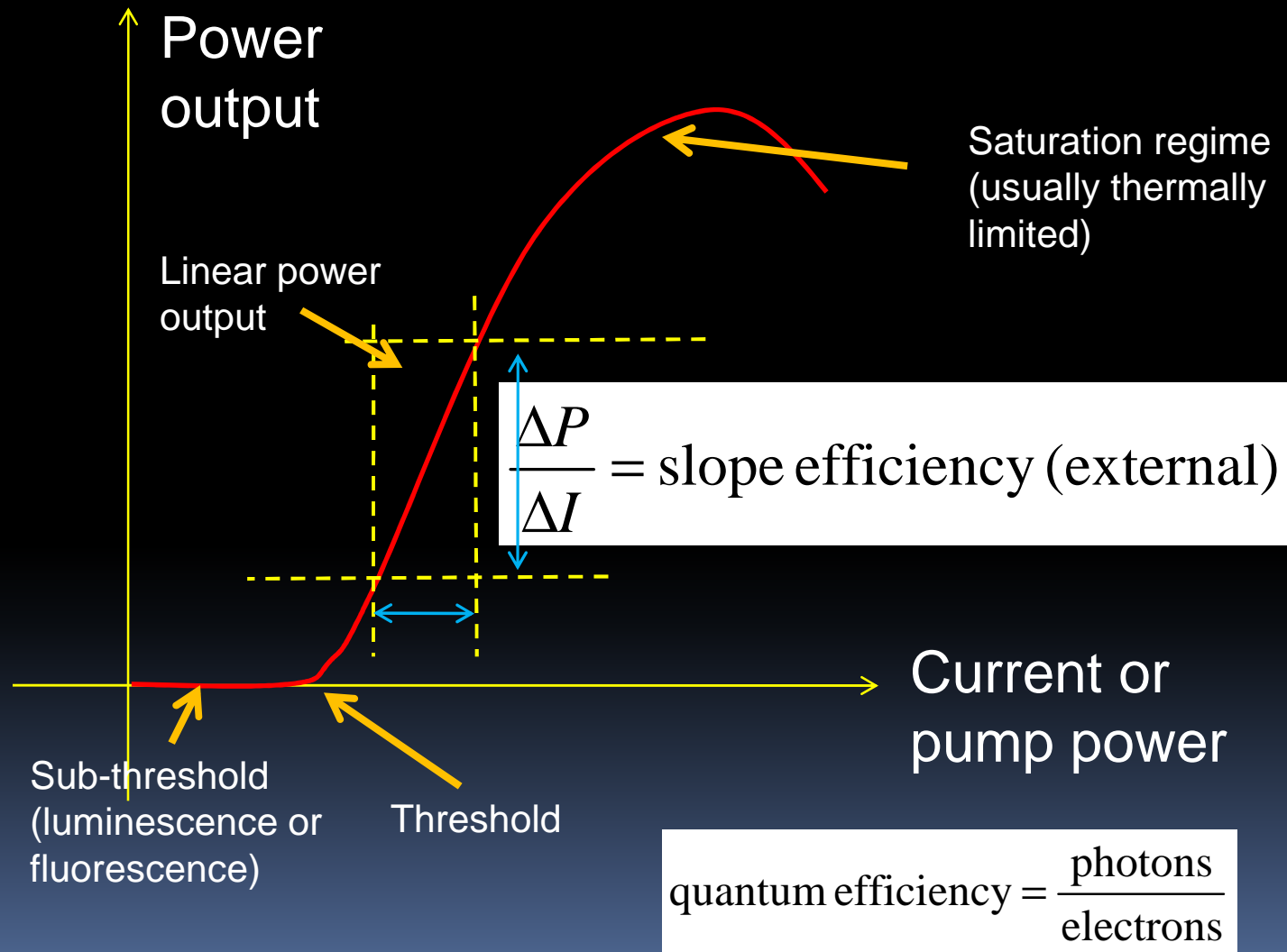
# Condition for lasing



- Round trip loss: total loss as light travels one round trip inside the cavity: internal loss+ mirror loss
- Round trip gain: net gain in one round trip

Lasing starts:  $RT \text{ gain} = RT \text{ loss}$

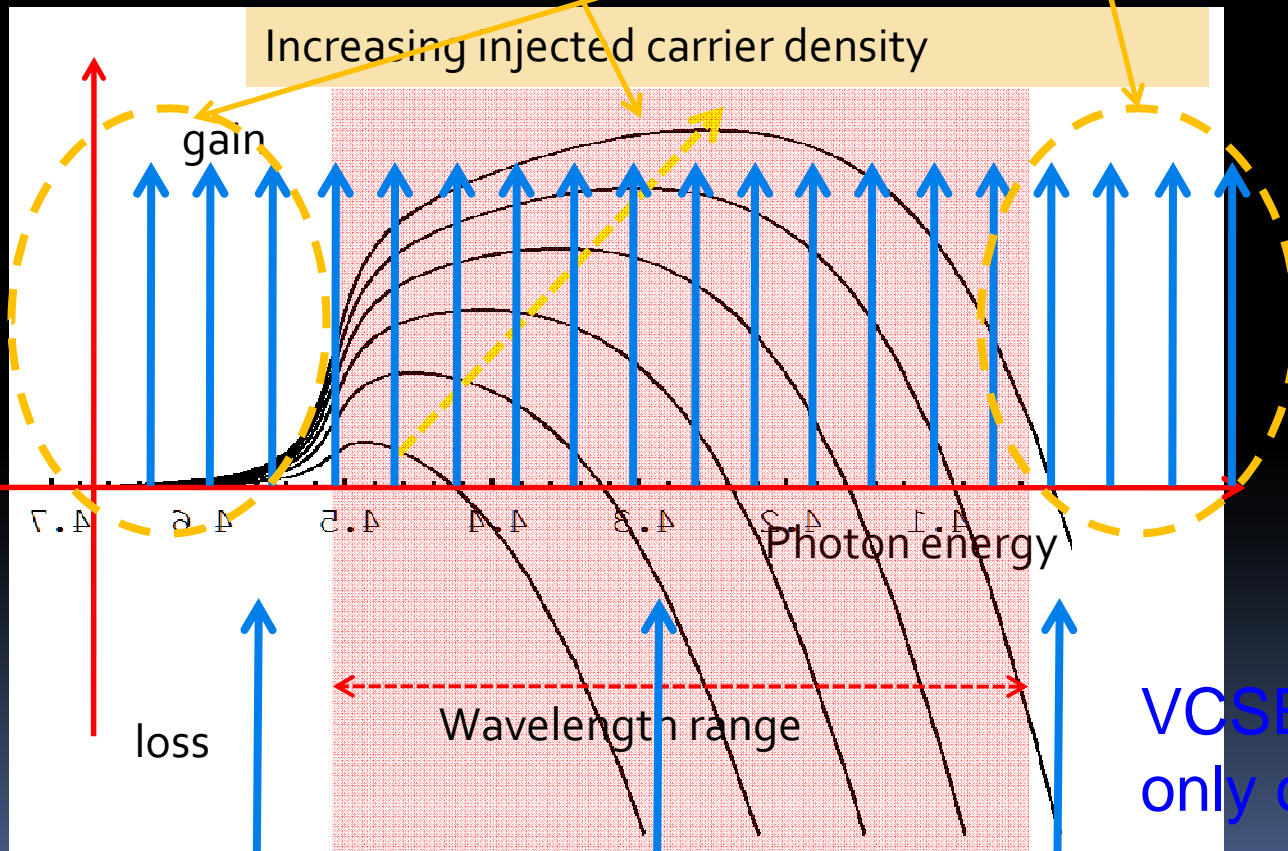
# Laser power output



# Longitudinal mode vs. gain spectrum

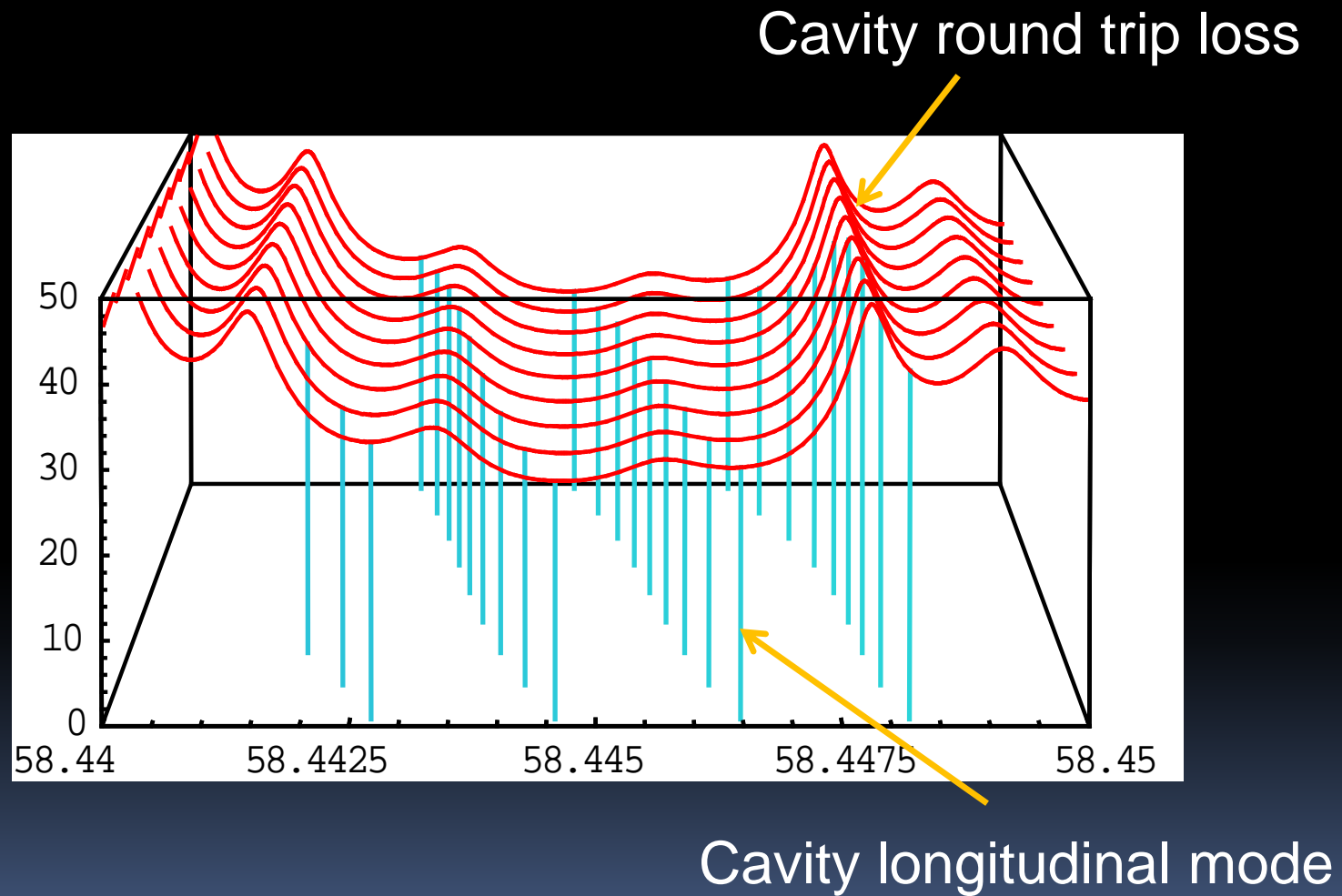
Lasing modes (in gain spectrum)

Non-lasing modes

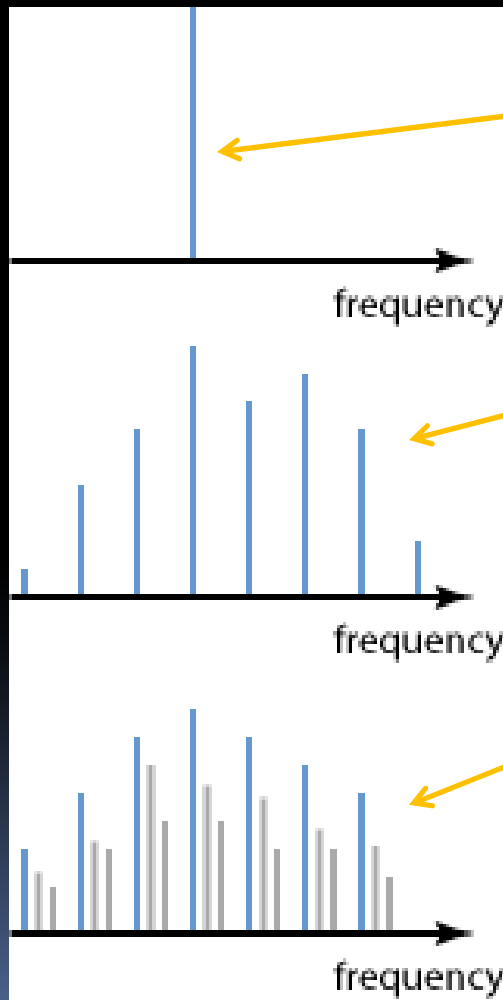


VCSEL can have only one mode

# Cavity loss spectrum



# Modes in laser spectra



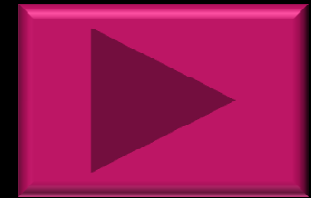
Single modes

Multiple longitudinal modes

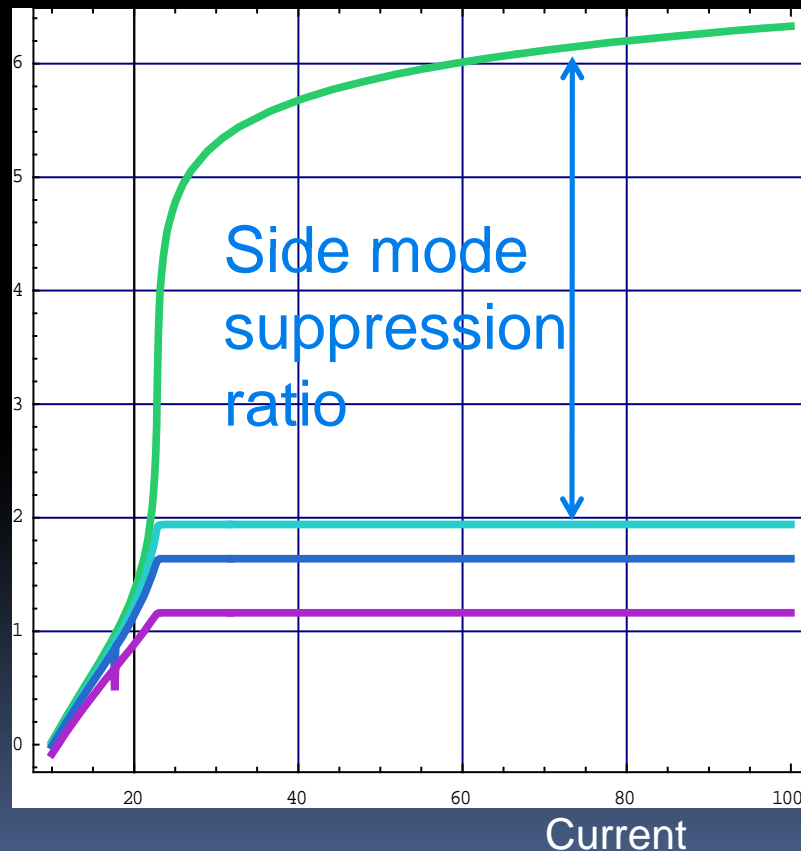
Multiple longitudinal modes with multiple transverse mode

Lasing is strongest for modes with lowest loss-gain

# Output power for different modes (rate equations)



Power (dB)



- It is good enough to have SMSR ~ 20 dB – 50 dB (depending on applications)
- For telecom, > 40 dB is preferred



# Semiconductor lasers

- Basic optical processes and electronic structure

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# Common Semiconductor Lasers

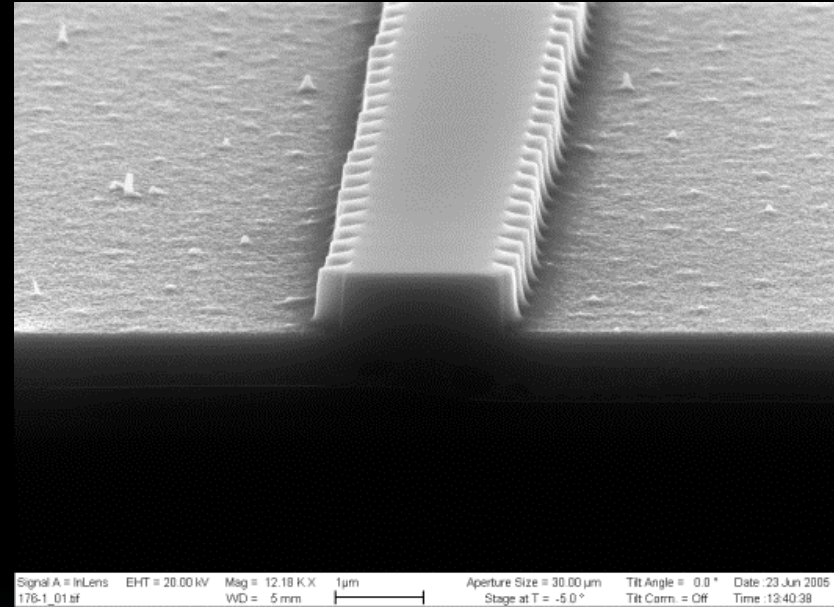
- Fabry-Perot
- DFB or DBR lasers
- VCSEL lasers
- Tunable Lasers

# Types of semiconductor lasers for telecom

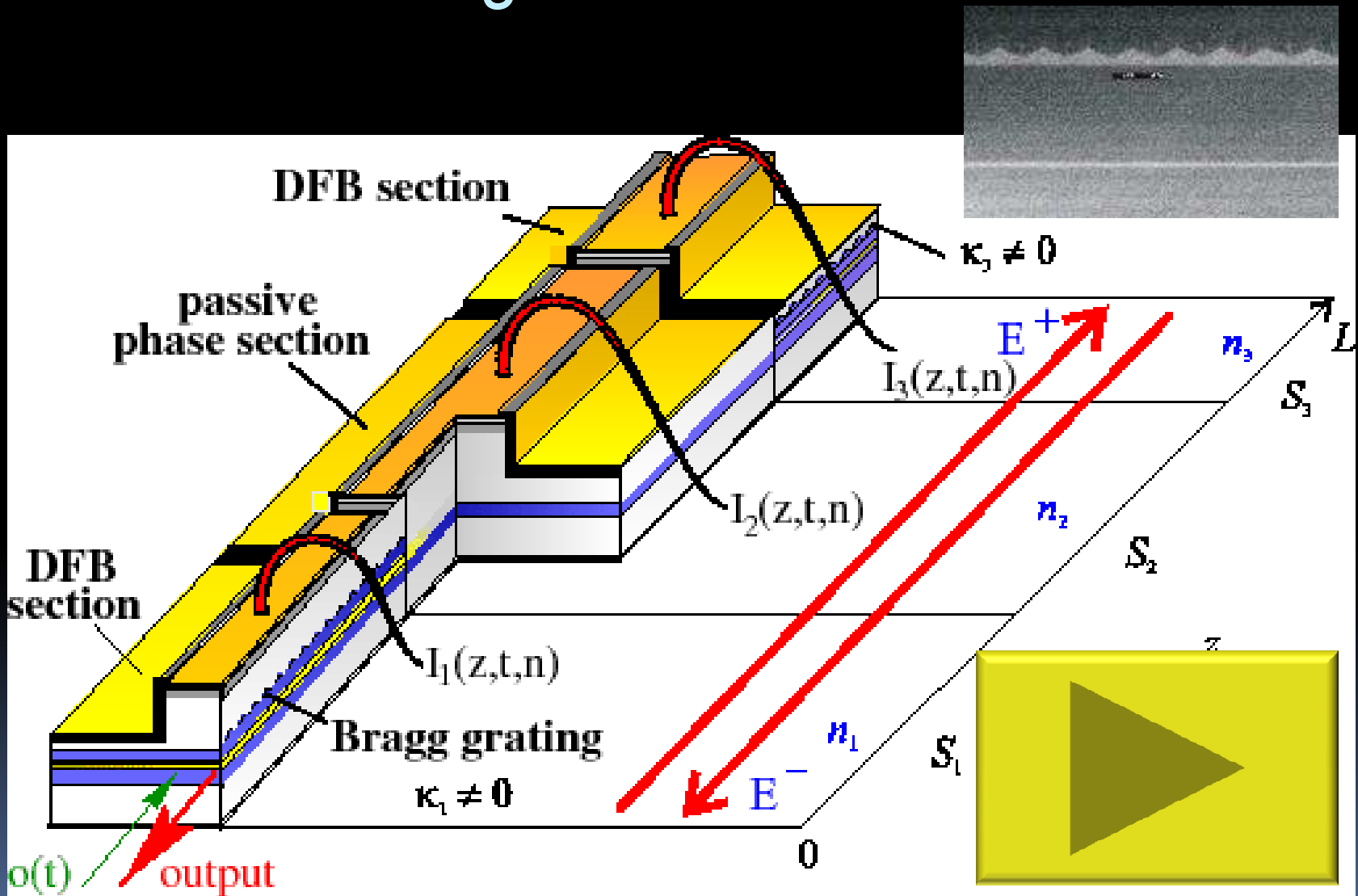
- Designed driven by applications
- Technical features:
  - Spectral accuracy, purity: single-frequency laser at desired wavelength; narrow linewidth
  - Power; threshold, efficiency
  - Noise: low amplitude fluctuation (low relative intensity noise)
  - Others: modulation behavior, (mode-locking) wavelength tunability
- Operational features: very important for telecom: reliability, lifetime, cost-performance, package and integratability, size, power consumption...

# DFB Lasers

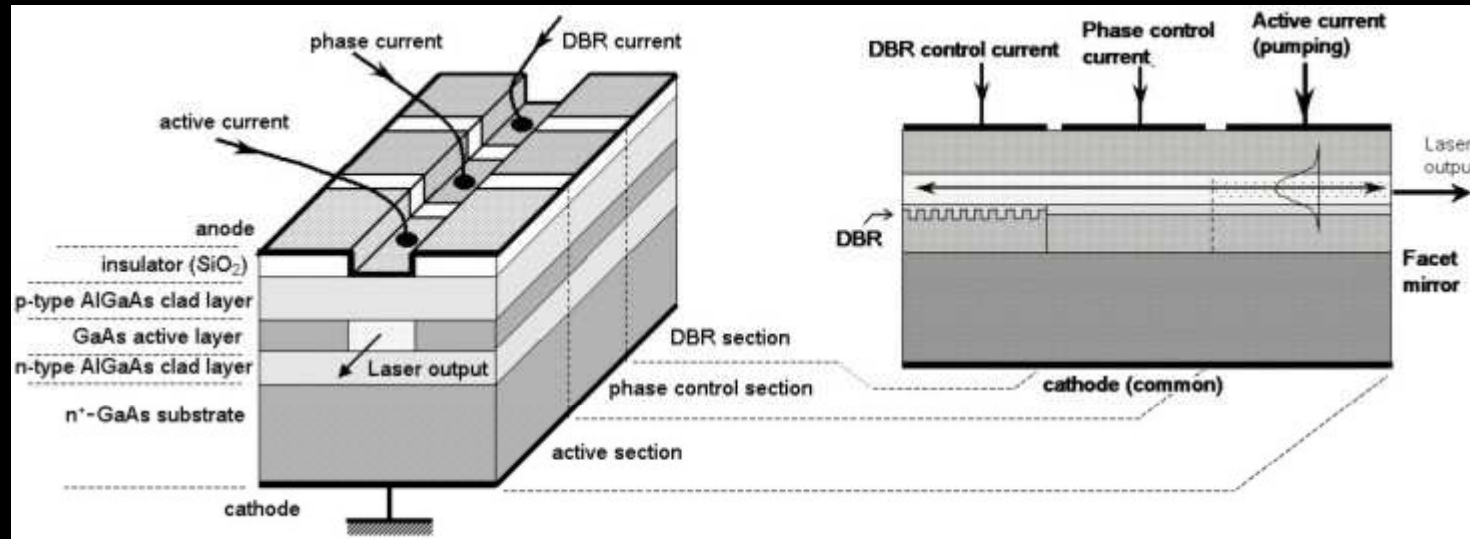
- Designed for single-frequency with integrated Bragg grating (BG)
- Fabrication sensitive: must have BG correct period for coarse wavelength accuracy
- Fine tuning frequency with temperature or internal phase segment when operated
- Sufficient power: ~few->10 dBm for many applications
- Most ubiquitous: used in most telecom systems



# Advanced 3-segment DFB laser

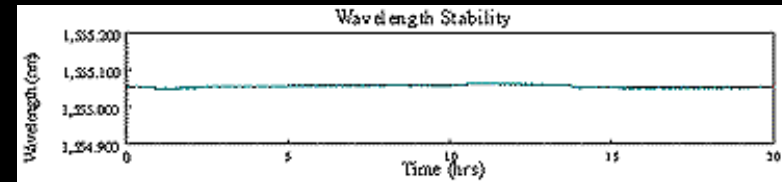
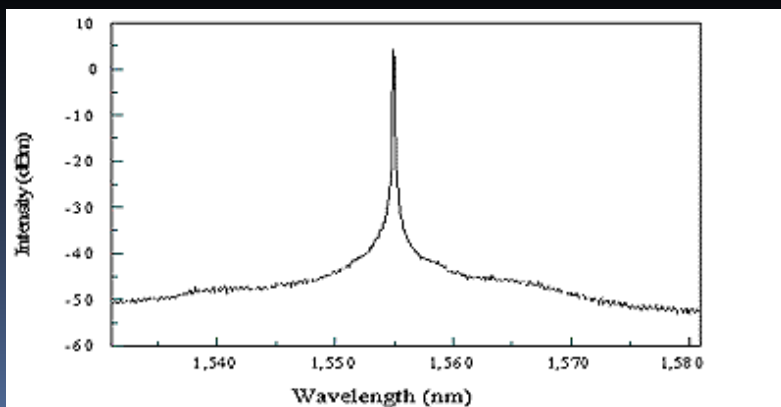
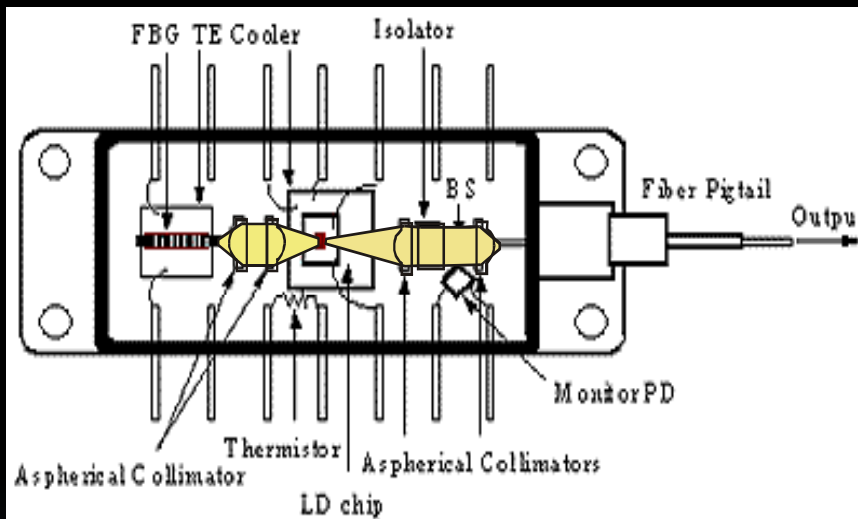


# 3-segment DBR

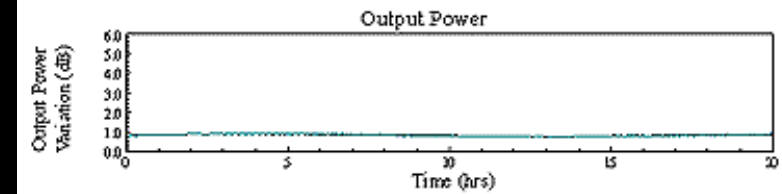


- Also with integrated Bragg grating (BG) BUT different from DFB: DBR is used as a narrow band mirror
- Similar with DFB about fabrication sensitive: but slightly more tolerance
- Also fine tuning frequency with temperature or internal phase segment when operated
- Less popular than DFB, but a variation is with Bragg fiber grating is also useful

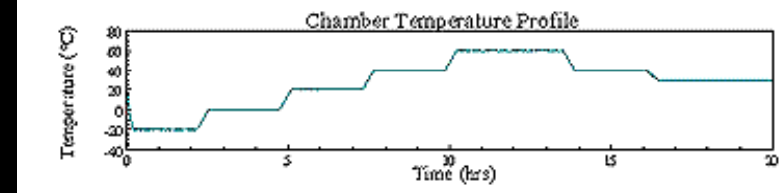
# An example of DBR concept, but with fiber BR instead of integrated BR



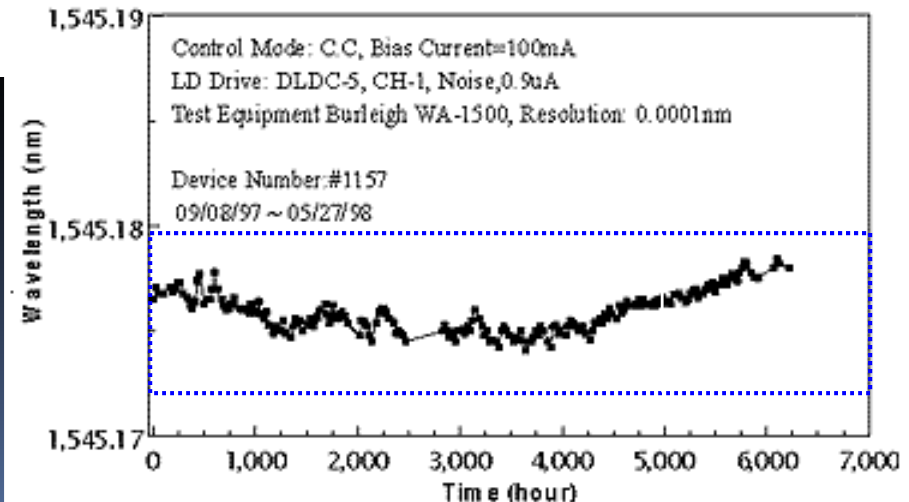
(a)



(b)

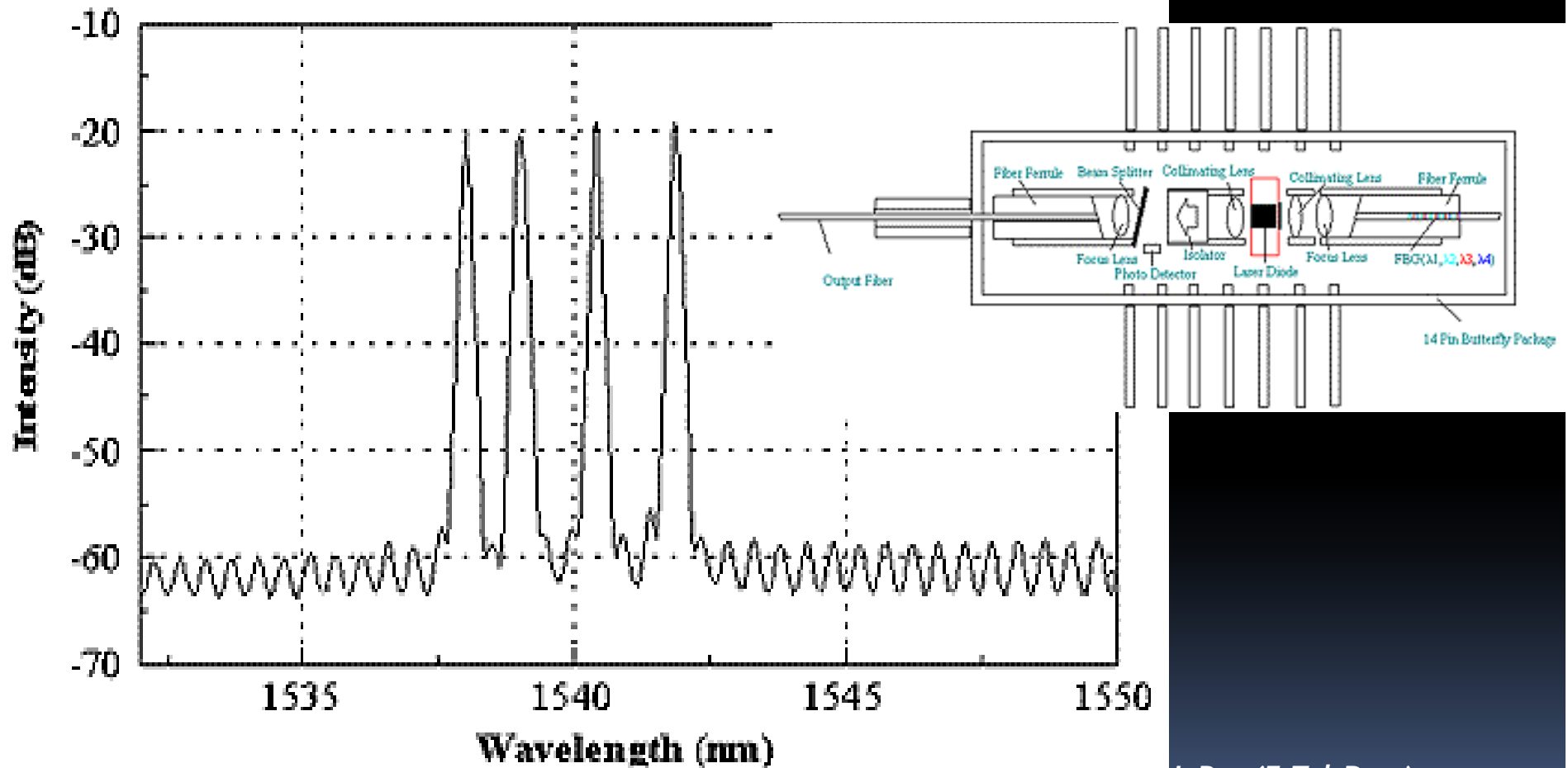


(c)



# Multi-wavelength FBG transmitter

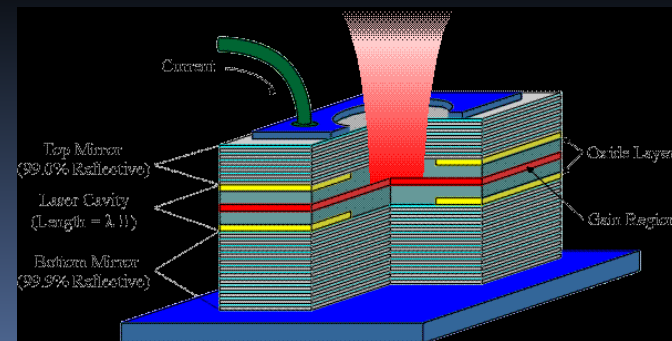
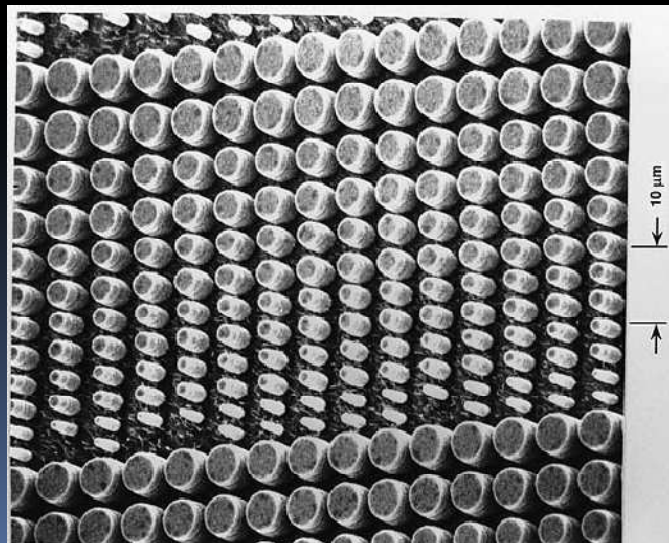
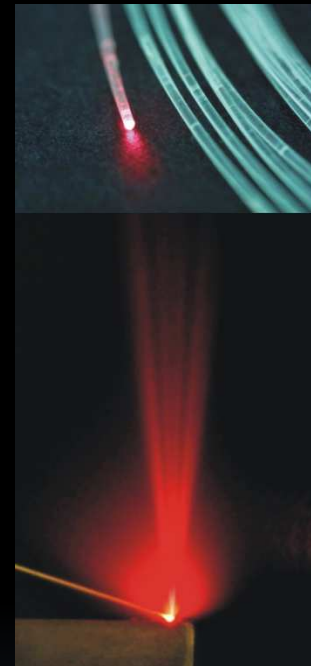
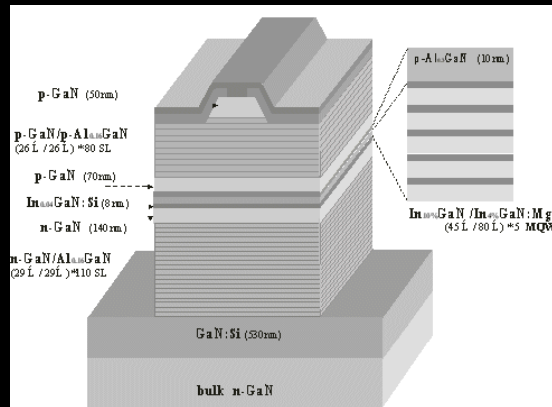
- Single gain elements, multi- $\lambda$  FBG, single package (cost effectiveness)



J. Pan (E-Tek Dyn.)

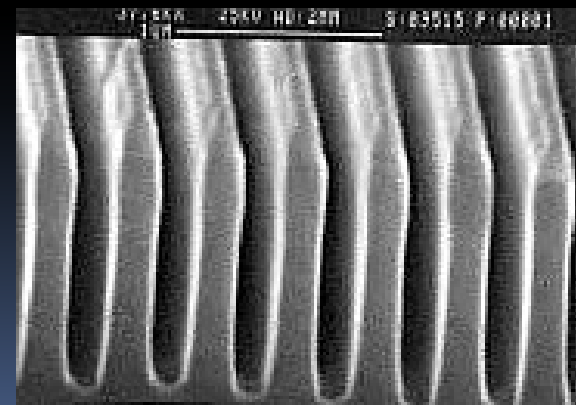
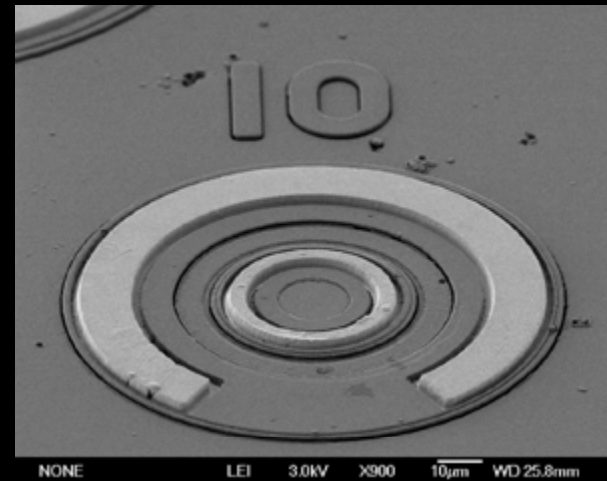


# Vertical Cavity Surface Emitting Laser (VCSEL)

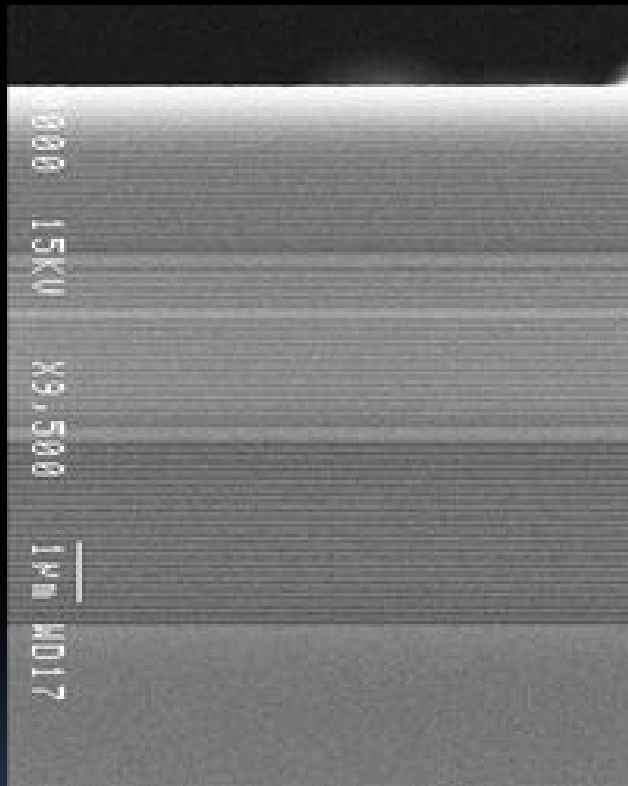


# VCSEL

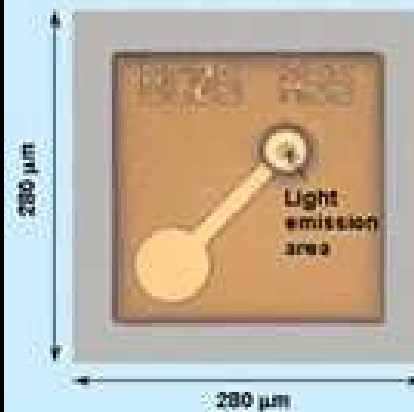
- Greatest advantages:
  - Very easy to get single frequency owing to short cavity
  - Ease of fabrication: no cleaving necessary like EEL
  - Small size: very large array possible
  - Symmetric divergence beam: ease of fiber coupling
  - Very inexpensive
- However...
  - Not as much power as EEL
  - Appropriate in less mission-critical application such as for LAN, SAN...



# VCSEL



<Chip Photograph>

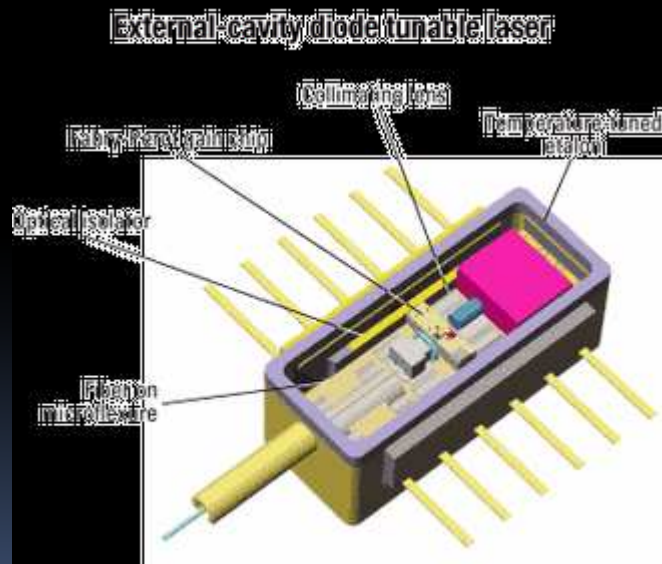
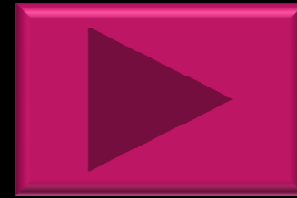


<Cross-sectional View>



**850nm Vertical Cavity Surface Emitting Laser (VCSEL)  
with 12.5Gbps Data Transmission Rate**  
Matsushita Electric Industrial Co.,Ltd. May 2005

# Tunable lasers



PR Newswire Commercial Photo

